SCHEDULE 1 – Exclusion of certain investment firms from the Capital Requirements Regulation: consequential amendments

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Changes to legislation: Financial Services Act 2021, Paragraph 36 is up to date with all changes known to be in force on or before 10 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULES

SCHEDULE 1

EXCLUSION OF CERTAIN INVESTMENT FIRMS FROM THE CAPITAL REQUIREMENTS REGULATION: CONSEQUENTIAL AMENDMENTS

PART 1

AMENDMENTS OF THE CAPITAL REQUIREMENTS REGULATION

- 36 (1) Article 325u(5) (own funds requirements for residual risks) is amended as follows.
 - (2) In the first subparagraph, for "The FCA and PRA may each" substitute "The PRA may ".
 - (3) In the second subparagraph, for "the FCA and PRA" substitute "the PRA".

Commencement Information

Sch. 1 para. 36 in force at 1.1.2022 by S.I. 2021/671, reg. 5(1)(b) (with reg. 5(2)) (as amended by S.I. 2021/1163, regs. 1(2), 2)

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Changes and effects yet to be applied to:

specified provision(s) amendment to earlier commencing S.I. 2021/671, reg. 5 by
S.I. 2021/1163 reg. 2