
Status: Point in time view as at 06/04/2015.

Changes to legislation: Pensions Act 2004, Cross Heading: Collecting information relevant to the Board of the Pension Protection Fund is up to date with all changes known to be in force on or before 29 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)



Pensions Act 2004

2004 CHAPTER 35

PART 1

THE PENSIONS REGULATOR

Collecting information relevant to the Board of the Pension Protection Fund

68 Information relevant to the Board

The Regulator may collect any information which appears to it to be relevant to the exercise of the functions of the Board of the Pension Protection Fund.

Commencement Information

II S. 68 in force at 6.4.2005 by S.I. 2005/275, art. 2(7), Sch. Pt. 7

69 Duty to notify the Regulator of certain events

- (1) Except where the Regulator otherwise directs, the appropriate person must give notice of any notifiable event to the Regulator.
- (2) In subsection (1) “notifiable event” means—
 - (a) a prescribed event in respect of an eligible scheme, or
 - (b) a prescribed event in respect of the employer in relation to an eligible scheme.
- (3) For the purposes of subsection (1)—
 - (a) in the case of an event within subsection (2)(a), each of the following is “the appropriate person”—
 - (i) the trustees or managers of the scheme,
 - (ii) a person of a prescribed description, and
 - (b) in relation to an event within subsection (2)(b), each of the following is “the appropriate person”—

Status: Point in time view as at 06/04/2015.

Changes to legislation: Pensions Act 2004, Cross Heading: Collecting information relevant to the Board of the Pension Protection Fund is up to date with all changes known to be in force on or before 29 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

- (i) the employer in relation to the scheme,
 - (ii) a person of a prescribed description.
- (4) A notice under subsection (1)—
- (a) must be in writing, and
 - (b) subject to subsection (5), must be given as soon as reasonably practicable after the person giving it becomes aware of the notifiable event.
- (5) Regulations may require a notice under subsection (1) to be given before the beginning of the prescribed period ending with the notifiable event in question.
- (6) No duty to which a person is subject is to be regarded as contravened merely because of any information or opinion contained in a notice under this section.
- This is subject to section 311 (protected items).
- (7) Where the trustees or managers of a scheme fail to comply with an obligation imposed on them by subsection (1), section 10 of the Pensions Act 1995 (c. 26) (civil penalties) applies in relation to any trustee or manager who has failed to take all reasonable steps to secure compliance with that subsection.
- (8) That section also applies to any other person who, without reasonable excuse, fails to comply with an obligation imposed on him by subsection (1).
- (9) In this section—
- “eligible scheme” has the meaning given by section 126;
 - “event” includes a failure to act.

Commencement Information

- I2** S. 69(1)(3)(a)(i)(b)(i)(4)(6)-(9) in force at 6.4.2005 by [S.I. 2005/275](#), [art. 2\(7\)](#), [Sch. Pt. 7](#)
- I3** S. 69(2)(3)(a)(ii)(b)(ii)(5) in force for the purpose only of conferring power to make regulations, orders or rules, as the case may be, 10.2.2005; and for all other purposes at 6.4.2005 in so far as not already in force by [S.I. 2005/275](#), [art. 2\(3\)](#), [Sch. Pt. 3](#)

Status:

Point in time view as at 06/04/2015.

Changes to legislation:

Pensions Act 2004, Cross Heading: Collecting information relevant to the Board of the Pension Protection Fund is up to date with all changes known to be in force on or before 29 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.