



# CHAPTER 23

# CARE ACT 2014

# PART 1

## CARE AND SUPPORT

# General responsibilities of local authorities

- 1 Promoting individual well-being
- 2 Preventing needs for care and support
- 3 Promoting integration of care and support with health services etc.
- 4 Providing information and advice
- 5 Promoting diversity and quality in provision of services
- 6 Co-operating generally
- 7 Co-operating in specific cases

## Meeting needs for care etc.

8 How to meet needs

## Assessing needs

- 9 Assessment of an adult's needs for care and support
- 10 Assessment of a carer's needs for support
- 11 Refusal of assessment
- 12 Assessments under sections 9 and 10: further provision
- 13 The eligibility criteria

## Charging and assessing financial resources

- 14 Power of local authority to charge
- 15 Cap on care costs

- 16 Cap on care costs: annual adjustment
- 17 Assessment of financial resources

#### Duties and powers to meet needs

- 18 Duty to meet needs for care and support
- 19 Power to meet needs for care and support
- 20 Duty and power to meet a carer's needs for support
- 21 Exception for persons subject to immigration control
- 22 Exception for provision of health services
- 23 Exception for provision of housing etc.

#### Next steps after assessments

- 24 The steps for the local authority to take
- 25 Care and support plan, support plan
- 26 Personal budget
- 27 Review of care and support plan or of support plan
- 28 Independent personal budget
- 29 Care account
- 30 Cases where adult expresses preference for particular accommodation

#### Direct payments

- 31 Adults with capacity to request direct payments
- 32 Adults without capacity to request direct payments
- 33 Direct payments: further provision

#### Deferred payment agreements, etc.

- 34 Deferred payment agreements and loans
- 35 Deferred payment agreements and loans: further provision
- 36 Alternative financial arrangements

#### Continuity of care and support when adult moves

- 37 Notification, assessment, etc.
- 38 Case where assessments not complete on day of move

#### Establishing where a person lives, etc.

- 39 Where a person's ordinary residence is
- 40 Disputes about ordinary residence or continuity of care
- 41 Financial adjustments between local authorities

### Safeguarding adults at risk of abuse or neglect

- 42 Enquiry by local authority
- 43 Safeguarding Adults Boards
- 44 Safeguarding adults reviews
- 45 Supply of information
- 46 Abolition of local authority's power to remove persons in need of care
- 47 Protecting property of adults being cared for away from home

### Provider failure

48 Temporary duty on local authority

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- 49 Section 48: cross-border cases
- 50 Temporary duty on local authority in Wales
- 51 Temporary duty on Health and Social Care trust in Northern Ireland
- 52 Sections 48 to 51: supplementary

#### Market oversight

- 53 Specifying criteria for application of market oversight regime
- 54 Determining whether criteria apply to care provider
- 55 Assessment of financial sustainability of care provider
- 56 Informing local authorities where failure of care provider likely
- 57 Sections 54 to 56: supplementary

#### Transition for children to adult care and support, etc.

- 58 Assessment of a child's needs for care and support
- 59 Child's needs assessment: requirements etc.
- 60 Assessment of a child's carer's needs for support
- 61 Child's carer's assessment: requirements etc.
- 62 Power to meet child's carer's needs for support
- 63 Assessment of a young carer's needs for support
- 64 Young carer's assessment: requirements etc.
- 65 Assessments under sections 58 to 64: further provision
- 66 Continuity of services under other legislation

#### Independent advocacy support

- 67 Involvement in assessments, plans etc.
- 68 Safeguarding enquiries and reviews

#### Enforcement of debts

- 69 Recovery of charges, interest etc.
- 70 Transfer of assets to avoid charges

#### Review of funding provisions

71 Five-yearly review by Secretary of State

#### Appeals

72 Part 1 appeals

#### Miscellaneous

- 73 Human Rights Act 1998: provision of regulated care or support etc a public function
- 74 Discharge of hospital patients with care and support needs
- 75 After-care under the Mental Health Act 1983
- 76 Prisoners and persons in approved premises etc.
- 77 Registers of sight-impaired adults, disabled adults, etc.
- 78 Guidance, etc.
- 79 Delegation of local authority functions

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80 Part 1: interpretation

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- 82 Warning notice
- 83 Imposition of licence conditions on NHS foundation trusts
- 84 Trust special administration: appointment of administrator
- 85 Trust special administration: objective, consultation and reports

#### Care Quality Commission

- 86 Restriction on applications for variation or removal of conditions
- 87 Rights of appeal
- 88 Unitary board

#### Increasing the independence of the Care Quality Commission

- 89 Chief Inspectors
- 90 Independence of the Care Quality Commission

#### Performance ratings

91 Reviews and performance assessments

#### False or misleading information

- 92 Offence
- 93 Penalties
- 94 Offences by bodies

### Regulated activities

95 Training for persons working in regulated activity

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#### HEALTH EDUCATION ENGLAND

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96 Health Education England

#### National functions

- 97 Planning education and training for health care workers etc.
- 98 Ensuring sufficient skilled health care workers for the health service
- 99 Quality improvement in education and training, etc.
- 100 Objectives, priorities and outcomes
- 101 Sections 98 and 100: matters to which HEE must have regard
- 102 Advice

### Local functions

- 103 Local Education and Training Boards
- 104 LETBs: appointment etc.
- 105 LETBs: co-operation by providers of health services
- 106 Education and training plans
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Tariffs

108 Tariffs

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109 The Health Research Authority

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110 The HRA's functions

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- 113 Approval of research
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- 115 Establishment by the HRA
- 116 Membership of the United Kingdom Ethics Committee Authority

#### Patient information

117 Approval for processing confidential patient information

#### CHAPTER 3

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118 Transfer orders

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119 Chapters 1 and 2: interpretation and supplementary provision

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#### TRUST SPECIAL ADMINISTRATION

120 Powers of administrator etc.

### PART 4

#### HEALTH AND SOCIAL CARE

#### Integration fund

121 Integration of care and support with health services etc: integration fund

#### Information

122 The Health and Social Care Information Centre: restrictions on dissemination of information

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- 123 Power to make consequential provision
- 124 Power to make transitional etc. provision
- 125 Regulations and orders
- 126 General interpretation
- 127 Commencement
- 128 Extent and application
- 129 Short title

#### SCHEDULES

SCHEDULE 1 — Cross-border placements

Placements from England to Wales, Scotland or Northern Ireland

1 (1) Where a local authority in England is meeting an...

Placements from Wales to England, Scotland or Northern Ireland

2 (1) Where a local authority in Wales is discharging its...

Placements from Scotland to England, Wales or Northern Ireland

3 (1) Where a local authority in Scotland is discharging its...

Placements from Northern Ireland to England, Wales or Scotland

4 (1) Where there are arrangements under Article 15 of the...

### Dispute resolution

5 (1) Any dispute about the application of any of paragraphs...

#### Financial adjustments

- 6 (1) This paragraph applies where— (a) an adult has been...
- 7 (1) In section 86 of the Social Work (Scotland) Act...

Provision of NHS accommodation not to affect deemed ordinary residence etc.

8 (1) In a case where, as a result of this...

### Direct payments

9 (1) Regulations may provide for this Schedule to apply, with...

### Particular types of accommodation

10 (1) Regulations may provide for this Schedule to apply, with...

### Regulations

11 Regulations under this Schedule— (a) if they include provision relating...

### Interpretation

12 (1) This paragraph applies for the purposes of this Schedule....

### Consequential provision

13 In section 194 of the Social Services and Well-being (Wales)...

### Transitory provision

14 (1) Pending the commencement of Part 4 of the Social...

SCHEDULE 2 — Safeguarding Adults Boards

### Membership, etc.

1 (1) The members of an SAB are—

### Funding and other resources

2 (1) A member of an SAB listed in paragraph 1(1)...

### Strategic plan

3 (1) An SAB must publish for each financial year a...

### Annual report

4 (1) As soon as is feasible after the end of...

SCHEDULE 3 — Discharge of hospital patients with care and support needs

Cases where hospital patient is likely to have care and support needs after discharge

1 (1) Where the NHS body responsible for a hospital patient...

Assessment notice given by responsible NHS body to local authority

- 2 (1) The NHS body responsible for a hospital patient, having...
- 3 (1) The relevant authority, having received an assessment notice and...

Cases where discharge of the patient is delayed

4 (1) If the relevant authority, having received an assessment notice...

Delegation to management of independent hospital

5 (1) An NHS body may make arrangements with any person...

Adjustments between local authorities

6 (1) Regulations may modify, or otherwise make provision about, the...

Meaning of "hospital patient", "NHS hospital, "NHS body", etc.

7 (1) A hospital patient is a person ordinarily resident in...

Further provision about assessment notices, discharge notices, etc.

8 Regulations may— (a) specify the form and content of an...

SCHEDULE 4 —

- PART 1 AFTER-CARE UNDER THE MENTAL HEALTH ACT 1983: DIRECT PAYMENTS
- (1) Sections 31 (adults with capacity to request direct payments),...
  PART 2 PROVISION TO BE INSERTED IN SOCIAL SERVICES AND WELL-BEING (WALES) ACT 2014

SCHEDULE A1 Direct payments: after-care under the Mental Health Act...

SCHEDULE 5 — Health Education England PART 1 — CONSTITUTION

# Membership

- 1 (1) HEE consists of— (a) a chair appointed by the...
- 2 (1) The members of HEE must include persons who have...

# Non-executive members: terms of office

3 (1) A person holds office as a non-executive member of...

# Non-executive members: suspension from office

- 4 (1) Having decided to suspend a person under paragraph 3(6),...
- 5 (1) Where a person is suspended from office as the...

# Non-executive members: pay

6 (1) HEE must pay its non-executive members such remuneration as...

# Employees: terms of office

7 (1) Each executive member of HEE is appointed as an...

# Employees: pay

8 (1) HEE must pay its employees such remuneration as it...

# Committees and sub-committees

9 (1) HEE may appoint committees and sub-committees.

### Procedure

10 (1) HEE may regulate its own procedure.

### Seal and evidence

11 (1) The application of HEE's seal must be authenticated by...

## Status of HEE

12 (1) HEE is not to be regarded as a servant... PART 2 — FUNCTIONS

### Exercise of functions

13 (1) HEE must exercise its functions effectively, efficiently and economically....

### Help or advice for other public authorities

14 (1) HEE may provide help or advice to another public...

### Co-operation

15 (1) HEE must, in the exercise of its functions, co-operate...

### NHS contracts

16 In section 9(4) of the National Health Service Act 2006...

### Arrangements with devolved authorities

17 (1) HEE may arrange with a devolved authority for HEE—...

### Failure to exercise functions

18 (1) If the Secretary of State considers that HEE is...PART 3 — FINANCE AND REPORTS

## Funding

19 (1) The Secretary of State must pay HEE for each...

## Financial duties: expenditure

20 (1) HEE must ensure that total expenditure attributable to its...

### Financial duties: use of generated income

21 Where HEE generates income from carrying out activities for the...

### Financial duties: controls on total resource use

22 (1) HEE must ensure that— (a) its use of capital...

## Financial duties: additional controls on resource use

23 (1) The Secretary of State may direct HEE to ensure...

#### Losses and liabilities etc

24 (1) Section 265 of the Public Health Act 1875 (which...

#### Accounts

25 (1) HEE must keep— (a) proper accounts, and

#### Annual accounts

26 (1) HEE must prepare consolidated annual accounts for each financial...

#### Interim accounts

27 (1) The Secretary of State may, with the approval of...

#### Annual report

28 (1) As soon as is feasible after the end of... PART 4 — CONSEQUENTIAL AMENDMENTS

Public Records Act 1958

29 In Part 2 of the Table in Schedule 1 to...

Public Bodies (Admission to Meetings) Act 1960

30 In the Schedule to the Public Bodies (Admission to Meetings)...

Parliamentary Commissioner Act 1967

31 In Schedule 2 to the Parliamentary Commissioner Act 1967, at...

House of Commons Disqualification Act 1975

32 In Part 2 of Schedule 1 to the House of...

Copyright, Designs and Patents Act 1988

33 In section 48(6) of the Copyright, Designs and Patents Act...

Freedom of Information Act 2000

34 In Part 3 of Schedule 1 to the Freedom of...

## Equality Act 2010

35 In Part 1 of Schedule 19 to the Equality Act...

SCHEDULE 6 — Local Education and Training Boards

#### The area for which an LETB is appointed

1 (1) HEE must ensure that the areas of LETBs—

Assessment of whether the appointment criteria are being met in relation to LETBs

2 (1) HEE must, whenever it considers appropriate, assess—

Publication and review of the appointment criteria

3 (1) HEE must publish the appointment criteria; but before doing...

### Exercise of functions

4 (1) Regulations may— (a) give LETBs additional functions relating to...

### SCHEDULE 7 — The Health Research Authority PART 1 — CONSTITUTION

### Membership

1 (1) The HRA consists of— (a) a chair appointed by...

Non-executive members: terms of office

2 (1) A person holds office as a non-executive member of...

### Non-executive members: suspension from office

- 3 (1) Having decided to suspend a person under paragraph 2(6),...
- 4 (1) Where a person is suspended from office as the...

### Non-executive members: pay

5 (1) The HRA must pay its non-executive members such remuneration...

### Employees: terms of office

6 (1) Each executive member of the HRA is appointed as...

### Employees: pay

7 (1) The HRA must pay its employees such remuneration as...

### Committees and sub-committees

- 8 (1) The HRA must appoint a committee for the purpose...
- 9 Regulations may provide for the committee appointed under paragraph 8(1)...

### Procedure

10 (1) The HRA may regulate its own procedure.

### Seal and evidence

11 (1) The application of the HRA's seal must be authenticated...

## Status of the HRA

12 (1) The HRA is not to be regarded as a... PART 2 — FUNCTIONS

## Exercise of functions

13 (1) The HRA must exercise its functions effectively, efficiently and...

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Help or advice for other public authorities

14 (1) The HRA may provide help or advice to another...

Arrangements with devolved authorities

15 (1) The HRA may arrange with a devolved authority for...

#### Failure to exercise functions

16 (1) If the Secretary of State considers that the HRA... PART 3 — FINANCE AND REPORTS

#### Funding

17 The Secretary of State may, with the consent of the...

### Fees and indemnities

18 (1) Regulations may require payment of a fee in relation...

#### Accounts

19 (1) The HRA must keep accounts in such form as...

#### Annual report

20 (1) As soon as is feasible after the end of... PART 4 — CONSEQUENTIAL AMENDMENTS

#### Public Records Act 1958

21 In Part 2 of the Table in Schedule 1 to...

Public Bodies (Admission to Meetings) Act 1960

22 In the Schedule to the Public Bodies (Admission to Meetings)...

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### Copyright, Designs and Patents Act 1988

25 In section 48(6) of the Copyright, Designs and Patents Act...

Freedom of Information Act 2000

26 In Part 6 of Schedule 1 to the Freedom of...

### Equality Act 2010

27 In Part 1 of Schedule 19 to the Equality Act...

SCHEDULE 8 — Research ethics committees: amendments

Ionising Radiation (Medical Exposure) Regulations 2000 (S.I. 2000/1059)

1 In regulation 2(1) of the Ionising Radiation (Medical Exposure) Regulations...

Ionising Radiation (Medical Exposure) Regulations (Northern Ireland) 2000 (S.R. 2000/194)

2 In regulation 2(1) of the Ionising Radiation (Medical Exposure) Regulations...

Health Service (Control of Patient Information) Regulations 2002 (S.I. 2002/1438)

3 In regulation 1(2) of the Health Service (Control of Patient...

Nursing Homes Regulations (Northern Ireland) 2005 (S.R. 2005/160)

4 In regulation 2(1) of the Nursing Homes Regulations (Northern Ireland)...

Residential Care Homes Regulations (Northern Ireland) 2005 (S.R. 2005/161)

5 In regulation 2(1) of the Residential Care Homes Regulations (Northern...

Independent Health Care Regulations (Northern Ireland) 2005 (S.R. 2005/174)

6 In regulation 2(1) of the Independent Health Care Regulations (Northern...

Approval of Research on Organs No Longer Required for Procurator Fiscal Purposes (Specified Purposes) (Scotland) Order 2006 (S.S.I. 2006/310)

7 In article 1(2) of the Approval of Research on Organs...

Human Tissue Act 2004 (Ethical Approval, Exceptions from Licensing and Supply of Information about Transplants) Regulations 2006 (S.I. 2006/1260)

8 In regulation 1(2) of the Human Tissue Act 2004 (Ethical...

Mental Capacity Act 2005 (Appropriate Body) (England) Regulations 2006 (S.I. 2006/2810)

9 In regulation 2 of the Mental Capacity Act 2005 (Appropriate...

Mental Capacity Act 2005 (Appropriate Body) (Wales) Regulations 2007 (S.I. 2007/833)

10 In regulation 2 of the Mental Capacity 2005 (Appropriate Body)...

Human Fertilisation and Embryology (Disclosure of Information for Research Purposes) Regulations 2010 (S.I. 2010/995)

11 In regulation 2(1) of the Human Fertilisation and Embryology (Disclosure...

Independent Health Care (Wales) Regulations 2011 (S.I. 2011/734)

12 In regulation 25 of the Independent Health Care (Wales) Regulations...

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