

Changes to legislation: Bank of England and Financial Services Act 2016, Paragraph 3 is up to date with all changes known to be in force on or before 16 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULES

SCHEDULE 4

EXTENSION OF RELEVANT AUTHORISED PERSONS REGIME TO ALL AUTHORISED PERSONS

Amendments of Financial Services and Markets Act 2000

3 After section 59ZA insert—

“59ZB Designated senior management functions

For the purposes of this Part the following are “designated senior management functions”—

- (a) a function of a description specified in rules made by the FCA under section 59(3)(a) or (b) which is designated as a senior management function by the FCA under section 59(6A);
- (b) a function of a description specified in rules made by the PRA under section 59(3)(a).”

Commencement Information

- I1** Sch. 4 para. 3 in force at 13.9.2018 for specified purposes by S.I. 2018/990, **reg. 2(1)(2)** (with regs. 3-6)
- I2** Sch. 4 para. 3 in force at 10.12.2018 for specified purposes by S.I. 2018/990, **reg. 2(1)(3)** (with regs. 3-6)
- I3** Sch. 4 para. 3 in force at 18.7.2019 for specified purposes by S.I. 2019/1136, **reg. 2(2)** (with reg. 7)
- I4** Sch. 4 para. 3 in force at 9.8.2019 for specified purposes by S.I. 2019/1136, **reg. 2(3)** (with reg. 7)
- I5** Sch. 4 para. 3 in force at 9.12.2019 for specified purposes by S.I. 2019/1136, **reg. 2(4)** (with regs. 3-5, 7)
- I6** Sch. 4 para. 3 in force at 7.12.2020 in so far as not already in force by S.I. 2019/1136, **reg. 2(5)** (with regs. 5, 7)

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Changes and effects yet to be applied to :

- specified provision(s) amendment to earlier commencing S.I. 2019/1136 by [S.I. 2020/929 reg. 2](#)