Status: Point in time view as at 09/08/2019.

Changes to legislation: Bank of England and Financial Services Act 2016, Paragraph 17 is up to date with all changes known to be in force on or before 06 September 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

# SCHEDULES

#### **SCHEDULE 4**

### EXTENSION OF RELEVANT AUTHORISED PERSONS REGIME TO ALL AUTHORISED PERSONS

Amendments of Financial Services and Markets Act 2000

- 17 (1) Section 66B (misconduct: action by PRA) is amended as follows.
  - (2) In subsection (2)(b)(ii) omit "relevant".
  - (3) In subsection (3)(b), for sub-paragraph (ii) substitute—
    "(ii) an employee of the PRA-authorised person".
  - (4) In subsection (5)(a) omit "relevant".
  - (5) In subsection (7)—
    - (a) in the definition of "senior manager", omit "relevant";
    - (b) omit the definition of "designated senior management function".
  - (6) In subsection (8) omit the definition of "relevant PRA-authorised person".
  - (7) Omit subsection (9).

### **Commencement Information**

- I1 Sch. 4 para. 17 in force at 13.9.2018 for specified purposes by S.I. 2018/990, reg. 2(1)(2) (with regs. 3-6)
- 12 Sch. 4 para. 17 in force at 10.12.2018 for specified purposes by S.I. 2018/990, reg. 2(1)(3) (with regs. 3-6)
- I3 Sch. 4 para. 17 in force at 18.7.2019 for specified purposes by S.I. 2019/1136, reg. 2(2) (with reg. 7)
- I4 Sch. 4 para. 17 in force at 9.8.2019 for specified purposes by S.I. 2019/1136, reg. 2(3) (with reg. 7)

### **Status:**

Point in time view as at 09/08/2019.

## **Changes to legislation:**

Bank of England and Financial Services Act 2016, Paragraph 17 is up to date with all changes known to be in force on or before 06 September 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.