

Status: Point in time view as at 26/10/2023.

Changes to legislation: There are currently no known outstanding effects for the Economic Crime (Transparency and Enforcement) Act 2022, SCHEDULE 1. (See end of Document for details)

SCHEDULES

SCHEDULE 1

Sections 4, 7 and 9

APPLICATIONS: REQUIRED INFORMATION

PART 1

INTRODUCTION

- 1 This Schedule sets out the required information for the purposes of sections 4, 7 and 9.

Commencement Information

- I1** Sch. 1 para. 1 not in force at Royal Assent, see [s. 69](#)
I2 Sch. 1 para. 1 in force at 1.8.2022 (except in so far as relating to ss. 7–11 of the Act) by [S.I. 2022/876](#), [reg. 3\(b\)](#)
I3 Sch. 1 para. 1 in force in so far as not already in force at 12.10.2022 by [S.I. 2022/1039](#), [reg. 2\(b\)](#)

PART 2

OVERSEAS ENTITIES

- 2 (1) The required information about an overseas entity is—
- (a) name;
 - (b) country of incorporation or formation;
 - (c) [^{F1}registered or] principal office;
 - (d) a service address;
 - (e) an email address;
 - (f) the legal form of the entity and the law by which it is governed;
 - (g) any public register in which it is entered and, if applicable, its registration number in that register.
 - [^{F2}(h) if the entity is the registered proprietor of one or more qualifying estates in land in England and Wales, the title number of each of them;
 - (i) if the entity is the registered owner of one or more qualifying estates in Northern Ireland, the folio number in respect of each of them;
 - (j) if the entity is—
 - (i) entered as proprietor in the proprietorship section of the title sheet for one or more plots of land that are registered in the Land Register of Scotland, or
 - (ii) the tenant under one or more leases registered in the Land Register of Scotland,

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the title number of the title sheet, in respect of each of them, in which the entity’s interest is registered.]

- (2) In sub-paragraph (1)(g) “public register” means a register kept by a government or public authority in the country in which the overseas entity was incorporated or formed.
- [^{F3}(3) In sub-paragraph (1)(h)—
- “registered proprietor”, in relation to a qualifying estate, means the person entered as proprietor of the estate in the register of title kept by the Chief Land Registrar;
- “qualifying estate” has the meaning given by paragraph 1 of Schedule 4A to the Land Registration Act 2002.
- (4) In sub-paragraph (1)(i)—
- “registered owner”, in relation to a qualifying estate, means the person registered in the register kept under the [Land Registration Act \(Northern Ireland\) 1970 \(c. 18 \(N.I.\)\)](#) as the owner of the estate;
- “qualifying estate” has the meaning given by paragraph 1 of Schedule 8A to the Land Registration Act (Northern Ireland) 1970.
- (5) In sub-paragraph (1)(j)—
- (a) “lease”, “plot of land” and “proprietor” have the meanings given by section 113(1) of the Land Registration etc. (Scotland) Act 2012;
- (b) the reference to an entity’s being entered as proprietor in the proprietorship section of a title sheet is a reference to the name of the entity being so entered.]

Textual Amendments

- F1** Words in [Sch. 1 para. 2\(1\)\(c\)](#) omitted (26.10.2023 for specified purposes) by virtue of [Economic Crime and Corporate Transparency Act 2023 \(c. 56\)](#), [ss. 157](#), [219\(1\)\(2\)\(b\)](#)
- F2** [Sch. 1 para. 2\(1\)\(h\)-\(j\)](#) inserted (26.10.2023 for specified purposes) by [Economic Crime and Corporate Transparency Act 2023 \(c. 56\)](#), [ss. 158\(a\)](#), [219\(1\)\(2\)\(b\)](#)
- F3** [Sch. 1 para. 2\(3\)-\(5\)](#) inserted (26.10.2023 for specified purposes) by [Economic Crime and Corporate Transparency Act 2023 \(c. 56\)](#), [ss. 158\(b\)](#), [219\(1\)\(2\)\(b\)](#)

Commencement Information

- I4** [Sch. 1 para. 2](#) not in force at Royal Assent, see [s. 69](#)
- I5** [Sch. 1 para. 2](#) in force at 1.8.2022 (except in so far as relating to [ss. 7–11](#) of the Act) by [S.I. 2022/876](#), [reg. 3\(b\)](#)
- I6** [Sch. 1 para. 2](#) in force in so far as not already in force at 12.10.2022 by [S.I. 2022/1039](#), [reg. 2\(b\)](#)

PART 3

REGISTRABLE BENEFICIAL OWNERS

Individuals

- 3 (1) Where a registrable beneficial owner is an individual, the required information about the owner is—

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- (a) name, date of birth and nationality;
 - (b) usual residential address;
 - (c) a service address;
 - (d) the date on which the individual became a registrable beneficial owner in relation to the overseas entity;
 - (e) [^{F4}whether the individual is a registrable beneficial owner by virtue of paragraph 2(1) of Schedule 2 or paragraph 2(2) of that Schedule;]
 - (f) [^{F4}if the individual is a registrable beneficial owner by virtue of paragraph 2(1) of Schedule 2—
 - (i) a statement as to which of the conditions in paragraph 6 of that Schedule is met and why, and
 - (ii) a statement as to whether that condition is met by virtue of the individual being a trustee;]
 - [^{F4}(fa) if the individual is a registrable beneficial owner by virtue of paragraph 2(2) of Schedule 2, a statement as to which of the conditions in paragraph 6A of that Schedule is met and why;]
 - (g) whether the individual is a designated person (within the meaning of section 9(2) of the Sanctions and Anti-Money Laundering Act 2018), where that information is publicly available.
- (2) For the purposes of sub-paragraph (1)(a), “name” means a person’s first name (or other forename) and surname, except that in the case of—
- (a) a peer, or
 - (b) an individual usually known by a title,
- the title may be stated instead of the person’s first name (or other forename) and surname or in addition to either or both of them.

Textual Amendments

- F4** Sch. 1 para. 3(1)(e)-(fa) substituted for Sch. 1 para. 3(1)(e)(f) (26.10.2023 for specified purposes) by Economic Crime and Corporate Transparency Act 2023 (c. 56), ss. 162(2)(a), 219(1)(2)(b)

Commencement Information

- I7** Sch. 1 para. 3 not in force at Royal Assent, see s. 69
- I8** Sch. 1 para. 3 in force at 1.8.2022 (except in so far as relating to ss. 7–11 of the Act) by S.I. 2022/876, reg. 3(b)
- I9** Sch. 1 para. 3 in force in so far as not already in force at 12.10.2022 by S.I. 2022/1039, reg. 2(b)

Governments and public authorities

- 4 Where a registrable beneficial owner is a government or public authority, the required information about the owner is—
- (a) name;
 - (b) principal office;
 - (c) a service address;
 - (d) its legal form and the law by which it is governed;
 - (e) the date on which the entity became a registrable beneficial owner in relation to the overseas entity;

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- (f) [^{F5}whether the government or public authority is a registrable beneficial owner by virtue of paragraph 4(1) of Schedule 2 or paragraph 4(2) of that Schedule;]
- [^{F5}(fa) if the government or public authority is a registrable beneficial owner by virtue of paragraph 4(1) of Schedule 2, a statement as to which of the conditions in paragraph 6 of that Schedule is met and why;
- (fb) if the government or public authority is a registrable beneficial owner by virtue of paragraph 4(2) of Schedule 2, a statement as to which of the conditions in paragraph 6A of that Schedule is met and why;]
- (g) whether the entity is a designated person (within the meaning of section 9(2) of the Sanctions and Anti-Money Laundering Act 2018), where that information is publicly available.

Textual Amendments

- F5** Sch. 1 paras. 4(f)-(fb) substituted for Sch. 1 para. 4(f) (26.10.2023 for specified purposes) by Economic Crime and Corporate Transparency Act 2023 (c. 56), ss. 162(2)(b), 219(1)(2)(b)

Commencement Information

- I10** Sch. 1 para. 4 not in force at Royal Assent, see s. 69
- I11** Sch. 1 para. 4 in force at 1.8.2022 (except in so far as relating to ss. 7–11 of the Act) by S.I. 2022/876, reg. 3(b)
- I12** Sch. 1 para. 4 in force in so far as not already in force at 12.10.2022 by S.I. 2022/1039, reg. 2(b)

Other legal entities

- 5 (1) Where the registrable beneficial owner is a legal entity other than a government or public authority, the required information about the owner is—
- (a) name;
- (b) [^{F6}registered or] principal office;
- (c) a service address;
- (d) the legal form of the entity and the law by which it is governed;
- (e) any public register in which it is entered and, if applicable, its registration number in that register;
- (f) the date on which the entity became a registrable beneficial owner in relation to the overseas entity;
- (g) [^{F7}whether the entity is a registrable beneficial owner by virtue of paragraph 3(1) of Schedule 2 or paragraph 3(2) of that Schedule;]
- (h) [^{F7}if the entity is a registrable beneficial owner by virtue of paragraph 3(1) of Schedule 2—
- (i) a statement as to which of the conditions in paragraph 6 of that Schedule is met and why, and
- (ii) a statement as to whether that condition is met by virtue of the entity being a trustee;]
- [^{F7}(ha) if the entity is a registrable beneficial owner by virtue of paragraph 3(2) of Schedule 2, a statement as to which of the conditions in paragraph 6A of that Schedule is met and why;]

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- (i) whether the entity is a designated person (within the meaning of section 9(2) of the Sanctions and Anti-Money Laundering Act 2018), where that information is publicly available.

(2) In sub-paragraph (1)(e) “public register” has the meaning given by paragraph 2(2).

Textual Amendments

- F6** Words in Sch. 1 para. 5(1)(b) omitted (26.10.2023 for specified purposes) by virtue of Economic Crime and Corporate Transparency Act 2023 (c. 56), ss. 157, 219(1)(2)(b)
- F7** Sch. 1 para. 5(1)(g)-(ha) substituted for Sch. 1 para. 5(1)(g)(h) (26.10.2023 for specified purposes) by Economic Crime and Corporate Transparency Act 2023 (c. 56), ss. 162(2)(c), 219(1)(2)(b)

Commencement Information

- I13** Sch. 1 para. 5 not in force at Royal Assent, see s. 69
- I14** Sch. 1 para. 5 in force at 1.8.2022 (except in so far as relating to ss. 7–11 of the Act) by S.I. 2022/876, reg. 3(b)
- I15** Sch. 1 para. 5 in force in so far as not already in force at 12.10.2022 by S.I. 2022/1039, reg. 2(b)

PART 4

MANAGING OFFICERS

Individuals

- 6 (1) Where a managing officer is an individual, the required information about the officer is—
- (a) name, date of birth and nationality;
 - (b) any former name (unless sub-paragraph (2) applies);
 - (c) usual residential address;
 - (d) a service address (which may be stated as the entity’s [^{F8}registered or] principal office);
 - (e) business occupation (if any);
 - (f) a description of the officer’s roles and responsibilities in relation to the entity.
- [^{F9}(g) if the officer is under the age of 16 years old, the name and contact details of an individual who is at least 16 years old and is willing to be contacted about the officer.]
- (2) This sub-paragraph applies in the following cases—
- (a) in the case of a peer or an individual normally known by a British title, where the name is one by which the person was known previous to the adoption of or succession to the title;
 - (b) in the case of any person, where the former name—
 - (i) was changed or disused before the person attained the age of 16 years, or
 - (ii) has been changed or disused for 20 years or more.
- (3) In sub-paragraph (1)(a), “name” has the meaning given by paragraph 3(2).

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- (4) For the purposes of sub-paragraph (1)(b), “former name” means a name by which the individual was formerly known for business purposes.

Where a person is or was formerly known by more than one such name, each of them must be stated.

Textual Amendments

- F8** Words in Sch. 1 para. 6(1)(d) omitted (26.10.2023 for specified purposes) by virtue of Economic Crime and Corporate Transparency Act 2023 (c. 56), ss. 157, 219(1)(2)(b)
- F9** Sch. 1 para. 6(1)(g) inserted (26.10.2023 for specified purposes) by Economic Crime and Corporate Transparency Act 2023 (c. 56), ss. 160(2), 219(1)(2)(b)

Commencement Information

- I16** Sch. 1 para. 6 not in force at Royal Assent, see s. 69
- I17** Sch. 1 para. 6 in force at 1.8.2022 (except in so far as relating to ss. 7–11 of the Act) by S.I. 2022/876, reg. 3(b)
- I18** Sch. 1 para. 6 in force in so far as not already in force at 12.10.2022 by S.I. 2022/1039, reg. 2(b)

Persons other than individuals

- 7 (1) Where a managing officer is not an individual, the required information about the officer is—
- (a) name;
 - (b) [^{F10}registered or] principal office;
 - (c) a service address;
 - (d) the legal form of the entity and the law by which it is governed;
 - (e) any public register in which it is entered and, if applicable, its registration number in that register;
 - (f) a description of the officer’s roles and responsibilities in relation to the entity;
 - (g) [^{F11}the name and contact details of an individual who is at least 16 years old and is willing to be contacted about the officer.]
- (2) In sub-paragraph (1)(e) “public register” has the meaning given by paragraph 2(2).
- (3) In sub-paragraph (1)(g), “name” has the meaning given by paragraph 3(2).

Textual Amendments

- F10** Words in Sch. 1 para. 7(1)(b) omitted (26.10.2023 for specified purposes) by virtue of Economic Crime and Corporate Transparency Act 2023 (c. 56), ss. 157, 219(1)(2)(b)
- F11** Sch. 1 para. 7(1)(g) substituted (26.10.2023 for specified purposes) by Economic Crime and Corporate Transparency Act 2023 (c. 56), ss. 160(3), 219(1)(2)(b)

Commencement Information

- I19** Sch. 1 para. 7 not in force at Royal Assent, see s. 69
- I20** Sch. 1 para. 7 in force at 1.8.2022 (except in so far as relating to ss. 7–11 of the Act) by S.I. 2022/876, reg. 3(b)
- I21** Sch. 1 para. 7 in force in so far as not already in force at 12.10.2022 by S.I. 2022/1039, reg. 2(b)

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PART 5

TRUSTS

- 8 (1) The required information about a trust is—
- (a) the name of the trust or, if it does not have a name, a description by which it may be identified;
 - (b) the date on which the trust was created;
 - (c) in relation to each person who has at any time been a registrable beneficial owner in relation to the overseas entity by virtue of being a trustee of the trust—
 - (i) the person’s name,
 - (ii) the date on which the person became a registrable beneficial owner in that capacity, and
 - (iii) if relevant, the date on which the person ceased to be a registrable beneficial owner in that capacity;
 - (d) [^{F12}the specified details of each beneficiary under the trust;]
 - (e) [^{F12}the specified details of each settlor or grantor and, in relation to any settlor or grantor that is a legal entity, the specified details of any person who at the time at which the trust is settled—
 - (i) is a registrable beneficial owner in relation to that entity (if it is overseas entity), or
 - (ii) would be a registrable beneficial owner in relation to the entity if that entity were an overseas entity;]
 - (f) [^{F12}the specified details of any interested person under the trust and the date on which they became an interested person.]
- [^{F13}(1A) In sub-paragraph (1)(d) to (f) “the specified details”—
- (a) in relation to a person who is an individual, means—
 - (i) name, date of birth and nationality;
 - (ii) usual residential address;
 - (iii) a service address;
 - (b) in relation to a person that is a legal entity, means—
 - (i) name;
 - (ii) principal office;
 - (iii) a service address;
 - (iv) the legal form of the entity and the law by which it is governed;
 - (v) any public register in which it is entered and, if applicable, its registration number in that register.]
- (2) In [^{F14}sub-paragraph (1)(c)] [^{F14}sub-paragraphs (1)(c) and (1A)(a)], “name”, in relation to an individual, has the meaning given by paragraph 3(2).
- (3) In sub-paragraph (1)(f), “interested person”, in relation to a trust, means any person who, under the terms of the trust, has rights in respect of—
- (a) the appointment or removal of trustees, or
 - (b) the exercise by the trustees of their functions.

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Textual Amendments

- F12** Sch. 1 para. 8(1)(d)-(f) substituted (26.10.2023 for specified purposes) by [Economic Crime and Corporate Transparency Act 2023 \(c. 56\)](#), [ss. 159\(2\)](#), 219(1)(2)(b)
- F13** Sch. 1 para. 8(1A) inserted (26.10.2023 for specified purposes) by [Economic Crime and Corporate Transparency Act 2023 \(c. 56\)](#), [ss. 159\(3\)](#), 219(1)(2)(b)
- F14** Words in Sch. 1 para. 8(2) substituted (26.10.2023 for specified purposes) by [Economic Crime and Corporate Transparency Act 2023 \(c. 56\)](#), [ss. 159\(4\)](#), 219(1)(2)(b)

Commencement Information

- I22** Sch. 1 para. 8 not in force at Royal Assent, see [s. 69](#)
- I23** Sch. 1 para. 8 in force at 1.8.2022 (except in so far as relating to ss. 7–11 of the Act) by [S.I. 2022/876](#), [reg. 3\(b\)](#)
- I24** Sch. 1 para. 8 in force in so far as not already in force at 12.10.2022 by [S.I. 2022/1039](#), [reg. 2\(b\)](#)

PART 6

POWERS TO MAKE FURTHER PROVISION UNDER THIS SCHEDULE

- 9 (1) The Secretary of State may by regulations make further provision about the information required by paragraphs 2 to 8.
- (2) Regulations under this paragraph are subject to the negative resolution procedure.

Commencement Information

- I25** Sch. 1 para. 9 not in force at Royal Assent, see [s. 69](#)
- I26** Sch. 1 para. 9 in force at 1.8.2022 (except in so far as relating to ss. 7–11 of the Act) by [S.I. 2022/876](#), [reg. 3\(b\)](#)
- I27** Sch. 1 para. 9 in force in so far as not already in force at 12.10.2022 by [S.I. 2022/1039](#), [reg. 2\(b\)](#)

- 10 (1) The Secretary of State may by regulations amend this Schedule so as to add to or remove from any list of information in this Schedule.
- (2) Regulations under this paragraph are subject to the affirmative resolution procedure.

Commencement Information

- I28** Sch. 1 para. 10 not in force at Royal Assent, see [s. 69](#)
- I29** Sch. 1 para. 10 in force at 1.8.2022 (except in so far as relating to ss. 7–11 of the Act) by [S.I. 2022/876](#), [reg. 3\(b\)](#)
- I30** Sch. 1 para. 10 in force in so far as not already in force at 12.10.2022 by [S.I. 2022/1039](#), [reg. 2\(b\)](#)

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