

SCHEDULES

SCHEDULE 4

EEA PASSPORT RIGHTS AND TREATY RIGHTS

PART 2

AMENDMENTS OF SCHEDULE 4 TO FSMA 2000: TREATY RIGHTS

- 25 (1) Paragraph 4 (permission) is amended as follows.
- (2) In sub-paragraph (3)—
- (a) for “a Part IV permission” substitute “a Part 4A permission”, and
 - (b) for “Authority” substitute “appropriate UK regulator”.
- (3) In sub-paragraph (4), for “Authority” substitute “appropriate UK regulator”.
- (4) After that sub-paragraph insert—
- “(5) The appropriate UK regulator” means—
- (a) where the Treaty firm is a PRA-authorized person, the FCA or the PRA;
 - (b) in any other case, the FCA.”