



# Companies Act 2006

## 2006 CHAPTER 46

### PART 44

#### MISCELLANEOUS PROVISIONS

##### *Information as to exercise of voting rights by institutional investors*

#### **1278 Institutions to which information provisions apply**

- (1) The institutions to which section 1277 applies are—
  - (a) unit trust schemes within the meaning of the Financial Services and Markets Act 2000 (c. 8) in respect of which an order is in force under section 243 of that Act;
  - (b) open-ended investment companies incorporated by virtue of regulations under section 262 of that Act;
  - (c) companies approved for the purposes of [F1Chapter 4 of Part 24 of the Corporation Tax Act 2010](investment trusts);
  - (d) pension schemes as defined in section 1(5) of the Pension Schemes Act 1993 (c. 48) or the Pension Schemes (Northern Ireland) Act 1993 (c. 49);
  - (e) undertakings authorised under the Financial Services and Markets Act 2000 to carry on long-term insurance business (that is, the activity of effecting or carrying out contracts of long-term insurance within the meaning of the Financial Services and Markets (Regulated Activities) Order 2001 (S.I. 2001/544);
  - (f) collective investment schemes that are recognised by virtue of section 270 of that Act (schemes authorised in designated countries or territories).
- (2) Regulations under that section may—
  - (a) provide that the section applies to other descriptions of institution;
  - (b) provide that the section does not apply to a specified description of institution.
- (3) The regulations must specify by whom, in the case of any description of institution, the duty imposed by the regulations is to be fulfilled.

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*Status: Point in time view as at 01/04/2010. This version of this provision has been superseded.*

*Changes to legislation: Companies Act 2006, Section 1278 is up to date with all changes known to be in force on or before 19 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

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#### **Textual Amendments**

- F1** Words in s. 1278(1)(c) substituted (1.4.2010 with effect in accordance with s. 1184 of the amending Act) by Corporation Tax Act 2010 (c. 4), ss. 1177, 1184(1), **Sch. 1 para. 490** (with Sch. 2)

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#### **Commencement Information**

- I1** S. 1278 wholly in force at 1.10.2008; s. 1278 not in force at Royal Assent, see s. 1300; s. 1278 in force for specified purposes at 20.1.2007 by S.I. 2006/3428, **art. 3(3)** (subject to art. 5, Sch. 1 and with arts. 6, 8, Sch. 5); s. 1278 in force at 1.10.2008 by S.I. 2007/3495, **art. 5(1)(g)** (with savings in arts. 7, 12)

**Status:**

Point in time view as at 01/04/2010. This version of this provision has been superseded.

**Changes to legislation:**

Companies Act 2006, Section 1278 is up to date with all changes known to be in force on or before 19 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.