



Financial Services and Markets Act 2000

2000 CHAPTER 8

PART XXIII

PUBLIC RECORD, DISCLOSURE OF INFORMATION AND CO-OPERATION

Disclosure of information

[^{F1}351A Disclosure under the UCITS directive

- (1) This section applies in relation to a disclosure made by a person who falls within subsection (2) for the purpose of compliance with requirements set out in rules made by [^{F2}the FCA or the PRA] to implement Chapter VIII of the UCITS directive.
- (2) The following persons fall within this subsection—
 - (a) the auditor of an authorised unit trust scheme [^{F3}or authorised contractual scheme] that is a master UCITS;
 - (b) the trustee of an authorised unit trust scheme that is a master UCITS;
[the depository of an authorised contractual scheme that is a master UCITS;]
 - ^{F4}(ba)
 - (c) the auditor of an authorised unit trust scheme [^{F5}or authorised contractual scheme] that is a feeder UCITS;
 - (d) the trustee of an authorised unit trust scheme that is a feeder UCITS; ^{F6}...
[the depository of an authorised contractual scheme that is a feeder UCITS; or]
 - ^{F7}(da)
 - [^{F8}(e) a person acting on behalf of a person within any of paragraphs (a) to (da)]
- (3) A disclosure to which this section applies is not to be taken as a contravention of any duty to which the person making the disclosure is subject.
- (4) In this section, “authorised unit trust scheme”, [^{F9}“authorised contractual scheme”,] “master UCITS” and “feeder UCITS” have the meaning given in section 237.]

Status: Point in time view as at 21/12/2015.

Changes to legislation: Financial Services and Markets Act 2000, Section 351A is up to date with all changes known to be in force on or before 06 August 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

Textual Amendments

- F1** S. 351A inserted (1.7.2011) by The Undertakings for Collective Investment in Transferable Securities Regulations 2011 (S.I. 2011/1613), **reg. 2(28)**
- F2** Words in s. 351A(1) substituted (1.4.2013) by Financial Services Act 2012 (c. 21), s. 122(3), **Sch. 12 para. 22** (with Sch. 20); S.I. 2013/423, art. 3, Sch.
- F3** Words in s. 351A(2)(a) inserted (6.6.2013) by The Collective Investment in Transferable Securities (Contractual Scheme) Regulations 2013 (S.I. 2013/1388), regs. 1, **3(17)(a)(i)** (with reg. 24)
- F4** S. 351A(2)(ba) inserted (6.6.2013) by The Collective Investment in Transferable Securities (Contractual Scheme) Regulations 2013 (S.I. 2013/1388), regs. 1, **3(17)(a)(ii)** (with reg. 24)
- F5** Words in s. 351A(2)(c) inserted (6.6.2013) by The Collective Investment in Transferable Securities (Contractual Scheme) Regulations 2013 (S.I. 2013/1388), regs. 1, **3(17)(a)(i)** (with reg. 24)
- F6** Word in s. 351A(2)(d) omitted (6.6.2013) by virtue of The Collective Investment in Transferable Securities (Contractual Scheme) Regulations 2013 (S.I. 2013/1388), regs. 1, **3(17)(a)(iii)** (with reg. 24)
- F7** S. 351A(2)(da) inserted (6.6.2013) by The Collective Investment in Transferable Securities (Contractual Scheme) Regulations 2013 (S.I. 2013/1388), regs. 1, **3(17)(a)(iii)** (with reg. 24)
- F8** S. 351A(2)(e) substituted (6.6.2013) by The Collective Investment in Transferable Securities (Contractual Scheme) Regulations 2013 (S.I. 2013/1388), regs. 1, **3(17)(a)(iv)** (with reg. 24)
- F9** Words in s. 351A(4) inserted (6.6.2013) by The Collective Investment in Transferable Securities (Contractual Scheme) Regulations 2013 (S.I. 2013/1388), regs. 1, **3(17)(b)** (with reg. 24)

Status:

Point in time view as at 21/12/2015.

Changes to legislation:

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