

*Changes to legislation: There are currently no known outstanding effects for the Enterprise Act 2002, SCHEDULE 4. (See end of Document for details)*

## SCHEDULES

### SCHEDULE 4 **U.K.**

Sections 14 and 15

#### TRIBUNAL: PROCEDURE

#### PART 1 **U.K.**

##### GENERAL

#### *Decisions of the Tribunal*

- 1 (1) A decision of the Tribunal in any proceedings before it must—
  - <sup>F1</sup>(a) state the reasons for the decision;
  - (aa) state whether the decision was unanimous or taken by a majority or, where proceedings are heard by a chairman only, state that fact;]
  - (b) be recorded in a document signed and dated by the chairman of the Tribunal dealing with the proceedings.
- (2) In preparing that document the Tribunal shall have regard to the need for excluding, so far as practicable—
  - (a) information the disclosure of which would in its opinion be contrary to the public interest;
  - (b) commercial information the disclosure of which would or might, in its opinion, significantly harm the legitimate business interests of the undertaking to which it relates;
  - (c) information relating to the private affairs of an individual the disclosure of which would, or might, in its opinion, significantly harm his interests.
- (3) But the Tribunal shall also have regard to the extent to which any disclosure mentioned in sub-paragraph (2) is necessary for the purpose of explaining the reasons for the decision.
- (4) The President shall make such arrangements for the publication of the decisions of the Tribunal as he considers appropriate.

#### **Textual Amendments**

- F1** Sch. 4 para. 1(a)(aa) substituted for Sch. 4 para. 1(a) (1.10.2015) by [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), [Sch. 8 para. 23](#); [S.I. 2015/1630](#), art. 3(j)

#### **Modifications etc. (not altering text)**

- C1** Sch. 4 para. 1(2)(b) modified (25.7.2003 for certain purposes, 29.12.2003 for certain further purposes) by [Communications Act 2003 \(c. 21\)](#), [ss. 195\(8\)](#), [411\(2\)\(3\)](#) (with transitional provisions in [Sch. 18](#)); [S.I. 2003/1900](#), arts. 1(2), [2\(1\)](#), 3(1), [Sch. 1](#) (with arts. 3-6 (as amended (8.12.2003) by [S.I. 2003/3142](#), art. 1(3))); [S.I. 2003/3142](#), [art. 3\(2\)](#) (with art. 11)

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Sch. 4 para. 1(2)(b) modified (29.12.2003) by [Communications Act 2003 \(c. 21\)](#), ss. 294(1), 411(2)(3), [Sch. 11 para. 10\(7\)](#) (with transitional provisions in [Sch. 18](#)); S.I. 2003/3142, [art. 3\(1\)](#) (subject to arts. 3(3), 11)

**C2** Sch. 4 para. 1(2)(b) applied (with modifications) by 2003 c. 21, s. 194A(5) (as inserted (31.7.2017) by [Digital Economy Act 2017 \(c. 30\)](#), ss. [87\(5\)](#), 118(6) (with s. 87(12)); S.I. 2017/765, reg. 2(w))

### *Enforcement of injunctions in England and Wales and Northern Ireland*

[<sup>F2</sup>1A (1) Where a person (“A”) fails to comply with an injunction granted by the Tribunal in proceedings under section 47A or 47B of the 1998 Act, the Tribunal may certify the matter to the High Court.

(2) The High Court may enquire into the matter.

(3) If, after hearing any witnesses who may be produced against or on behalf of A, and any statement made by or on behalf of A, the High Court is satisfied that A would have been in contempt of court if the injunction had been granted by the High Court, the High Court may deal with A as if A were in contempt.]

#### **Textual Amendments**

**F2** [Sch. 4 para. 1A](#) and cross-heading inserted (1.10.2015) by [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), [Sch. 8 para. 24](#); S.I. 2015/1630, [art. 3\(j\)](#)

### *Enforcement of decisions in Great Britain*

2 If a decision of the Tribunal is registered in England and Wales in accordance with rules of court or any practice direction—

- (a) payment of damages which are awarded by the decision;
- (b) costs or expenses awarded by the decision; and
- (c) any direction given as a result of the decision,

may be enforced by the High Court as if the damages, costs or expenses were an amount due in pursuance of a judgment or order of the High Court, or as if the direction were an order of the High Court.

3 If a decision of the Tribunal awards damages, costs or expenses, or results in any direction being given, the decision may be recorded for execution in the Books of Council and Session and shall be enforceable accordingly.

4 Subject to rules of court or any practice direction, a decision of the Tribunal may be registered or recorded for execution—

- (a) for the purpose of enforcing a direction given as a result of the decision, by the Registrar of the Tribunal or a person who was a party to the proceedings;
- (b) for the purpose of enforcing a decision to award damages, costs or expenses (other than a decision to which paragraph (c) applies), by the person to whom the sum concerned was awarded; and
- (c) for the purpose of enforcing a decision to award damages which is the subject of an order under section [<sup>F3</sup>47C(3) or (4)] of the 1998 Act, by the [<sup>F4</sup>representative in the proceedings under section 47B of that Act].

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#### Textual Amendments

- F3** Words in Sch. 4 para. 4(c) substituted (1.10.2015) by Consumer Rights Act 2015 (c. 15), s. 100(5), Sch. 8 para. 25(a); S.I. 2015/1630, art. 3(j)
- F4** Words in Sch. 4 para. 4(c) substituted (1.10.2015) by Consumer Rights Act 2015 (c. 15), s. 100(5), Sch. 8 para. 25(b); S.I. 2015/1630, art. 3(j)

#### *Enforcement of decisions in Northern Ireland*

- 5 (1) A decision of the Tribunal may be enforced in Northern Ireland with the leave of the High Court in Northern Ireland—
- (a) in the case of a direction given as a result of the decision, by the Registrar of the Tribunal or a person who was a party to the proceedings;
  - (b) for the purpose of enforcing a decision to award damages, costs or expenses (other than a decision to which paragraph (c) applies), by the person to whom the sum concerned was awarded; and
  - (c) for the purpose of enforcing a decision to award damages which is the subject of an order under section [<sup>F5</sup>47C(3) or (4)] of the 1998 Act, by the [<sup>F6</sup>representative in the proceedings under section 47B of that Act].
- (2) For the purpose of enforcing in Northern Ireland a decision to award damages, costs or expenses—
- (a) payment may be enforced as if the damages, costs or expenses were an amount due in pursuance of a judgment or order of the High Court in Northern Ireland; and
  - (b) a sum equal to the amount of damages, costs or expenses shall be deemed to be payable under a money judgment within the meaning of Article 2(2) of the Judgments Enforcement (Northern Ireland) Order 1981 (S.I. 1981/226 (N.I. 6)) (and the provisions of that Order apply accordingly).
- (3) For the purpose of enforcing in Northern Ireland a direction given as a result of a decision of the Tribunal, the direction may be enforced as if it were an order of the High Court in Northern Ireland.

#### Textual Amendments

- F5** Words in Sch. 4 para. 5(1)(c) substituted (1.10.2015) by Consumer Rights Act 2015 (c. 15), s. 100(5), Sch. 8 para. 25(a); S.I. 2015/1630, art. 3(j)
- F6** Words in Sch. 4 para. 5(1)(c) substituted (1.10.2015) by Consumer Rights Act 2015 (c. 15), s. 100(5), Sch. 8 para. 25(b); S.I. 2015/1630, art. 3(j)

#### *Miscellaneous*

- 6 A decision of the Tribunal in proceedings under section 47B of the 1998 Act which—
- [<sup>F7</sup>(a) awards damages to a person in respect of a claim made or continued on behalf of that person (but is not the subject of an order under section 47C(3) or (4) of that Act); or]
  - (b) awards costs or expenses to [<sup>F8</sup>a person] in respect of proceedings in respect of a claim made under section 47A of that Act prior to its being continued on [<sup>F9</sup>behalf of that person] in the proceedings under section 47B,

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may only be enforced by the <sup>F10</sup>person] concerned with the permission of the High Court or Court of Session.

#### Textual Amendments

- F7** Sch. 4 para. 6(a) substituted (1.10.2015) by Consumer Rights Act 2015 (c. 15), s. 100(5), **Sch. 8 para. 26(a)**; S.I. 2015/1630, art. 3(j)
- F8** Words in Sch. 4 para. 6(b) substituted (1.10.2015) by Consumer Rights Act 2015 (c. 15), s. 100(5), **Sch. 8 para. 26(b)(i)**; S.I. 2015/1630, art. 3(j)
- F9** Words in Sch. 4 para. 6(b) substituted (1.10.2015) by Consumer Rights Act 2015 (c. 15), s. 100(5), **Sch. 8 para. 26(b)(ii)**; S.I. 2015/1630, art. 3(j)
- F10** Word in Sch. 4 para. 6 substituted (1.10.2015) by Consumer Rights Act 2015 (c. 15), s. 100(5), **Sch. 8 para. 26(c)**; S.I. 2015/1630, art. 3(j)

- 7 An award of costs or expenses against a <sup>F11</sup>representative] in proceedings under section 47B of the 1998 Act may not be enforced against any <sup>F12</sup>person] on whose behalf a claim was made or continued in those proceedings.

#### Textual Amendments

- F11** Word in Sch. 4 para. 7 substituted (1.10.2015) by Consumer Rights Act 2015 (c. 15), s. 100(5), **Sch. 8 para. 27(a)**; S.I. 2015/1630, art. 3(j)
- F12** Word in Sch. 4 para. 7 substituted (1.10.2015) by Consumer Rights Act 2015 (c. 15), s. 100(5), **Sch. 8 para. 27(b)**; S.I. 2015/1630, art. 3(j)

- 8 In this Part of this Schedule any reference to damages includes a reference to any sum of money (other than costs or expenses) which may be awarded in respect of a claim made under section 47A of the 1998 Act or included in proceedings under section 47B of that Act.

## PART 2 U.K.

### TRIBUNAL RULES

#### General

- 9 <sup>F13</sup>(1) In this Schedule “the Tribunal”, in relation to any proceedings before it, means the Tribunal as constituted (in accordance with section 14) for the purposes of those proceedings.

<sup>F14</sup>(2) In this Schedule, where a paragraph is capable of applying to proceedings relating to the approval of a collective settlement under section 49A or 49B of the 1998 Act, any reference in that paragraph to “proceedings” includes a reference to those proceedings.]

#### Textual Amendments

- F13** Sch. 4 para. 9 renumbered as Sch. 4 para. 9(1) (3.8.2015 for specified purposes, 1.10.2015 in so far as not already in force) by Consumer Rights Act 2015 (c. 15), s. 100(5), **Sch. 8 para. 28(a)**; S.I. 2015/1584, art. 3(d); S.I. 2015/1630, art. 3(j)

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**F14** Sch. 4 para. 9(2) inserted (3.8.2015 for specified purposes, 1.10.2015 in so far as not already in force) by Consumer Rights Act 2015 (c. 15), s. 100(5), **Sch. 8 para. 28(b)**; S.I. 2015/1584, art. 3(d); S.I. 2015/1630, art. 3(j)

10 Tribunal rules may make different provision for different kinds of proceedings.

### *Institution of proceedings*

[<sup>F15</sup>10A(1) Tribunal rules may make provision as to proceedings on an application for a warrant under section 194 of this Act or section 28, 28A, 62, 62A, 63, 65G or 65H of the 1998 Act, including provision—

- (a) for the Tribunal dealing with the proceedings to consist only of the President or a member of the panel of chairmen;
- (b) as to the manner in which the proceedings are to be conducted, including provision—
  - (i) for such applications to be determined without a hearing;
  - (ii) in cases where there is a hearing, for it to be held in private if the Tribunal considers it appropriate because it is considering information of a kind mentioned in paragraph 1(2);
- (c) as to the persons entitled to be heard in such proceedings (where there is a hearing);
- (d) for requiring persons to attend to give evidence and produce documents, and for authorising the administration of oaths to witnesses;
- (e) as to the evidence which may be required or admitted and the extent to which it should be oral or written;
- (f) allowing the Tribunal to fix time-limits with respect to any aspect of the proceedings and to extend any time-limit (before or after its expiry).

(2) Paragraphs 2 to 8, and 11 to 17, of this Schedule do not apply in relation to the institution or conduct of proceedings for a warrant mentioned in sub-paragraph (1).]

### **Textual Amendments**

**F15** Sch. 4 para. 10A inserted (25.4.2013 for specified purposes, 1.4.2014 in so far as not already in force) by Enterprise and Regulatory Reform Act 2013 (c. 24), ss. 48(5), 103(1)(i)(3); S.I. 2014/416, art. 2(1)(b) (with Sch.)

11 (1) Tribunal rules may make provision as to the period within which and the manner in which proceedings are to be brought.

(2) That provision may, in particular—

- [<sup>F16</sup>(a) make further provision as to procedural aspects of the operation of the limitation or prescriptive periods in relation to claims which may be made in proceedings under section 47A of the 1998 Act, as set out in [<sup>F17</sup>paragraph 23 of Schedule 8A to that Act];]
- (b) provide for the Tribunal to extend the period in which any particular proceedings may be brought; and

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- (c) provide for the form, contents, amendment and acknowledgement of the documents by which proceedings are to be instituted.

#### Textual Amendments

- F16** Sch. 4 para. 11(2)(a) substituted (3.8.2015 for specified purposes, 1.10.2015 in so far as not already in force) by [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), [Sch. 8 para. 29](#); S.I. 2015/1584, art. 3(d); S.I. 2015/1630, art. 3(j)
- F17** Words in Sch. 4 para. 11(2)(a) substituted (9.3.2017) by [The Claims in respect of Loss or Damage arising from Competition Infringements \(Competition Act 1998 and Other Enactments \(Amendment\)\) Regulations 2017 \(S.I. 2017/385\)](#), reg. 1(2), [Sch. 2 para. 11\(1\)](#) (with Sch. 2 para. 11(2))

- 12 Tribunal rules may provide for the Tribunal to reject any proceedings (other than proceedings under section 47A or 47B of the 1998 Act) if it considers that—
- (a) the person instituting them does not have a sufficient interest in the decision with respect to which the proceedings are brought; or
  - (b) the document by which he institutes them discloses no valid grounds for bringing them.

[<sup>F18</sup>13 (1) Tribunal rules may provide for the Tribunal—

- (a) to reject a claim made under section 47A of the 1998 Act or a section 47B claim if it considers that there are no reasonable grounds for making it;
- (b) to reject a section 47B claim if—
  - (i) the Tribunal declines to make a collective proceedings order in respect of the proceedings under section 47B of the 1998 Act,
  - (ii) the Tribunal makes a collective proceedings order in respect of the proceedings, but the order does not provide that the claim in question is eligible for inclusion in the proceedings,
  - (iii) the Tribunal revokes the collective proceedings order in respect of the proceedings, or
  - (iv) the Tribunal varies the collective proceedings order in such a way that the claim in question is no longer included in the proceedings;
- (c) to reject a section 47B claim if the claim had been previously made in proceedings under section 47A of the 1998 Act by a person who has not consented to its being continued in proceedings under section 47B of that Act.

(2) In this paragraph, “a section 47B claim” means a claim made in proceedings under section 47B of the 1998 Act at the commencement of those proceedings.]

#### Textual Amendments

- F18** Sch. 4 para. 13 substituted (3.8.2015 for specified purposes, 1.10.2015 in so far as not already in force) by [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), [Sch. 8 para. 30](#); S.I. 2015/1584, art. 3(d); S.I. 2015/1630, art. 3(j)

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- 14 Tribunal rules may provide for the Tribunal to reject any proceedings if it is satisfied that the person instituting the proceedings has habitually and persistently and without any reasonable ground—
- (a) instituted vexatious proceedings (whether against the same person or against different persons); or
  - (b) made vexatious applications in any proceedings.
- 15 Tribunal rules must ensure that no proceedings are rejected without giving the parties the opportunity to be heard.

#### *Fast-track procedure*

- [<sup>F19</sup>15A(1) Tribunal rules may make provision in relation to a fast-track procedure for claims made in proceedings under section 47A of the 1998 Act, including describing the factors relevant to determining whether a claim is suitable to be dealt with according to that procedure.
- (2) Tribunal rules may make different provision for claims in proceedings under section 47A of the 1998 Act which are and which are not subject to the fast-track procedure.
- (3) Tribunal rules may, in particular, provide for the Tribunal to—
- (a) grant an interim injunction on a claim in proceedings under section 47A of the 1998 Act which is subject to the fast-track procedure to a person who has not given an undertaking as to damages, or
  - (b) impose a cap on the amount that a person may be required to pay under an undertaking as to damages given on the granting of such an interim injunction.
- (4) In sub-paragraph (3) “an undertaking as to damages” means an undertaking to pay damages which a person sustains as a result of the interim injunction and which the Tribunal considers the person to whom the injunction is granted should pay.

#### **Textual Amendments**

**F19** Sch. 4 paras. 15A-15C inserted (3.8.2015 for specified purposes, 1.10.2015 in so far as not already in force) by [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), [Sch. 8 para. 31](#); [S.I. 2015/1584](#), art. 3(d); [S.I. 2015/1630](#), art. 3(j)

#### *Collective proceedings*

- 15B (1) Tribunal rules may make provision in relation to collective proceedings under section 47B of the 1998 Act.
- (2) Rules under sub-paragraph (1) must in particular make provision as to the following matters—
- (a) the procedure governing an application for a collective proceedings order;
  - (b) the factors which the Tribunal must take into account in deciding whether a claim is suitable to be brought in collective proceedings (but rules need not make provision in connection with the determination as to whether claims raise the same, similar or related issues of fact or law);

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- (c) the factors which the Tribunal must take into account in deciding whether to authorise a person to act as a representative in collective proceedings;
- (d) the procedure by which the Tribunal is to reach a decision as to whether to make a collective proceedings order;
- (e) the procedure by which a person may opt in or opt out of collective proceedings;
- (f) the factors which the Tribunal must take into account in deciding whether to vary or revoke a collective proceedings order;
- (g) the assessment of damages in collective proceedings;
- (h) the payment of damages in collective proceedings, including the procedure for publicising an award of damages;
- (i) the effect of judgments and orders in collective proceedings.

#### **Textual Amendments**

**F19** Sch. 4 paras. 15A-15C inserted (3.8.2015 for specified purposes, 1.10.2015 in so far as not already in force) by [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), [Sch. 8 para. 31](#); S.I. 2015/1584, art. 3(d); S.I. 2015/1630, art. 3(j)

#### *Collective settlements*

- 15C (1) Tribunal rules may make provision in relation to collective settlements under sections 49A and 49B of the 1998 Act.
- (2) Rules under sub-paragraph (1) must in particular make provision as to the following matters—
- (a) the procedure governing an application for approval of a proposed collective settlement;
  - (b) where section 49B applies, the factors which the Tribunal must take into account in deciding whether to make a collective settlement order (but rules need not make provision in connection with the determination as to whether claims raise the same, similar or related issues of fact or law);
  - (c) where section 49B applies, the factors which the Tribunal must take into account in deciding whether to authorise a person to act as a settlement representative in relation to a collective settlement;
  - (d) where section 49B applies, the procedure by which the Tribunal is to reach a decision as to whether to make a collective settlement order;
  - (e) the factors which the Tribunal must take into account in deciding whether to approve a proposed collective settlement;
  - (f) the procedure by which the Tribunal is to reach a decision as to whether to approve a collective settlement;
  - (g) the procedure by which a person may opt in or opt out of a collective settlement;
  - (h) the payment of compensation under a collective settlement, including the procedure for publicising a compensation award.]



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### Textual Amendments

**F19** Sch. 4 paras. 15A-15C inserted (3.8.2015 for specified purposes, 1.10.2015 in so far as not already in force) by [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), [Sch. 8 para. 31](#); S.I. 2015/1584, art. 3(d); S.I. 2015/1630, art. 3(j)

### *Pre-hearing reviews and preliminary matters*

- 16 (1) Tribunal rules may make provision for the carrying out by the Tribunal of a preliminary consideration of proceedings (a “pre-hearing review”).
- (2) That provision may include—
- (a) provision enabling such powers to be exercised on a pre-hearing review as may be specified in the rules;
  - (b) provision for security and supplemental provision relating to security.
- (3) For the purposes of sub-paragraph (2)(b)—
- (a) “provision for security” means provision authorising the Tribunal, in specified circumstances, to order a party to the proceedings, if he wishes to continue to participate in them, to pay a deposit not exceeding such sum as may be specified or calculated in a specified manner; and
  - (b) “supplemental provision”, in relation to security, means provision as to—
    - (i) the manner in which the amount of a deposit is to be determined;
    - (ii) the consequences of non-payment of a deposit;
    - (iii) the circumstances in which the deposit, or any part of it, may be refunded to the person who paid it or paid to another party to the proceedings.

### *Conduct of the hearing*

- 17 (1) Tribunal rules may make provision—
- (a) as to the manner in which proceedings are to be conducted, including provision for any hearing to be held in private if the Tribunal considers it appropriate because it is considering information of a kind mentioned in paragraph 1(2);
  - (b) as to the persons entitled to appear on behalf of the parties;
  - (c) for requiring persons to attend to give evidence and produce documents, and for authorising the administration of oaths to witnesses;
  - (d) as to the evidence which may be required or admitted and the extent to which it should be oral or written;
  - (e) allowing the Tribunal to fix time limits with respect to any aspect of proceedings and to extend any time limit (before or after its expiry);
  - (f) enabling the Tribunal, on the application of any party or on its own initiative, to order—
    - (i) the disclosure between, or the production by, the parties of documents or classes of documents; or
    - (ii) such recovery or inspection of documents as might be ordered by a sheriff;
  - (g) for the appointment of experts for the purposes of proceedings;

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- (h) for the award of costs or expenses, including allowances payable to persons in connection with attendance before the Tribunal;
- <sup>F20</sup>(ha) .....
- (i) for taxing or otherwise settling any costs or expenses awarded by the Tribunal or for the enforcement of any order awarding costs or expenses.
- (2) Rules under sub-paragraph (1)(h) may provide, in relation to a claim made under section 47A of the 1998 Act which is continued on behalf of [<sup>F21</sup>a person] in proceedings under section 47B of that Act, for costs or expenses to be awarded to or against [<sup>F22</sup>that person] in respect of proceedings on that claim which took place before it was included in the proceedings under section 47B of that Act.
- [<sup>F23</sup>(2A) Rules under sub-paragraph (1)(h) may provide for costs or expenses to be awarded to or against a person on whose behalf a claim is made or continued in proceedings under section 47B of the 1998 Act in respect of an application in the proceedings made by that person (where that application is not made by the representative in the proceedings on that person's behalf).]
- (3) Otherwise Tribunal rules may not provide for costs or expenses to be awarded to or against [<sup>F24</sup>a person] on whose behalf a claim is made or continued in proceedings under section 47B of the 1998 Act.
- (4) Tribunal rules may make provision enabling the Tribunal to refer any matter arising in any proceedings (other than proceedings under section 47A or 47B of the 1998 Act) back to the authority that made the decision to which the proceedings relate, if it appears that the matter has not been adequately investigated.
- (5) A person who without reasonable excuse fails to comply with—
- any requirement imposed by virtue of sub-paragraph (1)(c); or
  - any requirement with respect to the disclosure, production, recovery or inspection of documents which is imposed by virtue of sub-paragraph (1)(f),
- is guilty of an offence and liable on summary conviction to a fine not exceeding level 3 on the standard scale.

#### Textual Amendments

- F20** Sch. 4 para. 17(1)(ha) omitted (28.6.2022) by virtue of [Judicial Review and Courts Act 2022 \(c. 35\)](#), [ss. 48\(6\)](#), [51\(3\)](#)
- F21** Words in Sch. 4 para. 17(2) substituted (3.8.2015 for specified purposes, 1.10.2015 in so far as not already in force) by [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), [Sch. 8 para. 32\(b\)\(i\)](#); S.I. 2015/1584, art. 3(d); S.I. 2015/1630, art. 3(j)
- F22** Words in Sch. 4 para. 17(2) substituted (3.8.2015 for specified purposes, 1.10.2015 in so far as not already in force) by [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), [Sch. 8 para. 32\(b\)\(ii\)](#); S.I. 2015/1584, art. 3(d); S.I. 2015/1630, art. 3(j)
- F23** Sch. 4 para. 17(2A) inserted (3.8.2015 for specified purposes, 1.10.2015 in so far as not already in force) by [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), [Sch. 8 para. 32\(c\)](#); S.I. 2015/1584, art. 3(d); S.I. 2015/1630, art. 3(j)
- F24** Words in Sch. 4 para. 17(3) substituted (3.8.2015 for specified purposes, 1.10.2015 in so far as not already in force) by [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), [Sch. 8 para. 32\(d\)](#); S.I. 2015/1584, art. 3(d); S.I. 2015/1630, art. 3(j)

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### *Quorum*

- 18 (1) Tribunal rules may make provision as to the consequences of a member of the Tribunal being unable to continue after part of any proceedings have been heard.
- (2) The rules may allow the Tribunal to consist of the remaining members for the rest of the proceedings.
- (3) The rules may enable the President, if it is the chairman of the Tribunal who is unable to continue—
- (a) to appoint either of the remaining members to chair the Tribunal; and
  - (b) if that person is not [<sup>F25</sup>a judge within any of paragraphs (aa) to (ac) of section 12(2) or] a member of the panel of chairmen, to appoint himself or some other suitably qualified person to attend the proceedings and advise the remaining members on any questions of law arising.
- (4) For the purpose of sub-paragraph (3) a person is “suitably qualified” if he is, or is qualified for appointment as, a member of the panel of chairmen.

#### **Textual Amendments**

**F25** Words in Sch. 4 para. 18(3)(b) inserted (27.5.2015) by [Consumer Rights Act 2015 \(c. 15\)](#), **ss. 82(3), 100(5)**; [S.I. 2015/1333](#), **art. 2(b)**

### *Interest*

- 19 (1) Tribunal rules may make provision allowing the Tribunal to order that interest is payable on any sum awarded by the Tribunal or on any fees ordered to be paid under paragraph 20.
- (2) That provision may include provision—
- (a) as to the circumstances in which such an order may be made;
  - (b) as to the manner in which, and the periods in respect of which, interest is to be calculated and paid.

### *Fees*

- 20 (1) Tribunal rules may provide—
- (a) for fees to be chargeable in respect of specified costs of proceedings; and
  - (b) for the amount of such costs to be determined by the Tribunal.
- (2) Any sums received in respect of such fees shall be paid into the Consolidated Fund.

### *Stay or sist of proceedings*

- [<sup>F26</sup>20A(1)] In relation to proceedings in England and Wales or Northern Ireland under section 47A or 47B of the 1998 Act, Tribunal rules may make provision as to the stay of the proceedings, including as to—
- (a) the circumstances in which a stay may be ordered or removed at the request of a party to the proceedings,
  - (b) the circumstances in which the proceedings may be stayed at the instance of the Tribunal, and

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- (c) the procedure to be followed.
- (2) In relation to proceedings in Scotland under section 47A or 47B of the 1998 Act, Tribunal rules may make provision as to the sist of the proceedings, including as to—
- (a) the circumstances in which a sist may be granted or recalled at the request of a party to the proceedings,
  - (b) the circumstances in which the proceedings may be sisted at the instance of the Tribunal, and
  - (c) the procedure to be followed.
- (3) Rules under sub-paragraph (1) or (2) may in particular make provision in relation to the stay or sist of proceedings under section 47A or 47B which relate to a claim in respect of an infringement decision (as defined in section 47A(6)) which has not become final (see section 58A of the 1998 Act).]

#### Textual Amendments

**F26** Sch. 4 para. 20A and cross-heading inserted (3.8.2015 for specified purposes, 1.10.2015 in so far as not already in force) by [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), **Sch. 8 para. 33**; S.I. 2015/1584, art. 3(d); S.I. 2015/1630, art. 3(j)

#### *Withdrawal of proceedings*

- 21 (1) Tribunal rules may make provision—
- (a) preventing a party who has instituted proceedings from withdrawing them without the permission of the Tribunal or, in specified circumstances, the President or the Registrar;
  - (b) for the Tribunal to grant permission to withdraw proceedings on such conditions as it considers appropriate;
  - (c) enabling the Tribunal to publish any decision which it would have made in any proceedings, had the proceedings not been withdrawn;
  - (d) as to the effect of withdrawal of proceedings; and
  - (e) as to the procedure to be followed if parties to proceedings agree to settle.
- (2) Tribunal rules may make, in relation to a claim included in proceedings under section 47B of the 1998 Act, any provision which may be made under sub-paragraph (1) in relation to the whole proceedings.

#### *Injunctions*

[<sup>F27</sup>21A Tribunal rules may make provision in relation to the grant of injunctions (including interim injunctions) in proceedings under section 47A or 47B of the 1998 Act.]

#### Textual Amendments

**F27** Sch. 4 para. 21A and cross-heading inserted (3.8.2015 for specified purposes, 1.10.2015 in so far as not already in force) by [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), **Sch. 8 para. 34**; S.I. 2015/1584, art. 3(d); S.I. 2015/1630, art. 3(j)

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### Interim orders

- 22 (1) Tribunal rules may provide for the Tribunal to make an order, on an interim basis—
- (a) suspending the effect of any decision which is the subject matter of proceedings before it;
  - (b) in the case of an appeal under section 46 or 47 of the 1998 Act, varying the conditions or obligations attached to an exemption;
  - (c) granting any remedy which the Tribunal would have had power to grant in its final decision.
- (2) Tribunal rules may also make provision giving the Tribunal powers similar to those given to the [F28CMA] by section 35 of the 1998 Act.

#### Textual Amendments

**F28** Word in Sch. 4 para. 22(2) substituted (1.4.2014) by [Enterprise and Regulatory Reform Act 2013 \(c. 24\), s. 103\(3\), Sch. 5 para. 66](#) (with s. 28); S.I. 2014/416, art. 2(1)(d) (with Sch.)

### Miscellaneous

- 23 (1) Tribunal rules may make provision enabling the Tribunal to decide where to sit for the purposes of, or of any part of, any proceedings before it.
- (2) Tribunal rules may make provision enabling the Tribunal to decide that any proceedings before it are to be treated, for purposes connected with—
- (a) any appeal from a decision of the Tribunal made in those proceedings; and
  - (b) any other matter connected with those proceedings,
- as proceedings in England and Wales, Scotland or Northern Ireland (regardless of the decision made for the purposes of sub-paragraph (1)).
- (3) For the purposes of sub-paragraph (2), Tribunal rules may provide for each claim made or continued on behalf of [F29a person] in proceedings under section 47B of the 1998 Act to be treated as separate proceedings.

#### Textual Amendments

**F29** Words in Sch. 4 para. 23(3) substituted (3.8.2015 for specified purposes, 1.10.2015 in so far as not already in force) by [Consumer Rights Act 2015 \(c. 15\), s. 100\(5\), Sch. 8 para. 35](#); S.I. 2015/1584, art. 3(d); S.I. 2015/1630, art. 3(j)

- 24 Tribunal rules may make provision—
- (a) for a person who is not a party to be joined in any proceedings;
  - (b) for hearing a person who is not a party where, in any proceedings, it is proposed to make an order or give a direction in relation to that person;
  - (c) for proceedings to be consolidated on such terms as the Tribunal thinks appropriate in such circumstances as may be specified.
- 25 Tribunal rules may make provision for the Tribunal to transfer [F30all or any part of] a claim made in proceedings under section 47A of the 1998 Act to—

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- (a) the High Court or [<sup>F31</sup>the county court in England and Wales or the High Court or a county court in] Northern Ireland; or
- (b) the Court of Session or a sheriff court in Scotland.

**Textual Amendments**

- F30** Words in Sch. 4 para. 25 inserted (1.10.2015) by [Consumer Rights Act 2015 \(c. 15\)](#), s. 100(5), [Sch. 8 para. 36](#); S.I. 2015/1630, art. 3(j)
- F31** Words in Sch. 4 para. 25(a) substituted (22.4.2014) by [Crime and Courts Act 2013 \(c. 22\)](#), s. 61(3), [Sch. 9 para. 81\(d\)](#); S.I. 2014/954, art. 2(c) (with art. 3) (with transitional provisions and savings in S.I. 2014/956, arts. 3-11)

- 26 Tribunal rules may make provision in connection with the transfer of any proceedings from a court mentioned in paragraph 25 to the Tribunal under section 16.

**Changes to legislation:**

There are currently no known outstanding effects for the Enterprise Act 2002, SCHEDULE 4.