



Companies Act 2006

2006 CHAPTER 46

PART 36 U.K.

OFFENCES UNDER THE COMPANIES ACTS [F1] AND FINANCIAL PENALTIES]

General provisions

1125 Meaning of “daily default fine” U.K.

- (1) This section defines what is meant in the Companies Acts where it is provided that a person guilty of an offence is liable on summary conviction to a fine not exceeding a specified amount “and, for continued contravention, a daily default fine” not exceeding a specified amount.
- (2) This means that the person is liable on a second or subsequent summary conviction of the offence to a fine not exceeding the latter amount for each day on which the contravention is continued (instead of being liable to a fine not exceeding the former amount).

Modifications etc. (not altering text)

- C1 Ss. 1125-1132 applied (with modifications) (1.10.2008) by [The Limited Liability Partnerships \(Accounts and Audit\) \(Application of Companies Act 2006\) Regulations 2008 \(S.I. 2008/1911\)](#), **reg. 50** (as amended (1.10.2009) by [The Limited Liability Partnerships \(Application of Companies Act 2006\) Regulations 2009 \(S.I. 2009/1804\)](#), **regs. 2, 85, Sch. 3 para. 15(4)**)
- C2 S. 1125 applied (15.12.2007) by [The Companies \(Cross-Border Mergers\) Regulations \(S.I. 2007/2974\)](#), {reg. 4(1)(f)} (with transitional provisions in Sch. 1 para. 2)
- C3 S. 1125 applied (with modifications) (1.10.2009) by [The Limited Liability Partnerships \(Application of Companies Act 2006\) Regulations 2009 \(S.I. 2009/1804\)](#), **regs. 2, 71**
- C4 S. 1125 applied (26.5.2015) by [Small Business, Enterprise and Employment Act 2015 \(c. 26\)](#), s. 164(3)(g)(ii), **Sch. 4 para. 19(b)**
- C5 S. 1125 applied (with modifications) (26.6.2017) by [The Scottish Partnerships \(Register of People with Significant Control\) Regulations 2017 \(S.I. 2017/694\)](#), **regs. 1(1), 70**

Status: Point in time view as at 26/10/2023.

Changes to legislation: Companies Act 2006, Cross Heading: General provisions is up to date with all changes known to be in force on or before 27 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

- C6** S. 1125 applied by S.I. 2008/565, **reg. 13** (as substituted (with effect in accordance with reg. 2(4) of the amending S.I.) by The Statutory Auditors Regulations 2017 (S.I. 2017/1164), reg. 1(2)(3), **Sch. 2 para. 12** (with reg. 2(6)(7)))
- C7** S. 1125 applied (1.8.2022 for specified purposes, 12.10.2022 in so far as not already in force) by Economic Crime (Transparency and Enforcement) Act 2022 (c. 10), **ss. 36, 69(1)**; S.I. 2022/876, reg. 3(j); S.I. 2022/1039, reg. 2(a)
- C8** S. 1125 applied (with modifications) (26.10.2023 but only so far as it confers a power to make regulations or relates to the exercise of the power, otherwise prosp.) by 1907 c. 24, **s. 3(4)** (as inserted by Economic Crime and Corporate Transparency Act 2023 (c. 56), **ss. 113(2)(b), 219(1)(2)(b)**)

Commencement Information

- II** S. 1125 wholly in force at 1.10.2009 ; s. 1125 not in force at Royal Assent, see s. 1300; s. 1125 in force for specified purposes at 20.1.2007 by S.I. 2006/3428, **art. 3(2)(b)** (subject to **art. 5, Sch. 1** and with **arts. 6, 8, Sch. 5**); s. 1125 in force for further specified purposes at 6.4.2007 by S.I. 2007/1093, **art. 2(2)(c)** (with **art. 11(1)**); s. 1125 in force for further specified purposes at 1.10.2007 by S.I. 2007/2194, **art. 2(1)(l)(3)(h)** (with saving in **art. 12** and subject to transitional provisions and savings in **Sch. 1**); s. 1125 in force for further specified purposes at 6.4.2008 and 1.10.2008 by S.I. 2007/3495, **arts. 3(3)(g), 5(3)(a)** (with savings in **arts. 7, 12**); s. 1125 otherwise in force at 1.10.2009 by S.I. 2008/2860, **art. 3(s)** (with **arts. 5, 7, 8, Sch. 2**) (as amended by S.I. 2009/1802, **art. 18**)

1126 Consents required for certain prosecutions **U.K.**

- (1) This section applies to proceedings for an offence under any of the following provisions—
- section 458, 460 or 949 of this Act (offences of unauthorised disclosure of information);
 - section 953 of this Act (failure to comply with rules about takeover bid documents);
 - section 448, 449, 450, 451 or 453A of the Companies Act 1985 (c. 6) (offences in connection with company investigations);
 - section 798 of this Act or section 455 of the Companies Act 1985 (offence of attempting to evade restrictions on shares).
- [^{F1}[^{F2}section 1112 of this Act (general false statement offence);]]
- [^{F1}section 1112 or 1112A of this Act (false statement offences);]
- [^{F2}paragraph 5 or 6 of Schedule 1B to this Act (breach of certain restrictions imposed under that Schedule)]
- (2) No such proceedings are to be brought in England and Wales except by or with the consent of—
- (a) in the case of an offence under—
 - (i) section 458, 460 or 949 of this Act,
 - (ii) section 953 of this Act, ^{F3}...
 - (iii) section 448, 449, 450, 451 or 453A of the Companies Act 1985, [^{F4}or
 - (iv) section 1112 [^{F5}or 1112A] of this Act,]
 the Secretary of State or the Director of Public Prosecutions;
 - (b) in the case of an offence under section 798 of [^{F6}, or paragraph 5 or 6 of Schedule 1B to,] this Act or section 455 of the Companies Act 1985, the Secretary of State.

Status: Point in time view as at 26/10/2023.

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- (3) No such proceedings are to be brought in Northern Ireland except by or with the consent of—
- (a) in the case of an offence under—
- (i) section 458, 460 or 949 of this Act,
 - (ii) section 953 of this Act, ^{F7}...
 - (iii) section 448, 449, 450, 451 or 453A of the Companies Act 1985, [^{F8}or
 - (iv) section 1112 [^{F9}or 1112A] of this Act,]
- the Secretary of State or the Director of Public Prosecutions for Northern Ireland;
- (b) in the case of an offence under section 798 of [^{F10}, or paragraph 5 or 6 of Schedule 1B to,] this Act or section 455 of the Companies Act 1985, the Secretary of State.

Textual Amendments

- F1** Words in s. 1126(1) substituted (26.10.2023 but only so far as it confers a power to make regulations or relates to the exercise of the power, otherwise prosp.) by [Economic Crime and Corporate Transparency Act 2023 \(c. 56\)](#), **ss. 102(4)(a)**, 219(1)(2)(b)
- F2** Words in s. 1126(1) inserted (26.5.2015 for specified purposes, 6.4.2016 in so far as not already in force) by [Small Business, Enterprise and Employment Act 2015 \(c. 26\)](#), s. 164(1), **Sch. 3 para. 9(2)**; S.I. 2015/1329, reg. 3(a); S.I. 2015/2029, reg. 4(a)
- F3** Word in s. 1126(2)(a)(ii) omitted (26.5.2015 for specified purposes, 6.4.2016 in so far as not already in force) by virtue of [Small Business, Enterprise and Employment Act 2015 \(c. 26\)](#), s. 164(1), **Sch. 3 para. 9(3)(a)**; S.I. 2015/1329, reg. 3(a); S.I. 2015/2029, reg. 4(a)
- F4** S. 1126(2)(a)(iv) and word inserted (26.5.2015 for specified purposes, 6.4.2016 in so far as not already in force) by [Small Business, Enterprise and Employment Act 2015 \(c. 26\)](#), s. 164(1), **Sch. 3 para. 9(3)(b)**; S.I. 2015/1329, reg. 3(a); S.I. 2015/2029, reg. 4(a)
- F5** Words in s. 1126(2)(a)(iv) inserted (26.10.2023 but only so far as it confers a power to make regulations or relates to the exercise of the power, otherwise prosp.) by [Economic Crime and Corporate Transparency Act 2023 \(c. 56\)](#), **ss. 102(4)(b)**, 219(1)(2)(b)
- F6** Words in s. 1126(2)(b) inserted (26.5.2015 for specified purposes, 6.4.2016 in so far as not already in force) by [Small Business, Enterprise and Employment Act 2015 \(c. 26\)](#), s. 164(1), **Sch. 3 para. 9(4)**; S.I. 2015/1329, reg. 3(a); S.I. 2015/2029, reg. 4(a)
- F7** Word in s. 1126(3)(a)(ii) omitted (26.5.2015 for specified purposes, 6.4.2016 in so far as not already in force) by virtue of [Small Business, Enterprise and Employment Act 2015 \(c. 26\)](#), s. 164(1), **Sch. 3 para. 9(5)(a)**; S.I. 2015/1329, reg. 3(a); S.I. 2015/2029, reg. 4(a)
- F8** S. 1126(3)(a)(iv) and word inserted (26.5.2015 for specified purposes, 6.4.2016 in so far as not already in force) by [Small Business, Enterprise and Employment Act 2015 \(c. 26\)](#), s. 164(1), **Sch. 3 para. 9(5)(b)**; S.I. 2015/1329, reg. 3(a); S.I. 2015/2029, reg. 4(a)
- F9** Words in s. 1126(3)(a)(iv) inserted (26.10.2023 but only so far as it confers a power to make regulations or relates to the exercise of the power, otherwise prosp.) by [Economic Crime and Corporate Transparency Act 2023 \(c. 56\)](#), **ss. 102(4)(b)**, 219(1)(2)(b)
- F10** Words in s. 1126(3)(b) inserted (26.5.2015 for specified purposes, 6.4.2016 in so far as not already in force) by [Small Business, Enterprise and Employment Act 2015 \(c. 26\)](#), s. 164(1), **Sch. 3 para. 9(6)**; S.I. 2015/1329, reg. 3(a); S.I. 2015/2029, reg. 4(a)

Modifications etc. (not altering text)

- C9** Ss. 1125-1132 applied (with modifications) (1.10.2008) by [The Limited Liability Partnerships \(Accounts and Audit\) \(Application of Companies Act 2006\) Regulations 2008 \(S.I. 2008/1911\)](#), **reg. 50** (as amended (1.10.2009) by [The Limited Liability Partnerships \(Application of Companies Act 2006\) Regulations 2009 \(S.I. 2009/1804\)](#), regs. 2, 85, **Sch. 3 para. 15(4)**)

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C10 S. 1126 applied (with modifications) (1.10.2009) by [The Limited Liability Partnerships \(Application of Companies Act 2006\) Regulations 2009 \(S.I. 2009/1804\)](#), regs. 2, **72** (as amended (6.4.2016) by [The Limited Liability Partnerships \(Register of People with Significant Control\) Regulations 2016 \(S.I. 2016/340\)](#), reg. 1(3), **Sch. 3 para. 6**)

Commencement Information

I2 S. 1126 wholly in force at 6.4.2008; s. 1126 not in force at Royal Assent, see s. 1300; s. 1126 in force for specified purposes at 20.1.2007 by [S.I. 2006/3428](#), **art. 3(2)(b)** (subject to [art. 5](#), **Sch. 1** and with [arts. 6, 8](#), **Sch. 5**); s. 1126 in force for further specified purposes at 6.4.2007 by [S.I. 2007/1093](#), **art. 2(2)(c)** (with [art. 11\(1\)](#)); s. 1126 in force for further specified purposes at 1.10.2007 by [S.I. 2007/2194](#), **art. 2(1)(l)** (with saving in [art. 12](#)); s. 1126 fully in force at 6.4.2008 by [S.I. 2007/3495](#), **art. 3(1)(n)** (with savings in [arts. 7, 12](#))

1127 Summary proceedings: venue **U.K.**

- (1) Summary proceedings for any offence under the Companies Acts may be taken—
 - (a) against a body corporate, at any place at which the body has a place of business, and
 - (b) against any other person, at any place at which he is for the time being.
- (2) This is without prejudice to any jurisdiction exercisable apart from this section.

Modifications etc. (not altering text)

- C11** S. 1127 applied (15.12.2007) by [The Companies \(Cross-Border Mergers\) Regulations \(S.I. 2007/2974\)](#), {reg. 4(1)(g)} (with transitional provisions in [Sch. 1 para. 2](#))
- C12** Ss. 1127, 1128 applied (15.8.2008) by [The Insurance Accounts Directive \(Lloyd's Syndicate and Aggregate Accounts\) Regulations 2008 \(S.I. 2008/1950\)](#), **reg. 4**
- C13** Ss. 1127, 1128 applied (6.4.2008) by [The Partnerships \(Accounts\) Regulations 2008 \(S.I. 2008/569\)](#), **reg. 16(4)(b)**
- C14** Ss. 1127, 1128 applied (6.4.2008) by [The Partnerships \(Accounts\) Regulations 2008 \(S.I. 2008/569\)](#), **reg. 15(5)(a)**
- C15** S. 1127 applied (6.4.2008) by [The Bank Accounts Directive \(Miscellaneous Banks\) Regulations 2008 \(S.I. 2008/567\)](#), **reg. 13(6)** (with [Sch. para. 11](#))
- C16** Ss. 1125-1132 applied (with modifications) (1.10.2008) by [The Limited Liability Partnerships \(Accounts and Audit\) \(Application of Companies Act 2006\) Regulations 2008 \(S.I. 2008/1911\)](#), **reg. 50** (as amended (1.10.2009) by [The Limited Liability Partnerships \(Application of Companies Act 2006\) Regulations 2009 \(S.I. 2009/1804\)](#), regs. 2, 85, **Sch. 3 para. 15(4)**)
- C17** S. 1127 applied (6.4.2008) by [The Bank Accounts Directive \(Miscellaneous Banks\) Regulations 2008 \(S.I. 2008/567\)](#), **reg. 6(7)** (with [Sch. para. 11](#))
- C18** Ss. 1127, 1128 applied (6.4.2008) by [The Insurance Accounts Directive \(Miscellaneous Insurance Undertakings\) Regulations 2008 \(S.I. 2008/565\)](#), **reg. 13**
- C19** Ss. 1127-1133 applied (with modifications) (1.10.2009) by [The Limited Liability Partnerships \(Application of Companies Act 2006\) Regulations 2009 \(S.I. 2009/1804\)](#), regs. 2, **73**
- C20** S. 1127 applied (with modifications) (E.W.) (2.1.2013) by [The Charitable Incorporated Organisations \(General\) Regulations 2012 \(S.I. 2012/3012\)](#), regs. 1, **23(5)(6)**, **60(2)(3)**
- C21** S. 1127 applied (with modifications) (E.W.) (2.1.2013) by [The Charitable Incorporated Organisations \(Insolvency and Dissolution\) Regulations 2012 \(S.I. 2012/3013\)](#), regs. 1, **15(1)(2)**
- C22** Ss. 1127 1128 applied (26.5.2015) by [Small Business, Enterprise and Employment Act 2015 \(c. 26\)](#), s. 164(3)(g)(ii), **Sch. 4 para. 19(c)**
- C23** S. 1127 applied (with modifications) (26.6.2017) by [The Scottish Partnerships \(Register of People with Significant Control\) Regulations 2017 \(S.I. 2017/694\)](#), regs. 1(1), **71**

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C24 S. 1127 applied by S.I. 2008/565, reg. 13 (as substituted (with effect in accordance with reg. 2(4) of the amending S.I.) by [The Statutory Auditors Regulations 2017 \(S.I. 2017/1164\)](#), reg. 1(2)(3), [Sch. 2 para. 12](#) (with reg. 2(6)(7)))

Commencement Information

I3 S. 1127 wholly in force at 1.10.2009; s. 1127 not in force at Royal Assent, see s. 1300; s. 1127 in force for specified purposes at 20.1.2007 by [S.I. 2006/3428](#), [art. 3\(2\)\(b\)](#) (subject to [art. 5](#), [Sch. 1](#) and with [arts. 6, 8](#), [Sch. 5](#)); s. 1127 in force for further specified purposes at 6.4.2007 by [S.I. 2007/1093](#), [art. 2\(2\)\(c\)](#) (with [art. 11\(1\)](#)); s. 1127 in force for further specified purposes at 1.10.2007 by [S.I. 2007/2194](#), [art. 2\(1\)\(l\)\(3\)\(h\)](#) (with saving in [art. 12](#) and subject to transitional provisions and savings in [Sch. 1](#)); s. 1127 in force for further specified purposes at 6.4.2008 and 1.10.2008 by [S.I. 2007/3495](#), [arts. 3\(3\)\(g\)](#), [5\(3\)\(a\)](#) (with savings in [arts. 7, 12](#)); s. 1127 otherwise in force at 1.10.2009 by [S.I. 2008/2860](#), [art. 3\(s\)](#) (with [arts. 5, 7, 8](#), [Sch. 2](#)) (as amended by [S.I. 2009/1802](#), [art. 18](#))

1128 Summary proceedings: time limit for proceedings **U.K.**

- (1) An information relating to an offence under the Companies Acts that is triable by a magistrates' court in England and Wales may be so tried if it is laid—
 - (a) at any time within three years after the commission of the offence, and
 - (b) within twelve months after the date on which evidence sufficient in the opinion of the Director of Public Prosecutions or the Secretary of State (as the case may be) to justify the proceedings comes to his knowledge.
- (2) Summary proceedings in Scotland for an offence under the Companies Acts—
 - (a) must not be commenced after the expiration of three years from the commission of the offence;
 - (b) subject to that, may be commenced at any time—
 - (i) within twelve months after the date on which evidence sufficient in the Lord Advocate's opinion to justify the proceedings came to his knowledge, or
 - (ii) where such evidence was reported to him by the Secretary of State, within twelve months after the date on which it came to the knowledge of the latter.

Section 136(3) of the Criminal Procedure (Scotland) Act 1995 (c. 46) (date when proceedings deemed to be commenced) applies for the purposes of this subsection as for the purposes of that section.
- (3) A magistrates' court in Northern Ireland has jurisdiction to hear and determine a complaint charging the commission of a summary offence under the Companies Acts provided that the complaint is made—
 - (a) within three years from the time when the offence was committed, and
 - (b) within twelve months from the date on which evidence sufficient in the opinion of the Director of Public Prosecutions for Northern Ireland or the Secretary of State (as the case may be) to justify the proceedings comes to his knowledge.
- (4) For the purposes of this section a certificate of the Director of Public Prosecutions, the Lord Advocate, the Director of Public Prosecutions for Northern Ireland or the Secretary of State (as the case may be) as to the date on which such evidence as is referred to above came to his notice is conclusive evidence.

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Modifications etc. (not altering text)

- C22** Ss. 1127 1128 applied (26.5.2015) by [Small Business, Enterprise and Employment Act 2015 \(c. 26\)](#), s. 164(3)(g)(ii), [Sch. 4 para. 19\(c\)](#)
- C25** S. 1128 applied (15.12.2007) by [The Companies \(Cross-Border Mergers\) Regulations \(S.I. 2007/2974\)](#), {reg. 4(1)(g)} (with transitional provisions in Sch. 1 para. 2)
- C26** Ss. 1127, 1128 applied (6.4.2008) by [The Partnerships \(Accounts\) Regulations 2008 \(S.I. 2008/569\)](#), [reg. 16\(4\)\(b\)](#)
- C27** Ss. 1127, 1128 applied (6.4.2008) by [The Partnerships \(Accounts\) Regulations 2008 \(S.I. 2008/569\)](#), [reg. 15\(5\)\(a\)](#)
- C28** S. 1128 applied (6.4.2008) by [The Bank Accounts Directive \(Miscellaneous Banks\) Regulations 2008 \(S.I. 2008/567\)](#), [reg. 13\(6\)](#) (with Sch. para. 11)
- C29** Ss. 1125-1132 applied (with modifications) (1.10.2008) by [The Limited Liability Partnerships \(Accounts and Audit\) \(Application of Companies Act 2006\) Regulations 2008 \(S.I. 2008/1911\)](#), [reg. 50](#) (as amended (1.10.2009) by [The Limited Liability Partnerships \(Application of Companies Act 2006\) Regulations 2009 \(S.I. 2009/1804\)](#), regs. 2, 85, [Sch. 3 para. 15\(4\)](#))
- C30** S. 1128 applied (6.4.2008) by [The Bank Accounts Directive \(Miscellaneous Banks\) Regulations 2008 \(S.I. 2008/567\)](#), [reg. 6\(7\)](#) (with Sch. para. 11)
- C31** S. 1128 applied (6.4.2008) by [The Insurance Accounts Directive \(Miscellaneous Insurance Undertakings\) Regulations 2008 \(S.I. 2008/565\)](#), [reg. 13](#)
- C32** Ss. 1127, 1128 applied (15.8.2008) by [The Insurance Accounts Directive \(Lloyd's Syndicate and Aggregate Accounts\) Regulations 2008 \(S.I. 2008/1950\)](#), [reg. 4](#)
- C33** Ss. 1127-1133 applied (with modifications) (1.10.2009) by [The Limited Liability Partnerships \(Application of Companies Act 2006\) Regulations 2009 \(S.I. 2009/1804\)](#), regs. 2, [73](#)
- C34** S. 1128 applied (with modifications) (E.W.) (2.1.2013) by [The Charitable Incorporated Organisations \(General\) Regulations 2012 \(S.I. 2012/3012\)](#), regs. 1, [23\(5\)\(6\)](#), [60\(2\)\(3\)](#)
- C35** S. 1128 applied (with modifications) (E.W.) (2.1.2013) by [The Charitable Incorporated Organisations \(Insolvency and Dissolution\) Regulations 2012 \(S.I. 2012/3013\)](#), regs. 1, [15\(1\)\(2\)](#)
- C36** S. 1128 applied (with modifications) (26.6.2017) by [The Scottish Partnerships \(Register of People with Significant Control\) Regulations 2017 \(S.I. 2017/694\)](#), regs. 1(1), [72](#)
- C37** S. 1128 applied by S.I. 2008/565, reg. 13 (as substituted (with effect in accordance with reg. 2(4) of the amending S.I.) by [The Statutory Auditors Regulations 2017 \(S.I. 2017/1164\)](#), reg. 1(2)(3), [Sch. 2 para. 12](#) (with [reg. 2\(6\)\(7\)](#)))
- C38** S. 1128 applied (1.8.2022 for specified purposes, 12.10.2022 in so far as not already in force) by [Economic Crime \(Transparency and Enforcement\) Act 2022 \(c. 10\)](#), [ss. 38\(a\)](#), 69(1); S.I. 2022/876, reg. 3(j); S.I. 2022/1039, reg. 2(a)

Commencement Information

- I4** S. 1128 wholly in force at 1.10.2009; s. 1128 not in force at Royal Assent, see s. 1300; s. 1128 in force for specified purposes at 20.1.2007 by [S.I. 2006/3428](#), [art. 3\(2\)\(b\)](#) (subject to [art. 5](#), [Sch. 1](#) and with [arts. 6, 8](#), [Sch. 5](#)); s. 1128 in force for further specified purposes at 6.4.2007 by [S.I. 2007/1093](#), [art. 2\(2\)\(c\)](#) (with [art. 11\(1\)](#)); s. 1128 in force for further specified purposes at 1.10.2007 by [S.I. 2007/2194](#), [art. 2\(1\)\(l\)\(3\)\(h\)](#) (with saving in [art. 12](#) and subject to transitional provisions and savings in [Sch. 1](#)); s. 1128 in force for further specified purposes at 6.4.2008 and 1.10.2008 by [S.I. 2007/3495](#), [arts. 3\(3\)\(g\)](#), [5\(3\)\(a\)](#) (with savings in [arts. 7, 12](#)); s. 1128 otherwise in force at 1.10.2009 by [S.I. 2008/2860](#), [art. 3\(s\)](#) (with [arts. 5, 7, 8](#), [Sch. 2](#)) (as amended by [S.I. 2009/1802](#), [art. 18](#))

1129 Legal professional privilege **U.K.**

In proceedings against a person for an offence under the Companies Acts, nothing in those Acts is to be taken to require any person to disclose any information that he is

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entitled to refuse to disclose on grounds of legal professional privilege (in Scotland, confidentiality of communications).

Modifications etc. (not altering text)

- C39** S. 1129 applied (15.12.2007) by The Companies (Cross-Border Mergers) Regulations (S.I. 2007/2974), {reg. 4(1)(h)} (with transitional provisions in Sch. 1 para. 2)
- C40** Ss. 1125-1132 applied (with modifications) (1.10.2008) by The Limited Liability Partnerships (Accounts and Audit) (Application of Companies Act 2006) Regulations 2008 (S.I. 2008/1911), **reg. 50** (as amended (1.10.2009) by The Limited Liability Partnerships (Application of Companies Act 2006) Regulations 2009 (S.I. 2009/1804), regs. 2, 85, **Sch. 3 para. 15(4)**)
- C41** Ss. 1127-1133 applied (with modifications) (1.10.2009) by The Limited Liability Partnerships (Application of Companies Act 2006) Regulations 2009 (S.I. 2009/1804), regs. 2, **73**
- C42** S. 1129 applied (with modifications) (E.W.) (2.1.2013) by The Charitable Incorporated Organisations (General) Regulations 2012 (S.I. 2012/3012), regs. 1, **23(5)(6), 60(2)(3)**
- C43** S. 1129 applied (with modifications) (E.W.) (2.1.2013) by The Charitable Incorporated Organisations (Insolvency and Dissolution) Regulations 2012 (S.I. 2012/3013), regs. 1, **15(1)(2)**
- C44** S. 1129 applied (26.5.2015) by Small Business, Enterprise and Employment Act 2015 (c. 26), s. 164(3)(g)(ii), **Sch. 4 para. 19(d)**
- C45** S. 1129 applied (with modifications) (26.6.2017) by The Scottish Partnerships (Register of People with Significant Control) Regulations 2017 (S.I. 2017/694), regs. 1(1), **73**

Commencement Information

- I5** S. 1129 wholly in force at 1.10.2009; s. 1129 not in force at Royal Assent, see s. 1300; s. 1129 in force for specified purposes at 20.1.2007 by S.I. 2006/3428, **art. 3(2)(b)** (subject to **art. 5, Sch. 1** and with **arts. 6, 8, Sch. 5**); s. 1129 in force for further specified purposes at 6.4.2007 by S.I. 2007/1093, **art. 2(2)(c)** (with **art. 11(1)**); s. 1129 in force for further specified purposes at 1.10.2007 by S.I. 2007/2194, **art. 2(1)(l)(3)(h)** (with saving in **art. 12** and subject to transitional provisions and savings in **Sch. 1**); s. 1129 in force for further specified purposes at 6.4.2008 and 1.10.2008 by S.I. 2007/3495, **arts. 3(3)(g), 5(3)(a)** (with savings in **arts. 7, 12**); s. 1129 otherwise in force at 1.10.2009 by S.I. 2008/2860, **art. 3(s)** (with **arts. 5, 7, 8, Sch. 2**) (as amended by S.I. 2009/1802, **art. 18**)

1130 Proceedings against unincorporated bodies **U.K.**

- (1) Proceedings for an offence under the Companies Acts alleged to have been committed by an unincorporated body must be brought in the name of the body (and not in that of any of its members).
- (2) For the purposes of such proceedings—
 - (a) any rules of court relating to the service of documents have effect as if the body were a body corporate, and
 - (b) the following provisions apply as they apply in relation to a body corporate—
 - (i) in England and Wales, section 33 of the Criminal Justice Act 1925 (c. 86) and Schedule 3 to the Magistrates' Courts Act 1980 (c. 43),
 - (ii) in Scotland, sections 70 and 143 of the Criminal Procedure (Scotland) Act 1995 (c. 46),
 - (iii) in Northern Ireland, section 18 of the Criminal Justice Act (Northern Ireland) 1945 (c. 15 (N.I.)) and Article 166 of and Schedule 4 to the Magistrates' Courts (Northern Ireland) Order 1981 (S.I. 1981/1675 (N.I. 26)).

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- (3) A fine imposed on an unincorporated body on its conviction of an offence under the Companies Acts must be paid out of the funds of the body.

Modifications etc. (not altering text)

- C46** Ss. 1125-1132 applied (with modifications) (1.10.2008) by [The Limited Liability Partnerships \(Accounts and Audit\) \(Application of Companies Act 2006\) Regulations 2008 \(S.I. 2008/1911\)](#), **reg. 50** (as amended (1.10.2009) by [The Limited Liability Partnerships \(Application of Companies Act 2006\) Regulations 2009 \(S.I. 2009/1804\)](#), regs. 2, 85, **Sch. 3 para. 15(4)**)
- C47** S. 1130 applied (6.4.2008) by [The Bank Accounts Directive \(Miscellaneous Banks\) Regulations 2008 \(S.I. 2008/567\)](#), **reg. 13(6)** (with Sch. para. 11)
- C48** S. 1130 applied (6.4.2008) by [The Bank Accounts Directive \(Miscellaneous Banks\) Regulations 2008 \(S.I. 2008/567\)](#), **reg. 6(7)** (with Sch. para. 11)
- C49** S. 1130 applied (6.4.2008) by [The Bank Accounts Directive \(Miscellaneous Banks\) Regulations 2008 \(S.I. 2008/567\)](#), regs. 4, **7(2)**, Sch. para. 1 (with Sch. para. 11)
- C50** S. 1130 applied (6.4.2008) by [The Insurance Accounts Directive \(Miscellaneous Insurance Undertakings\) Regulations 2008 \(S.I. 2008/565\)](#), **reg. 12(6)**
- C51** S. 1130 applied (6.4.2008) by [The Insurance Accounts Directive \(Miscellaneous Insurance Undertakings\) Regulations 2008 \(S.I. 2008/565\)](#), **reg. 6(3)(4)**
- C52** S. 1130 applied (15.12.2007) by [The Companies \(Cross-Border Mergers\) Regulations \(S.I. 2007/2974\)](#), {reg. 4(1)(i)} (with transitional provisions in Sch. 1 para. 2)
- C53** S. 1130 applied (6.4.2008) by [The Insurance Accounts Directive \(Miscellaneous Insurance Undertakings\) Regulations 2008 \(S.I. 2008/565\)](#), **reg. 5(7)**
- C54** S. 1130 applied (6.4.2008) by [The Partnerships \(Accounts\) Regulations 2008 \(S.I. 2008/569\)](#), **reg. 15(5)(b)**
- C55** S. 1130 applied (6.4.2008) by [The Partnerships \(Accounts\) Regulations 2008 \(S.I. 2008/569\)](#), **reg. 16(4)(c)**
- C56** Ss. 1127-1133 applied (with modifications) (1.10.2009) by [The Limited Liability Partnerships \(Application of Companies Act 2006\) Regulations 2009 \(S.I. 2009/1804\)](#), regs. 2, **73**
- C57** S. 1130 applied by S.I. 2008/565, reg. 13 (as substituted (with effect in accordance with reg. 2(4) of the amending S.I.) by [The Statutory Auditors Regulations 2017 \(S.I. 2017/1164\)](#), reg. 1(2)(3), **Sch. 2 para. 12** (with reg. 2(6)(7)))
- C58** S. 1130 applied (1.8.2022 for specified purposes, 12.10.2022 in so far as not already in force) by [Economic Crime \(Transparency and Enforcement\) Act 2022 \(c. 10\)](#), **ss. 38(b)**, 69(1); S.I. 2022/876, reg. 3(j); S.I. 2022/1039, reg. 2(a)

Commencement Information

- I6** S. 1130 wholly in force at 1.10.2009; s. 1130 not in force at Royal Assent, see s. 1300; s. 1130 in force for specified purposes at 20.1.2007 by [S.I. 2006/3428](#), **art. 3(2)(b)** (subject to [art. 5](#), [Sch. 1](#) and with [arts. 6, 8](#), [Sch. 5](#)); s. 1130 in force for further specified purposes at 6.4.2007 by [S.I. 2007/1093](#), **art. 2(2)(c)** (with [art. 11\(1\)](#)); s. 1130 in force for further specified purposes at 1.10.2007 by [S.I. 2007/2194](#), **art. 2(1)(l)(3)(h)** (with saving in [art. 12](#) and subject to transitional provisions and savings in [Sch. 1](#)); s. 1130 in force for further specified purposes at 6.4.2008 and 1.10.2008 by [S.I. 2007/3495](#), **arts. 3(3)(g)**, **5(3)(a)** (with savings in [arts. 7, 12](#)); s. 1130 otherwise in force at 1.10.2009 by [S.I. 2008/2860](#), **art. 3(s)** (with [arts. 5, 7, 8](#), [Sch. 2](#)) (as amended by [S.I. 2009/1802](#), [art. 18](#))

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1131 Imprisonment on summary conviction in England and Wales: transitory provision **U.K.**

- (1) This section applies to any provision of the Companies Acts that provides that a person guilty of an offence is liable on summary conviction in England and Wales to imprisonment for a term not exceeding [^{F11}the general limit in a magistrates' court].
- (2) In relation to an offence committed before [^{F12}2 May 2022], for “[^{F13}the general limit in a magistrates' court]” substitute “six months”.

Textual Amendments

- F11** Words in s. 1131(1) substituted (7.2.2023 at 12.00 p.m.) by [The Judicial Review and Courts Act 2022 \(Magistrates' Court Sentencing Powers\) Regulations 2023](#) (S.I. 2023/149), regs. 1(2), 2(1), **Sch. Pt. 1**
- F12** Words in s. 1131(2) substituted (28.4.2022) by [The Criminal Justice Act 2003 \(Commencement No. 33\) and Sentencing Act 2020 \(Commencement No. 2\) Regulations 2022](#) (S.I. 2022/500), regs. 1(2), 5(1), **Sch. Pt. 1**
- F13** Words in s. 1131(2) substituted (7.2.2023 at 12.00 p.m.) by [The Judicial Review and Courts Act 2022 \(Magistrates' Court Sentencing Powers\) Regulations 2023](#) (S.I. 2023/149), regs. 1(2), 2(1), **Sch. Pt. 1**

Modifications etc. (not altering text)

- C59** Ss. 1125-1132 applied (with modifications) (1.10.2008) by [The Limited Liability Partnerships \(Accounts and Audit\) \(Application of Companies Act 2006\) Regulations 2008](#) (S.I. 2008/1911), **reg. 50** (as amended (1.10.2009) by [The Limited Liability Partnerships \(Application of Companies Act 2006\) Regulations 2009](#) (S.I. 2009/1804), regs. 2, 85, **Sch. 3 para. 15(4)**)
- C60** Ss. 1127-1133 applied (with modifications) (1.10.2009) by [The Limited Liability Partnerships \(Application of Companies Act 2006\) Regulations 2009](#) (S.I. 2009/1804), regs. 2, **73**
- C61** S. 1131 applied (with modifications) (E.W.) (2.1.2013) by [The Charitable Incorporated Organisations \(General\) Regulations 2012](#) (S.I. 2012/3012), regs. 1, **60(2)(3)**
- C62** S. 1131 applied (with modifications) (E.W.) (2.1.2013) by [The Charitable Incorporated Organisations \(Insolvency and Dissolution\) Regulations 2012](#) (S.I. 2012/3013), regs. 1, **15(1)(2)**

Commencement Information

- I7** S. 1131 wholly in force at 1.10.2009; s. 1131 not in force at Royal Assent, see s. 1300; s. 1131 in force for specified purposes at 20.1.2007 by [S.I. 2006/3428](#), **art. 3(2)(b)** (subject to [art. 5](#), **Sch. 1** and with [arts. 6, 8](#), **Sch. 5**); s. 1131 in force for further specified purposes at 6.4.2007 by [S.I. 2007/1093](#), **art. 2(2)(c)** (with [art. 11\(1\)](#)); s. 1131 in force for further specified purposes at 1.10.2007 by [S.I. 2007/2194](#), **art. 2(1)(1)(3)(h)** (with saving in [art. 12](#) and subject to transitional provisions and savings in **Sch. 1**); s. 1131 in force for further specified purposes at 6.4.2008 and 1.10.2008 by [S.I. 2007/3495](#), **arts. 3(3)(g), 5(3)(a)** (with savings in [arts. 7, 12](#)); s. 1131 otherwise in force at 1.10.2009 by [S.I. 2008/2860](#), **art. 3(s)** (with [arts. 5, 7, 8](#), **Sch. 2**) (as amended by [S.I. 2009/1802](#), **art. 18**)

Status:

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