



Financial Services and Markets Act 2000

2000 CHAPTER 8

PART VI

OFFICIAL LISTING

Listing particulars

79 Listing particulars and other documents.

- (1) Listing rules may provide that securities ^{F1} . . . of a kind specified in the rules may not be admitted to the official list unless—
- (a) listing particulars have been submitted to, and approved by, the [^{F2}FCA] and published; or
 - (b) in such cases as may be specified by listing rules, such document (other than listing particulars or a prospectus of a kind required by listing rules) as may be so specified has been published.

(2) “Listing particulars” means a document in such form and containing such information as may be specified in listing rules.

(3) For the purposes of this Part, the persons responsible for listing particulars are to be determined in accordance with regulations made by the Treasury.

[^{F3}(3A) Listing rules made under subsection (1) may not specify securities of a kind for which an approved prospectus is required as a result of section 85.]

(4) Nothing in this section affects the [^{F2}FCA's] general power to make listing rules.

Textual Amendments

- F1** Words in s. 79(1) repealed (1.7.2005) by [The Prospectus Regulations 2005 \(S.I. 2005/1433\)](#), reg. 2(1), [Sch. 1 para. 3\(2\)](#)

Status: Point in time view as at 01/07/2021. This version of this provision has been superseded.

Changes to legislation: Financial Services and Markets Act 2000, Section 79 is up to date with all changes known to be in force on or before 29 August 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

- F2** Words in ss. 73A-84 substituted (24.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by [Financial Services Act 2012 \(c. 21\)](#), [ss.16\(2\)\(3\)\(a\)](#), [122\(3\)](#) (with [Sch. 20](#)); [S.I. 2013/113](#), [art. 2\(1\)\(c\)](#), [Sch. Pt. 3](#); [S.I. 2013/423](#), [art. 3](#), [Sch.](#)
- F3** [S. 79\(3A\)](#) inserted (1.7.2005) by [The Prospectus Regulations 2005 \(S.I. 2005/1433\)](#), [reg. 2\(1\)](#), [Sch. 1 para. 3\(3\)](#)

Modifications etc. (not altering text)

- C1** [S. 79](#) extended (1.12.2001) by [S.I. 2001/2957](#), [arts. 1](#), [6\(1\)\(3\)](#); [S.I. 2001/3538](#), [art. 2\(1\)](#)

Commencement Information

- I1** [S. 79](#) wholly in force at 18.6.2001; [s. 79](#) not in force at Royal Assent see [s. 431\(2\)](#); [s. 79\(3\)](#) in force at 25.2.2001 by [S.I. 2001/516](#), [art. 2\(a\)](#), [Sch. Pt. 1](#); [s. 79](#) in force so far as not already in force at 18.6.2001 by [S.I. 2001/1820](#), [art. 2](#), [Sch.](#)

Status:

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