
Changes to legislation: Financial Services Act 2012, Paragraph 4 is up to date with all changes known to be in force on or before 26 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULES

SCHEDULE 13 **U.K.**

AUDITORS AND ACTUARIES

- 4 (1) Section 342 (information given by auditor or actuary) is amended as follows.
- (2) In subsection (1), after “authorised person” insert “ or recognised investment exchange ”.
- (3) In subsection (3)—
- (a) for “the Authority”, in the first place, substitute “ a regulator ”,
 - (b) after “authorised person” insert “ or recognised investment exchange ”, and
 - (c) for “the Authority”, in the second place, substitute “ that regulator ”.
- (4) In subsection (4), for “Authority” substitute “ regulator ”.
- (5) In subsection (7), after “authorised person” insert “ or recognised investment exchange ”.
- (6) For “the Authority”, in each other place (including the heading), substitute “ a regulator ”.

Commencement Information

II Sch. 13 para. 4 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

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Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

- Act power to apply conferred (temp.) by [2014 c. 21 s. 79\(4\)](#)
- Act power to apply conferred (temp.) by [2014 c. 21 s. 81\(10\)](#)