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for the Companies Act 2006, Part 1. (See end of Document for details)

SCHEDULES

SCHEDULE 11

RECOGNISED PROFESSIONAL QUALIFICATIONS

VALID FROM 06/04/2008

PART 1

GRANT AND REVOCATION OF RECOGNITION OF A PROFESSIONAL QUALIFICATION

Application for recognition of professional qualification

- 1 (1) A qualifying body may apply to the Secretary of State for an order declaring a qualification offered by it to be a recognised professional qualification for the purposes of this Part of this Act ("a recognition order").
 - (2) In this Part of this Act "a recognised qualifying body" means a qualifying body offering a recognised professional qualification.
 - (3) Any application must be—
 - (a) made in such manner as the Secretary of State may direct, and
 - (b) accompanied by such information as the Secretary of State may reasonably require for the purpose of determining the application.
 - (4) At any time after receiving an application and before determining it the Secretary of State may require the applicant to furnish additional information.
 - (5) The directions and requirements given or imposed under sub-paragraphs (3) and (4) may differ as between different applications.
 - (6) The Secretary of State may require any information to be furnished under this paragraph to be in such form or verified in such manner as he may specify.
 - (7) In the case of examination standards, the verification required may include independent moderation of the examinations over such a period as the Secretary of State considers necessary.
 - (8) Every application must be accompanied by—
 - (a) a copy of the applicant's rules, and
 - (b) a copy of any guidance issued by the applicant in writing.
 - (9) The reference in sub-paragraph (8)(b) to guidance issued by the applicant is a reference to any guidance or recommendation—
 - (a) issued or made by it to all or any class of persons holding or seeking to hold a qualification, or approved or seeking to be approved by the body for the purposes of giving practical training,

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- (b) relevant for the purposes of this Part of this Act, and
- (c) intended to have continuing effect,

including any guidance or recommendation relating to a matter within sub-paragraph (10).

- (10) The matters within this sub-paragraph are—
 - (a) admission to or expulsion from a course of study leading to a qualification,
 - (b) the award or deprivation of a qualification, and
 - (c) the approval of a person for the purposes of giving practical training or the withdrawal of such an approval,

so far as relevant for the purposes of this Part of this Act.

Grant and refusal of recognition

- 2 (1) The Secretary of State may, on an application duly made in accordance with paragraph 1 and after being furnished with all such information as he may require under that paragraph, make or refuse to make a recognition order in respect of the qualification in relation to which the application was made.
 - (2) The Secretary of State may make a recognition order only if it appears to him, from the information furnished by the applicant and having regard to any other information in his possession, that the requirements of Part 2 of this Schedule are satisfied in relation to the qualification.
 - (3) Where the Secretary of State refuses an application for a recognition order he must give the applicant a written notice to that effect specifying which requirements, in his opinion, are not satisfied.
 - (4) A recognition order must state the date on which it takes effect.

Revocation of recognition

- 3 (1) A recognition order may be revoked by a further order made by the Secretary of State if at any time it appears to him—
 - (a) that any requirement of Part 2 of this Schedule is not satisfied in relation to the qualification to which the recognition order relates, or
 - (b) that the qualifying body has failed to comply with any obligation imposed on it by or by virtue of this Part of this Act.
 - (2) An order revoking a recognition order must state the date on which it takes effect, which must be after the period of three months beginning with the date on which the revocation order is made.
 - (3) Before revoking a recognition order the Secretary of State must—
 - (a) give written notice of his intention to do so to the qualifying body,
 - (b) take such steps as he considers reasonably practicable for bringing the notice to the attention of persons holding the qualification or in the course of studying for it, and
 - (c) publish the notice in such manner as he thinks appropriate for bringing it to the attention of any other persons who are in his opinion likely to be affected.
 - (4) A notice under sub-paragraph (3) must—

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- (a) state the reasons for which the Secretary of State proposes to act, and
- (b) give particulars of the rights conferred by sub-paragraph (5).
- (5) A person within sub-paragraph (6) may, within the period of three months beginning with the date of service or publication or such longer period as the Secretary of State may allow, make written representations to the Secretary of State and, if desired, oral representations to a person appointed for that purpose by the Secretary of State.
- (6) The persons within this sub-paragraph are—
 - (a) the qualifying body on which a notice is served under sub-paragraph (3),
 - (b) any person holding the qualification or in the course of studying for it, and
 - (c) any other person who appears to the Secretary of State to be affected.
- (7) The Secretary of State must have regard to any representations made in accordance with sub-paragraph (5) in determining whether to revoke the recognition order.
- (8) If in any case the Secretary of State considers it essential to do so in the public interest he may revoke a recognition order without regard to the restriction imposed by sub-paragraph (2), even if—
 - (a) no notice has been given or published under sub-paragraph (3), or
 - (b) the period of time for making representations in pursuance of such a notice has not expired.
- (9) An order revoking a recognition order may contain such transitional provision as the Secretary of State thinks necessary or expedient.
- (10) A recognition order may be revoked at the request or with the consent of the qualifying body and any such revocation is not subject to—
 - (a) the restrictions imposed by sub-paragraphs (1) and (2), or
 - (b) the requirements of sub-paragraphs (3) to (5) and (7).
- (11) On making an order revoking a recognition order the Secretary of State must—
 - (a) give written notice of the making of the order to the qualifying body,
 - (b) take such steps as he considers reasonably practicable for bringing the making of the order to the attention of persons holding the qualification or in the course of studying for it, and
 - (c) publish a notice of the making of the order in such manner as he thinks appropriate for bringing it to the attention of any other persons who are in his opinion likely to be affected.

Transitional provision

- 4 A recognition order made and not revoked under—
 - (a) paragraph 2(1) of Schedule 12 to the Companies Act 1989 (c. 40), or
 - (b) paragraph 2(1) of Schedule 12 to the Companies (Northern Ireland) Order 1990 (S.I. 1990/593 (N.I. 5)),

before the commencement of this Chapter of this Part of this Act is to have effect after the commencement of this Chapter as a recognition order made under paragraph 2(1) of this Schedule.

5

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Orders not statutory instruments

Orders under this Part of this Schedule shall not be made by statutory instrument.

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