SCHEDULE 1 – Exclusion of certain investment firms from the Capital Requirements Regulation: consequential amendments

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Changes to legislation: Financial Services Act 2021, Paragraph 56 is up to date with all changes known to be in force on or before 19 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULES

SCHEDULE 1

EXCLUSION OF CERTAIN INVESTMENT FIRMS FROM THE CAPITAL REQUIREMENTS REGULATION: CONSEQUENTIAL AMENDMENTS

PART 2

AMENDMENTS OF THE CAPITAL REQUIREMENTS (COUNTRY-BY-COUNTRY REPORTING) REGULATIONS 2013

- In regulation 6(2) (enforcement)—
 - (a) in sub-paragraph (a) omit "which is a PRA-authorised person within the meaning of section 2B(5) of the 2000 Act", and
 - (b) in sub-paragraph (b), for "any other institution" substitute " a relevant FCA investment firm ".

Commencement Information

I1 Sch. 1 para. 56 in force at 1.1.2022 by S.I. 2021/671, **reg. 5(1)(b)** (with reg. 5(2)) (as amended by S.I. 2021/1163, regs. 1(2), 2)

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Changes and effects yet to be applied to:

 specified provision(s) amendment to earlier commencing S.I. 2021/671, reg. 5 by S.I. 2021/1163 reg. 2