



Financial Services and Markets Act 2000

2000 CHAPTER 8

PART VI

OFFICIAL LISTING

[^{F1}Rules]

[^{F1}73A Part 6 Rules

- (1) The [^{F2}FCA] may make rules (“Part 6 rules”) for the purposes of this Part.
- (2) Provisions of Part 6 rules expressed to relate to the official list are referred to in this Part as “listing rules”.

^{F3}(3)

[Provisions of Part 6 rules expressed to relate to transferable securities are referred to ^{F4}(4) in this Part as “prospectus rules”.

- (5) In relation to prospectus rules, the purposes of this Part include the purposes of the prospectus [^{F5}regulation].]

[Transparency rules and corporate governance rules are not listing rules ^{F7}... or ^{F6}(6) prospectus rules, but are Part 6 rules.]]

Textual Amendments

- F1** S. 73A inserted (17.3.2005) by [The Financial Services and Markets Act 2000 \(Market Abuse\) Regulations 2005 \(S.I. 2005/381\)](#), regs. 1(3)(b), 4, **Sch. 1 para. 2**
- F2** Words in ss. 73A-84 substituted (24.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by [Financial Services Act 2012 \(c. 21\)](#), ss.16(2)(3)(a), 122(3) (with Sch. 20); S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3; S.I. 2013/423, art. 3, Sch.
- F3** S. 73A(3) omitted (3.7.2016) by virtue of [The Financial Services and Markets Act 2000 \(Market Abuse\) Regulations 2016 \(S.I. 2016/680\)](#), regs. 1, **8(2)(a)**

Status: Point in time view as at 21/07/2019. This version of this provision has been superseded.

Changes to legislation: Financial Services and Markets Act 2000, Section 73A is up to date with all changes known to be in force on or before 24 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

- F4** S. 73A(4)(5) added (1.7.2005) by [The Prospectus Regulations 2005 \(S.I. 2005/1433\)](#), reg. 2(1), **Sch. 1 para. 1**
- F5** Word in s. 73A(5) substituted (21.7.2019) by [The Financial Services and Markets Act 2000 \(Prospectus\) Regulations 2019 \(S.I. 2019/1043\)](#), regs. 1(1), **4** (with reg. 40)
- F6** S. 73A(6) inserted (8.11.2006) by [Companies Act 2006 \(c. 46\)](#), ss. 1272, 1300(1)(a), **Sch. 15 para. 3**
- F7** Words in s. 73A(6) omitted (3.7.2016) by virtue of [The Financial Services and Markets Act 2000 \(Market Abuse\) Regulations 2016 \(S.I. 2016/680\)](#), regs. 1, **8(2)(b)**

Status:

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