



Financial Services and Markets Act 2000

2000 CHAPTER 8

[^{F1}PART 4A

PERMISSION TO CARRY ON REGULATED ACTIVITIES

Application for permission

[^{F1}55A Application for permission

- (1) An application for permission to carry on one or more regulated activities may be made to the appropriate regulator by—
 - (a) an individual,
 - (b) a body corporate,
 - (c) a partnership, or
 - (d) an unincorporated association.
- (2) “The appropriate regulator”, in relation to an application under this section, means—
 - (a) the PRA, in a case where—
 - (i) the regulated activities to which the application relates consist of or include a PRA-regulated activity, or
 - (ii) the applicant is a PRA-authorized person otherwise than by virtue of a Part 4A permission;
 - (b) the FCA, in any other case.
- (3) An authorised person who has a permission under this Part which is in force may not apply for permission under this section.
- (4) An EEA firm may not apply for permission under this section to carry on a regulated activity which it is, or would be, entitled to carry on in exercise of an EEA right, whether through a United Kingdom branch or by providing services in the United Kingdom.
- (5) A permission given by the appropriate regulator under this Part or having effect as if so given is referred to in this Act as “a Part 4A permission”.]

Status: Point in time view as at 26/07/2013. This version of this provision has been superseded.

Changes to legislation: Financial Services and Markets Act 2000, Section 55A is up to date with all changes known to be in force on or before 24 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

Textual Amendments

- F1** Pt. 4A substituted for ss. 40-55 (24.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by [Financial Services Act 2012 \(c. 21\)](#), **ss. 11(2)**, 122(3) (with [Sch. 20](#)); [S.I. 2013/113](#), [art. 2\(1\)\(b\)\(c\)](#), [Sch. Pts. 2, 3](#); [S.I. 2013/423](#), [art. 3](#), [Sch.](#)

Modifications etc. (not altering text)

- C1** Pt. 4A modified (26.7.2013 for specified purposes, 1.4.2014 in so far as not already in force) by [The Financial Services and Markets Act 2000 \(Regulated Activities\) \(Amendment\) \(No.2\) Order 2013 \(S.I. 2013/1881\)](#), [arts. 1\(2\)\(6\)](#), **31(6)**
- C2** S. 55A(3) excluded by [S.I. 2011/2832](#), [art. 5\(3\)](#) (as amended) (1.4.2013) by [The Financial Services Act 2012 \(Consequential Amendments and Transitional Provisions\) Order 2013 \(S.I. 2013/472\)](#), **Sch. 2 para. 220(a)(iii)**
- C3** S. 55A(3) modified (2.4.2013) by [The Financial Services and Markets Act 2000 \(Regulated Activities\) \(Amendment\) Order 2013 \(S.I. 2013/655\)](#), [arts. 1](#), **10(5)(b)**
- C4** S. 55A(3) modified (26.7.2013 for specified purposes, 2.9.2013 in so far as not already in force) by [The Financial Services and Markets Act 2000 \(Regulated Activities\) \(Amendment\) \(No.2\) Order 2013 \(S.I. 2013/1881\)](#), [arts. 1\(2\)\(5\)](#), **59(2)(b)**

Status:

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