

*Status: Point in time view as at 01/04/2013.*

*Changes to legislation: Financial Services Act 2012, Paragraph 5 is up to date with all changes known to be in force on or before 24 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

## SCHEDULES

### SCHEDULE 18

#### FURTHER MINOR AND CONSEQUENTIAL AMENDMENTS

##### PART 1

###### AMENDMENTS OF FSMA 2000

- 5 (1) Section 39 (exemption of appointed representatives) is amended as follows.
- (2) In subsection (1B), for “Authority”, in each place, substitute “ FCA ”.
- (3) For subsection (4) substitute—
- “(4) In determining whether an authorised person has complied with—
- (a) a provision contained in or made under this Act, or
- (b) a qualifying EU provision that is specified, or of a description specified, for the purposes of this subsection by the Treasury by order,
- anything which a relevant person has done or omitted as respects business for which the authorised person has accepted responsibility is to be treated as having been done or omitted by the authorised person.”

##### Commencement Information

- I1** Sch. 18 para. 5 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(b), Sch. Pt. 2
- I2** Sch. 18 para. 5 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

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