



Financial Services and Markets Act 2000

2000 CHAPTER 8

PART XXVIII

MISCELLANEOUS

Service of notices

414 Service of notices.

- (1) The Treasury may by regulations make provision with respect to the procedure to be followed, or rules to be applied, when a provision of or made under this Act requires a notice, direction or document of any kind to be given or authorises the imposition of a requirement.
- (2) The regulations may, in particular, make provision—
 - (a) as to the manner in which a document must be given;
 - (b) as to the address to which a document must be sent;
 - (c) requiring, or allowing, a document to be sent electronically;
 - (d) for treating a document as having been given, or as having been received, on a date or at a time determined in accordance with the regulations;
 - (e) as to what must, or may, be done if the person to whom a document is required to be given is not an individual;
 - (f) as to what must, or may, be done if the intended recipient of a document is outside the United Kingdom.
- (3) Subsection (1) applies however the obligation to give a document is expressed (and so, in particular, includes a provision which requires a document to be served or sent).
- (4) Section 7 of the ^{M1}Interpretation Act 1978 (service of notice by post) has effect in relation to provisions made by or under this Act subject to any provision made by regulations under this section.

Status: Point in time view as at 31/12/2023.

Changes to legislation: Financial Services and Markets Act 2000, Section 414 is up to date with all changes known to be in force on or before 26 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

Modifications etc. (not altering text)

- C1** S. 414 amended (1.12.2001) by [S.I. 2001/2657](#), [arts. 1\(1\)](#), 10(7), 11(7) (which was revoked (8.10.2001) by [S.I. 2001/3083](#), [arts. 1\(2\)](#), 23); [S.I. 2001/3538](#), [art. 2\(1\)](#)
S. 414 amended (1.12.2001) by [S.I. 2001/3083](#), [arts. 1\(2\)](#), 10(7), 11(7); [S.I. 2001/3538](#), [art. 2\(1\)](#)
- C2** S. 414 applied (with modifications) (1.1.2016) by [The Small and Medium Sized Business \(Finance Platforms\) Regulations 2015](#) ([S.I. 2015/1946](#)), regs. 1(2), [39\(1\)](#)
- C3** S. 414 applied (with modifications) (1.1.2016) by [The Small and Medium Sized Business \(Credit Information\) Regulations 2015](#) ([S.I. 2015/1945](#)), regs. 1(2), [42\(1\)](#)
- C4** [S. 414\(4\)](#) applied (28.12.2020 until IP completion day when the amending provision ceases to have effect in accordance with reg. 1(4) of the amending S.I.) by [The Bank Recovery and Resolution \(Amendment\) \(EU Exit\) Regulations 2020](#) ([S.I. 2020/1350](#)), regs. 1(4), [101\(2\)](#) (with reg. 107)
- C5** [S. 414\(4\)](#) applied (31.12.2023) by [Financial Services and Markets Act 2023](#) (c. 29), s. 86(3), [Sch. 11 para. 151](#); [S.I. 2023/1382](#), reg. 8(b)

Commencement Information

- I1** S. 414 wholly in force at 18.6.2001; s. 414 not in force at Royal Assent see s. 431(2); s. 414(1)-(3) in force at 25.2.2001 by [S.I. 2001/516](#), [art. 2\(a\)](#), [Sch. Pt. 1](#); s. 414 in force in so far as not already in force at 18.6.2001 by [S.I. 2001/1820](#), [art. 2](#), [Sch.](#)

Marginal Citations

- M1** 1978 c. 30.

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