

*Status: Point in time view as at 01/10/2018.*

*Changes to legislation: Proceeds of Crime Act 2002, Paragraph 3 is up to date with all changes known to be in force on or before 27 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

## SCHEDULES

### SCHEDULE 9

#### REGULATED SECTOR AND SUPERVISORY AUTHORITIES

#### [<sup>F1</sup>PART 1

#### REGULATED SECTOR

##### Textual Amendments

- F1** Sch. 9 Pts. 1, 2 substituted (15.12.2007) by [The Proceeds of Crime Act 2002 \(Business in the Regulated Sector and Supervisory Authorities\) Order 2007 \(S.I. 2007/3287\)](#), arts. 1, 2

#### INTERPRETATION

3. (1) In this Part—

<sup>F2</sup>  
...

[<sup>F3</sup>“the Capital Requirements Directive” means [Directive 2013/36/EU](#) of the European Parliament and of the Council of 26th June 2013 on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms;]

[<sup>F4</sup>“the Capital Requirements Regulation” means Regulation (EU) No. 575/2013 of the European Parliament and of the Council [<sup>F5</sup>of 26th June 2013 on prudential requirements for credit institutions and investment firms]]

[<sup>F6</sup>“the Emission Allowance Auctioning Regulation” means Commission Regulation (EU) No. 1031/2010 of 12 November 2010 on the timing, administration and other aspects of auctioning of greenhouse gas emission allowances pursuant to [Directive 2003/87/EC](#) of the European Parliament and of the Council establishing a scheme for greenhouse gas emission allowances trading within the Community;]

[<sup>F7</sup>“the Insurance Distribution Directive” means Directive (EU) 2016/97 of the European Parliament and of the Council of 20 January 2016 on insurance distribution (recast) as amended by Directive (EU) 2018/411 of the European Parliament and of the Council of 14 March 2018;]

<sup>F8</sup>  
...

<sup>F9</sup>  
...

“the Markets in Financial Instruments Directive” means directive [<sup>F10</sup>2014/65/EU of the European Parliament and of the Council of 15 May 2014] on markets in financial instruments.

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[<sup>F11</sup>“the Solvency 2 Directive” means Directive 2009/138/EC of the European Parliament and of the Council of 25 November 2009 on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II);]

- (2) In this Part references to amounts in euros include references to equivalent amounts in another currency.
- (3) Terms used in this Part and in [<sup>F12</sup>the Capital Requirements Regulation, the Capital Requirements Directive] or the Markets in Financial Instruments Directive have the same meaning in this Part as [<sup>F13</sup>in that Regulation or] in those Directives.]

#### Textual Amendments

- F2** Words in Sch. 9 para. 3(1) omitted (1.1.2014) by virtue of The Capital Requirements Regulations 2013 (S.I. 2013/3115), reg. 1(2), **Sch. 2 para. 41(5)(a)**
- F3** Words in Sch. 9 para. 3(1) inserted (26.6.2017) by The Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 (S.I. 2017/692), reg. 1(2), **Sch. 7 para. 6(8)(a)(i)** (with regs. 8, 15)
- F4** Words in Sch. 9 para. 3(1) inserted (1.1.2014) by The Capital Requirements Regulations 2013 (S.I. 2013/3115), reg. 1(2), **Sch. 2 para. 41(5)(b)**
- F5** Words in Sch. 9 para. 3(1) inserted (26.6.2017) by The Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 (S.I. 2017/692), reg. 1(2), **Sch. 7 para. 6(8)(a)(ii)** (with regs. 8, 15)
- F6** Words in Sch. 9 para. 3(1) inserted (12.12.2011) by The Terrorism Act 2000 and Proceeds of Crime Act 2002 (Business in the Regulated Sector) Order 2011 (S.I. 2011/2701), arts. 1, **3(3)**
- F7** Words in Sch. 9 para. 3(1) inserted (1.10.2018) by The Insurance Distribution (Regulated Activities and Miscellaneous Amendments) Order 2018 (S.I. 2018/546), arts. 1(2), **26(b)(ii)**
- F8** Words in Sch. 9 para. 3(1) omitted (1.10.2018) by virtue of The Insurance Distribution (Regulated Activities and Miscellaneous Amendments) Order 2018 (S.I. 2018/546), arts. 1(2), **26(b)(i)**
- F9** Words in Sch. 9 para. 3(1) omitted (1.1.2016) by virtue of The Solvency 2 Regulations 2015 (S.I. 2015/575), reg. 1(2), **Sch. 1 para. 23(3)(a)**
- F10** Words in Sch. 9 para. 3(1) substituted (29.6.2017 for specified purposes, 3.7.2017 for specified purposes, 31.7.2017 for specified purposes, 3.1.2018 in so far as not already in force) by The Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2017 (S.I. 2017/701), reg. 1(2)(3)(4)(6), **Sch. 4 para. 7(3)** (with reg. 7)
- F11** Words in Sch. 9 para. 3(1) inserted (1.1.2016) by The Solvency 2 Regulations 2015 (S.I. 2015/575), reg. 1(2), **Sch. 1 para. 23(3)(b)**
- F12** Words in Sch. 9 para. 3(3) substituted (26.6.2017) by The Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 (S.I. 2017/692), reg. 1(2), **Sch. 7 para. 6(8)(b)(i)** (with regs. 8, 15)
- F13** Words in Sch. 9 para. 3(3) inserted (26.6.2017) by The Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 (S.I. 2017/692), reg. 1(2), **Sch. 7 para. 6(8)(b)(ii)** (with regs. 8, 15)

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