

## 1968 No. 1389

## PATENTS

## The Patents Rules 1968

*Made* - - - - 27th August 1968

*Laid before Parliament* 12th September 1968

*Coming into Operation* 1st November 1968

## ARRANGEMENT OF RULES

(Any reference to a section is a reference to that section of the Patents Act 1949 as amended by the Patents Act 1957 and the Patents and Designs (Renewals, Extensions and Fees) Act 1961)

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The Board of Trade, in pursuance of the powers conferred upon them by sections 94, 95 and 99 of the Patents Act 1949(a), as amended by the Patents Act 1957(b), and Patents and Designs (Renewals, Extensions and Fees) Act 1961(c) and the Patents (Fees Amendment) Order 1961(d), and of all other powers enabling them in that behalf, after consultation with the Council on Tribunals, and, as regards Rule 3 hereof, with the consent of the Treasury, hereby make the following Rules :—

*Citation, Commencement and Interpretation*

1. These Rules may be cited as the Patents Rules 1968 and shall come into operation on 1st November 1968.

2.—(1) In these Rules, unless the context otherwise requires—

“the Act” means the Patents Act 1949, as amended by the Patents Act 1957 and the Patents and Designs (Renewals, Extensions and Fees) Act 1961, and save where otherwise indicated, any reference to a section is a reference to that section of the Act ;

“Journal” means the Official Journal (Patents) published in accordance with Rule 143 ;

“Office” means the Patent Office ;

“register” means the register of patents kept under the provisions of section 73 ;

“United Kingdom” includes the Isle of Man.

(2) The Interpretation Act 1889(e) shall apply to the interpretation of these Rules as it applies to the interpretation of an Act of Parliament, and as if these Rules and the Rules hereby revoked were Acts of Parliament.

*Fees and Forms*

3. The fees to be paid in respect of any matters arising under the Act shall be those specified in Schedule I to these Rules and in any case where a form specified in that Schedule as the corresponding form in relation to any matter is required to be used that form shall be accompanied by the fee specified in respect of that matter.

4. The forms mentioned in these Rules are those set out in Schedule 2 to these Rules and such forms shall be used in all cases in which they are applicable and may be modified as directed by the Comptroller.

*Documents*

5.—(1) All documents and copies of documents, except drawings, filed at the Office shall, unless the Comptroller otherwise directs, be written, type-written, lithographed or printed in the English language—

(a) upon strong white paper of a size approximately 13 inches by 8 inches ;

(b) in legible characters with a dark indelible ink ;

(c) with the lines widely spaced ;

(d) except in the case of statutory declarations and affidavits, on one side only ;

(a) 1949 c. 87.  
(c) 1961 c. 25.  
(e) 1889 c. 63.

(b) 1957 c. 13.  
(d) 1961/1499 (1961 II, p. 3050).

- (e) leaving a margin of at least 1½ inches on the left-hand part thereof ; and
- (f) in the case of each of the forms set out in Schedule 2 hereto, leaving a space of about 3 inches blank at the top of the form.

(2) Duplicate documents required under these Rules may be carbon copies of the original documents provided that they are on paper of good quality and the typing is black and distinct.

6. Any notice, application, or other document sent to the Office by post shall be deemed to have been given, made or filed at the time when the letter containing the document would be delivered in the ordinary course of post.

7. Every person concerned in any proceedings to which these Rules relate, and every patentee, shall furnish to the Comptroller an address for service in the United Kingdom and that address may be treated for all purposes connected with such proceedings or patent as the address of the person concerned in the proceedings or the patentee.

#### *Agency*

8.—(1) With the exception of documents mentioned in sub-rule (2) and unless the Comptroller otherwise directs in any particular case, all notices, applications or other documents filed under the Act may be signed by, and all attendances upon the Comptroller may be made by or through, an agent duly authorised to the satisfaction of the Comptroller.

(2) The following documents are excepted from sub-rule (1):—the authorisation of an agent ; an application for a patent, for the grant of a patent of addition in lieu of an independent patent, or for a complete specification to be treated as a provisional specification ; a notice of opposition ; and an application, request, notice, claim or declaration on any of the following forms, namely Patents Forms numbers 4, 6, 14, 15, 17 to 19, 27, 29, 32, 35, 38 to 40, 42 to 45, 47 to 50, 53 to 57, and 68.

(3) The Comptroller may refuse to recognise as such agent in respect of any business under the Act

- (a) any individual whose name has been erased from, and not restored to, the register of patent agents, or who is for the time being suspended from acting as a patent agent ;
- (b) any person who has been convicted of an offence under section 88 ;
- (c) any person who is found by the Board of Trade (after being given an opportunity to be heard) to have been convicted of any such offence, or to have been guilty of any such misconduct, as, in the case of an individual registered in the register of patent agents, would render him liable to have his name erased therefrom ;
- (d) any person, not being registered as a patent agent, who in the opinion of the Comptroller is engaged wholly or mainly in acting as agent in applying for patents in the United Kingdom or elsewhere in the name or for the benefit of a person by whom he is employed ;
- (e) any company or firm, if any person whom the Comptroller could refuse to recognise as agent in respect of any business under the Act is acting as a director or manager of the company or is a partner in the firm.

*Applications for the grant of patents*

9.—(1) An application, other than a Convention application, shall be made on Patents Form No. 1 or, provided the application is made and signed by the applicant personally and not by a nominee, on the form reproduced at 1A in Schedule 3 hereto (being the form adopted for the purpose by the European Convention relating to the Formalities required for Patent Applications done at Paris on 11th December 1953).

(2) In the case of an application by the assignee of the person claiming to be the true and first inventor there shall be furnished at the time of filing such application, or within a period of three months thereafter, the declaration required by section 2 (2).

(3) A Convention application shall be made on Patents Form No. 1 Con. or, provided the application is made and signed by the applicant personally and not by a nominee, on the form reproduced at 1B in Schedule 3 hereto (being the form adopted for the purpose by the said European Convention).

(4) An application for the grant of a patent of addition in lieu of an independent patent shall be made on Patents Form No. 1 Add.

10. In the case of an application, other than a Convention application, by the personal representative of a deceased person who, immediately before his death, was entitled to make such an application, the probate of the will of the deceased, or the letters of administration of his estate, or an official copy of the probate or letters of administration, shall be produced at the Office in proof of the applicant's title to act as personal representative.

11.—(1) Except in the case of an application (other than a Convention application) which is accompanied by a complete specification, Patents Form No. 4 including a declaration as to the inventorship of the invention disclosed in the complete specification, shall be filed with the complete specification or subsequently at any time before the expiration of the period allowed by or under section 12 for putting the application in order.

(2) When so requested by the applicant the Comptroller may, if he sees fit, dispense with the said declaration.

12. Where, in pursuance of section 3 (3), the Comptroller allows a single complete specification to be proceeded with in respect of two or more applications in respect of which two or more complete specifications have been filed, the single complete specification may include any matter disclosed in any of the said specifications and shall be deemed to have been filed on such date, not earlier than the earliest date on which all the matter disclosed in the said single complete specification has been disclosed to the Office in or in connection with the applications, as the Comptroller may direct.

13.—(1) Where an applicant has made an application for a patent and, before the acceptance of the complete specification, makes a fresh application for a patent for matter included in the first mentioned application or in any specification filed in pursuance thereof, the Comptroller may direct that the fresh application or any specification filed in pursuance thereof shall be ante-dated to a date not earlier than the date of filing of the first mentioned application or specification if the applicant includes in the fresh application a request to that effect.

(2) Where an applicant having made an application for a patent subsequently discloses to the Office additional matter in connection therewith, and before the acceptance of the complete specification makes a fresh application for a patent in respect of the additional matter, the Comptroller may direct that the fresh application or any specification filed in pursuance thereof shall be ante-dated to a date not earlier than the date on which the matter was first disclosed to the Office if the applicant includes in the fresh application a request to that effect.

(3) The Comptroller may require such amendment of the complete specification filed in pursuance of either of the said applications as may be necessary to ensure that neither of the said complete specifications includes a claim for matter claimed in the other.

14. Where a complete specification has been filed pursuant to two or more applications accompanied by provisional specifications for inventions which the applicant believes to be cognate or modifications one of another and the Comptroller is of opinion that such inventions are not cognate or modifications one of another, the Comptroller may allow the complete specification to be divided into such number of complete specifications as may be necessary to enable the applications to be proceeded with as two or more separate applications for patents.

15.—(1) In addition to the specification filed with every Convention application, there shall be filed with the application, or within three months thereafter, a copy of the specification and drawings or documents filed in respect of the relevant application for protection in a Convention country or of each such application, duly certified by the official chief or head of the Patent Office of the Convention country, or otherwise verified to the satisfaction of the Comptroller.

(2) If any specification or other document relating to the application is in a foreign language, it shall be accompanied by a translation thereof verified by statutory declaration or otherwise to the satisfaction of the Comptroller.

16. Where a single Convention application has been made in respect of all or part of the inventions in respect of which two or more applications for protection have been made in one or more Convention countries, and the Examiner reports that the claims of the specification filed with the said Convention application relate to more than one invention, the Comptroller may allow one or more further applications to be filed and the specification to be divided into such number of specifications as may be necessary to enable two or more separate Convention applications to be proceeded with and may direct that the said applications be deemed to have been filed on the date of filing of the original application.

#### *Drawings*

17. Drawings, when supplied, shall be furnished in duplicate and shall accompany the provisional or complete specification to which they refer, except in the case provided for by Rule 24.

18.—(1) Drawings shall be hand-made or reproduced on white, hot-pressed, rolled or calendered strong drawing paper of smooth surface, good quality, and medium thickness, without washes or colours, in such a way as to admit of being clearly reproduced on a reduced scale by photography, or, without any intermediary steps, on a stereotype.

(2) Mounted drawings may not be used.

**19.**—(1) Drawings shall be on sheets which measure 13 inches from top to bottom and are either from 8 inches to  $8\frac{1}{2}$  inches or from 16 inches to  $16\frac{1}{2}$  inches wide, and a clear margin of half an inch shall be left at the edges of the sheet.

(2) If there are more figures than can be shown on one of the smaller-sized sheets, two or more of these sheets shall be used unless the larger size is required by the size of any one figure.

(3) An exceptionally large figure may be continued on subsequent sheets.

(4) No more sheets shall be employed than are necessary.

(5) The figures shall be numbered consecutively without regard to the number of sheets, and shall as far as possible be arranged in numerical order, separated by a sufficient space to keep them distinct.

(6) Where figures on a number of sheets form in effect a single complete figure, they shall be so arranged that the complete figure can be assembled without concealing any part of another figure.

**20.** Drawings shall be prepared in accordance with the following requirements :—

(a) they shall be executed in durable, very dark markings ;

(b) each line shall be firmly and evenly drawn, sharply defined, and of the same strength throughout ;

(c) section lines, lines for effect, and shading lines shall be as few as possible, and shall not be closely drawn ;

(d) shading lines shall not contrast excessively in thickness with the general lines of the drawing ;

(e) sections and shading shall not be represented by solid black or washes ;

(f) they shall be on a scale sufficiently large to show the invention clearly, and only so much of the apparatus, machine, or article may appear as effects this purpose ;

(g) if the scale is given, it shall be drawn, and not denoted by words, and no dimensions may be marked on the drawings ;

(h) reference letters and numerals, and index letters and numerals used in conjunction therewith, shall be bold, distinct and not less than one-eighth of an inch in height ; the same letters or numerals shall be used in different views of the same parts, and where the reference letters or numerals are shown outside the parts referred to they shall be connected with the said parts by fine lines.

**21.**—(1) Drawings shall bear :—

(a) in the left-hand top corner the name of the applicant and, in the case of drawings filed with a complete specification after one or more provisional specifications, the numbers and years of the applications ;

(b) in the right-hand top corner the number of sheets of drawings sent and the consecutive number of each sheet, and the words "original" or "duplicate" as the case may require ;

(c) in the right-hand bottom corner the signature of the applicant or his agent.

(2) The title of the invention shall not appear on the drawings.

**22.**—(1) No descriptive matter shall appear on constructional drawings, but drawings in the nature of flow sheets may bear descriptive matter to show the materials used and the chemical or other reactions or treatments effected in carrying out the invention.

(2) Drawings showing a number of instruments or units of apparatus and their interconnections, either mechanical or electrical, where each such instru-

ment or unit is shown only symbolically, may bear such descriptive matter as is necessary to identify the instruments or units or their interconnections.

(3) No drawing or sketch, other than a graphic chemical formula or a mathematical formula, symbol or equation, shall appear in the verbal part of the specification and if such a formula, symbol or equation is used therein a copy thereof, prepared in the same manner as drawings, shall be furnished if the Comptroller so directs.

23. Drawings shall be delivered at the Office free from folds, breaks or creases which would render them unsuitable for reproduction by photography.

24. If an applicant desires to adopt the drawings filed with his provisional specification as the drawings or part of the drawings for his complete specification, he shall refer to them in the complete specification as those filed with the provisional specification.

*Extension of the period for filing complete specification*

25. A request for an extension of the period for filing a complete specification up to a period not exceeding fifteen months from the date of filing of the application shall be made on Patents Form No. 5.

*Request for post-dating an application*

26. Where an applicant for a patent desires that his application shall be post-dated in pursuance of the provisions of section 6 (3), he shall make a request on Patents Form No. 6.

*Procedure under Sections 7, 8 and 9*

27.—(1) When the Examiner, in making the investigation under section 7, reports that the invention so far as claimed in any claim of the complete specification has been published in any specification or other document falling within section 7 (1) or 7 (2), the applicant shall be so informed and shall be afforded an opportunity of amending his specification.

(2) If the Examiner finds that substantially the whole of the invention claimed has been published in one or more such specifications or documents he may, without continuing the investigation, make a provisional report to that effect.

(3) If the applicant re-files his specification and the Examiner is not satisfied either that the invention so far as claimed in any claim has not been published in any specification or other document cited by the Examiner or that the priority date of the claim is not later than the date on which the relevant document was published, the applicant shall be given an opportunity to be heard in the matter if he so requests.

(4) Whether or not the applicant has re-filed his specification, the Comptroller may appoint a hearing if he considers it desirable to do so, having regard to the time remaining for putting the application in order or other circumstances of the case.

(5) When a hearing is appointed, the applicant shall be given at least ten days' notice of the appointment or such shorter notice as appears to the Comptroller to be reasonable in the circumstances and shall as soon as possible notify the Comptroller whether he will attend the hearing.

(6) After hearing the applicant, or without a hearing if the applicant has not attended or has notified that he does not desire to be heard, the Comptroller may prescribe or permit such amendment of the specification as will be to his satisfaction and may refuse to accept the specification unless the amendment is made within such period as he may fix.





**35.** An application under section 9 (2) for the deletion of a reference inserted pursuant to a direction under section 9 (1) shall be made on Patents Form No. 9, and shall state fully the facts relied upon in support of the application.

**36.** In the application of Rules 28 to 31, 33 and 34 to proceedings subsequent to the grant of the patent, references to the patentee shall be substituted for references to the applicant.

*Putting Applications in order and acceptance of complete specifications (Sections 12 and 13)*

**37.—(1)** There is hereby prescribed for the purposes of section 12(1) as the period within which an application for the grant of a patent is to be put in order for acceptance—

- (a) in the case of an application for the grant of a patent filed before 1st January 1962, a period of three years and six months ;
- (b) in the case of an application for the grant of a patent filed on or after that date but before 1st January 1964, a period of three years ;
- (c) in the case of an application for the grant of a patent filed on or after 1st January 1964, a period of two years and six months.

(2) Where an application for the grant of a patent is post-dated under any of the provisions of the Act, it shall nevertheless be treated for the purpose of determining the relevant period prescribed for the purposes of section 12(1) as if it had not been so post-dated.

(3) A notice under section 12 (2) requesting an extension of the period allowable under section 12 (1) for putting an application in order shall be given on Patents Form No. 10.

(4) A notice under the proviso to section 13 (1) requesting postponement of the acceptance of a complete specification to a date later than twelve months from the date of its filing, shall be given on Patents Form No. 11.

**38.—(1)** After the date of the publication of a complete specification the application and specification as accepted together with the drawings and documents (if any) filed in pursuance of Rule 15 may be inspected at the Office upon payment of a fee prescribed by these Rules.

(2) The documents (if any) filed in pursuance of Rule 15 or photographic copies thereof may be made available for inspection without fee.

*Opposition to grant of patent (Section 14)*

**39.—(1)** A notice of opposition to the grant of a patent

- (a) shall be given on Patents Form No. 12,
- (b) shall state the ground or grounds on which the opponent intends to oppose the grant, and
- (c) shall be accompanied by a copy thereof and shall be supported by a statement (in duplicate) setting out fully the nature of the opponent's interest, the facts upon which he relies and the relief which he seeks.

(2) A copy of the notice and of the statement shall be sent by the Comptroller to the applicant.

**40.** If the applicant desires to proceed with his application, he shall, within three months of the receipt of such copies, file a counterstatement setting out fully the grounds upon which the opposition is contested and deliver to the opponent a copy thereof.

**41.** The opponent may within three months from the receipt of the copy of the counterstatement file evidence in support of his case and shall deliver to the applicant a copy of the evidence.

42. Within three months from the receipt of the copy of the opponent's evidence or, if the opponent does not file any evidence, within three months from the expiration of the time within which the opponent's evidence might have been filed, the applicant may file evidence in support of his case and shall deliver to the opponent a copy of the evidence; and within three months from the receipt of the copy of the applicant's evidence the opponent may file evidence confined to matters strictly in reply and shall deliver to the applicant a copy of the evidence.

43. No further evidence shall be filed by either party except by leave or direction of the Comptroller.

44.—(1) Copies of all documents, other than printed United Kingdom specifications, referred to in the notice of opposition or in any statement or evidence filed in connection with the opposition, authenticated to the satisfaction of the Comptroller, shall be furnished (in duplicate) for the Comptroller's use unless he otherwise directs. Such copies shall accompany the notice, statement or evidence in which they are referred to.

(2) Where a specification or other document in a foreign language is referred to, a translation thereof, verified by statutory declaration or otherwise to the satisfaction of the Comptroller, and one additional copy of the translation, shall also be furnished.

45.—(1) On completion of the evidence (if any), or at such other time as he may see fit, the Comptroller shall appoint a time for the hearing of the case, and shall give the parties at least fourteen days' notice of the appointment.

(2) If either party desires to be heard he shall notify the Comptroller on Patents Form No. 13 and the Comptroller may refuse to hear either party who has not filed the said form prior to the date of hearing.

(3) If either party intends to refer at the hearing to any publication not already mentioned in the proceedings, he shall give to the other party and to the Comptroller at least ten days' notice of his intention, together with details of each publication to which he intends to refer.

(4) After hearing the party or parties desiring to be heard or, if neither party desires to be heard, then without a hearing, the Comptroller shall decide the case and notify his decision to the parties giving reasons for his decision if so required by any party.

46. If in consequence of the proceedings the Comptroller directs that a reference to another patent shall be inserted in the applicant's specification under section 9 (1), the reference shall be as prescribed by Rule 34.

47. If the applicant notifies the Comptroller that he does not desire to proceed with the application, the Comptroller, in deciding whether costs should be awarded to the opponent, shall consider whether proceedings might have been avoided if the opponent had given reasonable notice to the applicant before the opposition was filed.

#### *Procedure under Section 15*

48. If at any time after the acceptance of a complete specification and before the grant of the patent it comes to the notice of the Comptroller, otherwise than in consequence of proceedings in opposition to the grant, that the invention so far as claimed in any claim of the complete specification has been published in any specification or other document falling within section 15 (1), the applicant shall be so informed and shall be allowed a period of two months within which to submit such amendment of his specification as will be to the Comptroller's satisfaction.

**49.**—(1) If the specification has not been amended to the Comptroller's satisfaction within the period allowed under Rule 48, including any extension thereof which the Comptroller may allow, a time for hearing the applicant shall be appointed, and the applicant shall be given at least ten days' notice of the appointment, and shall, as soon as possible, notify the Comptroller whether he will attend the hearing.

(2) After hearing the applicant, or without a hearing if the applicant has not attended or has notified that he does not desire to be heard, the Comptroller may prescribe or permit such amendment of the specification as will be to his satisfaction and may refuse to grant a patent unless the amendment is made or agreed to within such period as he may fix.

**50.** The periods mentioned in Rules 48 and 49 may be extended if a request for such extension is made on Patents Form No. 7 at any time within the extended period specified in the request, provided that the total extension of either period allowed under this provision shall not exceed six months.

*Mention of inventor as such (Section 16)*

**51.** A request by the applicant for a patent, or, if the actual deviser of the invention or of a substantial part thereof is not the applicant or one of the applicants, by the applicant and the said deviser, under section 16 (3) shall be made on Patents Form No. 14 and shall be accompanied by a statement setting out fully the facts relied upon.

**52.**—(1) A claim under section 16 (4) shall be made on Patents Form No. 15 and shall be accompanied by a statement setting out fully the facts relied upon.

(2) A copy of the claim and of the statement shall be sent by the Comptroller to every applicant for the patent (not being the claimant) and to any other person whom the Comptroller may consider to be interested and the claimant shall supply a sufficient number of copies for that purpose.

(3) The Comptroller may give such directions (if any) as he may think fit with regard to the subsequent procedure.

**53.** An application under section 16 (5) for an extension of the period for making a request or claim shall be made on Patents Form No. 16.

**54.**—(1) An application under section 16 (8) for a certificate shall be made on Patents Form No. 17 and shall be accompanied by a statement setting out fully the facts relied upon.

(2) A copy of the application and of the statement shall be sent by the Comptroller to each patentee (not being the applicant), to the person mentioned as the actual deviser, and to any other person whom the Comptroller may consider to be interested and the applicant shall supply a sufficient number of copies for that purpose.

(3) The Comptroller may give such directions (if any) as he may think fit with regard to the subsequent procedure.

**55.** Any mention of an actual deviser as inventor under section 16 (1) may be made in the patent after the name of the Comptroller, and on the complete specification at the head of Patents Form No. 3, and may be in the form "The inventor of this invention in the sense of being the actual deviser thereof within the meaning of section 16 of the Patents Act, 1949, is of \_\_\_\_\_", or "The inventor of a substantial part of this invention in the sense of being the actual deviser thereof within the meaning of section 16 of the Patents Act, 1949, is of \_\_\_\_\_", as the case may require.

*Procedure under Section 17*

**56.**—(1) A claim under section 17 (1) that an application for a patent shall proceed in the name of the claimant or in the names of the claimant and the applicant or the other joint applicant or applicants shall be made on Patents Form No. 18 and shall be accompanied by a certified copy of any assignment or agreement upon which the claim is based.

(2) The original assignment or agreement shall also be produced for the Comptroller's inspection, and the Comptroller may call for such other proof of title or written consent as he may require.

**57.**—(1) An application under section 17 (5) by a joint applicant for the directions of the Comptroller as to the names or manner in which an application for a patent shall be proceeded with shall be made on Patents Form No. 19 and shall be accompanied by a statement setting out fully the facts upon which the applicant relies and the directions which he seeks.

(2) A copy of the application and statement shall be sent by the Comptroller to each other joint applicant and the person making the application under section 17 (5) shall supply a sufficient number of copies for that purpose.

(3) The Comptroller may give such directions as he may think fit with regard to the subsequent procedure.

*Sealing and form of patent*

**58.** A request for the sealing of a patent on an application shall be made on Patents Form No. 20.

**59.** The period within which a request for the sealing of a patent may be made under proviso (a) to section 19 (2) shall be two months from the final determination of the proceedings.

**60.**—(1) An application under section 19 (3) for the extension of the period for making a request for the sealing of a patent shall be made on Patents Form No. 21.

(2) Such extension shall not be more than three months.

**61.**—(1) An application under section 19 (4) for extension of the period for making a request for the sealing of a patent shall be made on Patents Form No. 22.

(2) Such extension shall not be more than six months on any one application under the subsection.

**62.** A patent shall be in the Form A or Form B (whichever is applicable) set out in Schedule 4 to these Rules, or such modification of either of these forms as the Comptroller directs.

*Amendment of patent (Section 20)*

**63.** An application under section 20 for the amendment of a patent shall be made on Patents Form No. 23 and shall be accompanied by evidence verifying the statements therein and by the Letters Patent.

*Renewal fees (Section 22)*

**64.** If it is desired, at the expiration of the fourth year from the date of a patent or of any succeeding year during the term of the patent, to keep the patent in force, Patents Form No. 24 accompanied by the prescribed renewal fee shall be filed before the expiration of that year ; Provided that, where a patent is sealed after the expiration of the fourth or any succeeding year, except in cases mentioned in Rule 69, Patents Form No. 24 in respect of

the fifth and any succeeding year, may be filed at any time before the expiration of three months from the date of sealing the patent.

65. All or any of the prescribed annual renewal fees may be paid in advance.

66. A request for extension of the period for payment of any renewal fee shall be made on Patents Form No. 25.

67. On due compliance with the terms of Rule 64 the Comptroller shall issue a Certificate on Patents Form No. 26 that the prescribed fee has been duly paid.

68. At any time not less than one month before the date when any renewal fee will become due in respect of any patent, the Comptroller shall send to the patentee or patentees at his or their address or addresses for service, and to the address of the person or persons who paid the last renewal fee, a notice reminding him or them of the date when such fee will become due, and of the consequences of the non-payment thereof.

69. Where directions given by the Comptroller under section 18 (1) of the Act or under section 12 of the Atomic Energy Act 1946(a), prohibiting the publication of information with respect to an invention forming the subject of an application for a patent have been revoked and a patent is granted on the application, no renewal fees shall be payable in respect of any year which commenced in the period during which directions were in force.

*Extension of term of patent (Sections 24 and 25)*

70.—(1) An application to the Comptroller under section 24 or 25 for an Order extending the term of a patent shall be made on Patents Form No. 27.

(2) The application shall state the period of the extension which is sought and shall be supported by evidence setting out fully the facts relied upon, such evidence being filed either with the application or at any time within one month from the date thereof.

71. When an application is formally in order the Comptroller shall advertise it in two issues of the Journal and the applicant shall notify registered licensees and, in the case of an application under section 25, the patentee, of the advertisement.

72.—(1) At any time within two months from the date of the first advertisement of the application in the Journal any person may give notice of opposition.

(2) Such notice shall be on Patents Form No. 28, shall be accompanied by a copy thereof and shall be supported by a statement (in duplicate) setting out fully the nature of the opponent's interest, the grounds of opposition, and the relief which he seeks and evidence (in duplicate) of the facts upon which he relies.

(3) A copy of the notice, the statement and the evidence shall be sent by the Comptroller to the applicant, who, within three months from the receipt thereof, may file evidence confined to matters strictly in reply and shall deliver to the opponent a copy of the evidence.

73.—(1) An opponent shall be entitled on request made to the applicant within one month of the giving of the notice of opposition, to be supplied, at his own expense, by the applicant with a copy of the application and of the evidence filed in support.

(2) Within three months of the receipt of the copy of the evidence filed in support of the application, the opponent may file additional evidence and

shall deliver to the applicant a copy of such evidence and, within three months of the receipt of the copy of the opponent's additional evidence, the applicant may file further evidence confined to matters strictly in reply and shall deliver to the opponent a copy of such evidence.

**74.** No further evidence shall be filed by either party except by leave or direction of the Comptroller.

**75.**—(1) On completion of the evidence or at such other time as he may see fit, the Comptroller shall appoint a time for the hearing of the case, and shall give the parties at least fourteen days' notice of the appointment.

(2) If either party desires to be heard he shall notify the Comptroller on Patents Form No. 13 and the Comptroller may refuse to hear either party who has not filed the said form prior to the date of the hearing.

(3) After hearing the party or parties desiring to be heard or, if neither party desires to be heard, then without a hearing, the Comptroller shall decide the case and notify his decision to the parties.

**76.** If no notice of opposition to the application is given the Comptroller shall, on the expiration of the period prescribed by Rule 72 (1), after hearing the applicant if desiring to be heard, decide the case and notify his decision to the applicant.

**77.** If at any stage of the application the Comptroller decides to refer the application for decision by the Court he shall notify the applicant and any opponent accordingly.

*Restoration of lapsed patents and lapsed applications for patents (Sections 27 and 28)*

**78.** An application under section 27 for restoration of a patent shall be made on Patents Form No. 29 and shall be accompanied by evidence in support of the statements made in the application.

**79.**—(1) If, upon consideration of the evidence, the Comptroller is not satisfied that a prima facie case for an order under section 27 has been made out, he shall notify the applicant accordingly and, unless within one month the applicant requests to be heard in the matter, the Comptroller shall refuse the application.

(2) If the applicant requests a hearing within the time allowed, the Comptroller after giving the applicant an opportunity of being heard shall determine whether the application may proceed to advertisement or whether it shall be refused.

**80.**—(1) At any time within two months of the advertisement of the application under section 27 (4), any person may give notice of opposition thereto on Patents Form No. 30.

(2) Such notice shall be accompanied by a copy thereof and shall be supported by a statement (in duplicate), setting out fully the nature of the opponent's interest and the facts upon which he relies.

(3) A copy of the notice and of the statement shall be sent by the Comptroller to the applicant.

**81.** Upon notice of opposition being given the provisions of Rules 40 to 45 shall apply.

**82.** If the Comptroller decides in favour of the applicant, he shall notify him accordingly, and require him to file Patents Form No. 31 together with

Patents Form No. 24 accompanied by fees to the amount of the unpaid renewal fees.

**83.** In every order of the Comptroller restoring a patent the following provision shall be inserted for the protection of persons who have begun to avail themselves of the patented invention between the date when the patent ceased to have effect and the date of the application :—

“(1) No action or other proceeding shall be commenced or prosecuted nor any damage recovered in respect of any manufacture, use, or sale of the invention the subject of the patent in the interim period as hereinafter defined by any person not being a licensee under the patent at the date when it ceased to have effect, the \_\_\_\_\_, who after such date and before the \_\_\_\_\_, the date of the application, has made, used, exercised or sold the invention the subject of the patent or has manufactured or installed any plant, machinery or apparatus claimed in the specification of the patent or for carrying out a method or process so claimed. Any such person shall be deemed to have so acted with the licence of the patentee and shall thereafter be entitled to continue to make, use, exercise or sell the invention without infringement of the patent to the extent hereinafter specified that is to say :—

(a) In so far as the complete specification of the patent claims an article (other than plant, machinery or apparatus or part thereof as specified under head (b) hereof) and any article so claimed has been manufactured by him during the said interim period, that particular article may at all times be used or sold.

(b) In so far as the complete specification claims any plant, machinery or apparatus or part thereof for the production of an article, then any particular plant, machinery or apparatus or part thereof so claimed, which has been manufactured or installed by him during the said interim period, and the products thereof, may at all times be used or sold and so that in the event of any such plant, machinery apparatus or part thereof being impaired by wear or tear or accidentally destroyed, a like licence shall extend to any replacement thereof and to the products of such replacement.

(c) In so far as the complete specification claims any process for the making or treating of any article or any method or process of testing, any particular plant, machinery or apparatus which during the said interim period has been manufactured or installed by him or exclusively or mainly used by him for carrying on such method or process may at all times be so used or continued to be so used and the products thereof may at all times be used or sold and so that in the event of any such plant, machinery or apparatus being impaired by wear or tear or accidentally destroyed a like licence shall extend to such method or process when carried on in any replacement of such plant, machinery or apparatus and to the products of the process so carried on.

(2) In the foregoing paragraph, “article” has the same meaning as in section 101 of the Patents Act 1949 and “the interim period” means the period between the date when the patent ceased to have effect and the date of this Order”.

**84.** An application under section 28 for the sealing of a patent shall be made on Patents Form No. 32 and shall be accompanied by evidence in support of the statements made in the application.

**85.—**(1) If, upon consideration of the evidence, the Comptroller is not satisfied that a prima facie case for an order under section 28 has been made



out he shall notify the applicant accordingly and unless within one month from that notification the applicant requests to be heard in the matter, the Comptroller shall refuse the application.

(2) If the applicant requests a hearing within the time allowed, the Comptroller, after giving the applicant an opportunity of being heard, shall determine whether the application may proceed to advertisement or whether it shall be refused.

**86.**—(1) At any time within two months of the advertisement of an application under section 28 (3) any person may give notice of opposition thereto on Patents Form No. 33.

(2) Such notice shall be accompanied by a copy thereof and shall be supported by a statement (in duplicate), setting out fully the nature of the opponent's interest and the facts upon which he relies.

(3) A copy of the notice and statement shall be sent by the Comptroller to the applicant.

**87.** Upon notice of opposition being given the provisions of Rules 40 to 45 shall apply.

**88.** If the Comptroller decides in favour of the applicant, he shall notify the applicant accordingly and require him to file Patents Form No. 34 together with Patents Form No. 20.

**89.** In every order of the Comptroller under section 28 for the sealing of a patent the same provision shall be inserted for the protection of persons who have begun to avail themselves of the invention between the date when the time allowed by or under section 19 for making the prescribed request for sealing expired, and the date of the application for an order for sealing, as are specified in Rule 83 for the protection of persons who have begun to avail themselves of a patented invention between the date when the patent ceased to have effect and the date of the application for restoration, there being substituted for references to the date when the patent ceased to have effect references to the date when the time allowed by or under section 19 for making the request for sealing expired.

*Amendment of specification or application for patent*

**90.** An application to the Comptroller for leave to amend an accepted complete specification under section 29 shall be made on Patents Form No. 35, and, subject to the proviso to section 29 (3), shall be advertised by publication of the application and the nature of the proposed amendment in the Journal, and in such other manner, if any, as the Comptroller may in each case direct.

**91.**—(1) Any person wishing to oppose the application shall, within one month from the date of the advertisement in the Journal, or such further period not exceeding three months from the said date as the Comptroller may in special cases allow, give notice to the Comptroller on Patents Form No. 36.

(2) Such notice shall be accompanied by a copy thereof and shall be supported by a statement (in duplicate) setting out fully the nature of the opponent's interest, the facts upon which he relies and the relief which he seeks. A copy of the notice and of the statement shall be sent by the Comptroller to the applicant.

**92.** Upon such notice of opposition being given and a copy thereof sent to the applicant the provisions of Rules 40 to 45 shall apply.

**93.** Unless the Comptroller otherwise directs, an application or proposal for amendment of an accepted complete specification shall be accompanied by a copy of the printed specification and drawings clearly showing in red ink the amendment sought.

**94.** (1) An application for leave to amend a complete specification which has not been accepted, except when the amendment is made to meet an objection contained in an Examiner's report, shall be made on Patents Form No. 37.

(2) An application for leave to convert an application for a patent to a Convention application may be made at any time within twelve months from the date of the first application for protection in a Convention country and shall be made on Patents Form No. 38 Con.

(3) Any other application for leave to amend an application for a patent shall be made on Patents Form No. 38.

**95.** Where leave to amend a specification is given the applicant shall, if the Comptroller so requires, and within a time to be fixed by him, file a new specification and drawings as amended, which shall be prepared in accordance with Rules 5 and 18 to 23.

*Application for the revocation of a patent*

**96.—**(1) An application for the revocation of a patent shall :—

(a) be made on Patents Form No. 39,

(b) state the ground or grounds for the application, and

(c) be accompanied by a copy thereof and

shall be supported by a statement (in duplicate) setting out fully the nature of the applicant's interests, the facts upon which he relies, and the relief which he seeks.

(2) A copy of the application and of the statement shall be sent by the Comptroller to the patentee.

**97.** Upon such application being made and a copy thereof sent to the patentee the provisions of Rules 40 to 46 shall apply with such consequential adaptations as the case requires and in particular with the substitution of references to the patentee for references to the applicant and of references to the applicant for references to the opponent.

**98.** If the patentee offers under section 34 to surrender his patent, the Comptroller, in deciding whether costs should be awarded to the applicant for revocation, shall consider whether proceedings might have been avoided if the applicant had given reasonable notice to the patentee before the application was filed.

**99.** A notice of an offer by a patentee under section 34 to surrender his patent shall be given on Patents Form No. 40, and shall be advertised by the Comptroller in the Journal.

**100.—**(1) At any time within one month from the advertisement any person may give notice of opposition to the Comptroller on Patents Form No. 41, which shall be accompanied by a copy thereof and shall be supported by a statement (in duplicate) setting out fully the nature of the opponent's interest, the facts upon which he relies, and the relief which he seeks.

(2) A copy of the notice and of the statement shall be sent by the Comptroller to the patentee.

**101.** Upon such notice of opposition being given and a copy thereof sent to the patentee, the provisions of Rules 40 to 45 shall apply with the substitution of references to the patentee for references to the applicant.

*Voluntary endorsement of patents "Licences of Right" (Sections 35 and 36)*

**102.** An application under section 35 (1) for endorsement of a patent "Licences of Right" shall be made on Patents Form No. 42, and shall be accompanied by evidence verifying the statement in the application, and by the Letters Patent.

**103.** (1) An application under section 35 (2) (a) or section 35 (2) (b) for settlement of the terms of a licence under a patent endorsed "Licences of Right" shall be made on Patents Form No. 43, and shall be accompanied by a copy thereof and a statement (in duplicate) setting out fully the facts upon which the applicant relies, and the terms of the licence which he is prepared to accept or grant.

(2) A copy of the application and statement shall be sent by the Comptroller to the patentee or the person requiring a licence, as the case may be, who, if he does not agree to the terms set out in the statement, shall, within six weeks of the receipt of such copies, file a counterstatement setting out fully the grounds of his objection and send a copy thereof to the applicant.

(3) The Comptroller shall give such directions as he may think fit with regard to the filing of evidence and the hearing of the parties.

**104.** An application under section 36 (1) for the cancellation of an endorsement shall be made on Patents Form No. 44, and shall be accompanied by evidence verifying the statement in the application, and by Patents Form No. 24 accompanied by fees to the amount of the balance of all renewal fees which would have been payable if the patent had not been endorsed.

**105.** An application under section 36 (2) for the cancellation of an endorsement shall be made on Patents Form No. 45 within two months after the patent has been endorsed and shall be accompanied by a copy and a statement (in duplicate) setting out fully the nature of the applicant's interest, and the facts upon which he relies.

**106.**—(1) Every application under section 36 (1) or 36 (2) shall be advertised in the Journal, and the period within which notice of opposition to the cancellation of an endorsement may be given under section 36 (5) shall be one month after the advertisement.

(2) Such notice shall be given on Patents Form No. 46 and shall be accompanied by a copy thereof, and shall be supported by a statement (in duplicate) setting out fully the facts upon which the opponent relies and, in the case of opposition to an application under section 36 (1), the nature of his interest.

**107.**—(1) A copy of the notice and of the statement shall be sent by the Comptroller to the applicant for cancellation of the endorsement and thereafter Rules 40 to 45 shall apply.

(2) Where the Comptroller cancels the endorsement pursuant to section 36 (3), the patentee shall, within one month from the cancellation of the endorsement, file Patents Form No. 24 accompanied by fees to the amount of the balance of all renewal fees which would have been payable if the patent had not been endorsed.

*Compulsory licence, compulsory endorsement of patent "Licences of Right" and revocation (Sections 37 to 45)*

**108.** An application under section 37 for a licence under a patent or for endorsement of a patent "Licences of Right" shall be made on Patents Form No. 47.

**109.** An application under section 40 (1) for the endorsement of a patent "Licences of Right" or for the grant of a licence under a patent to a specified person shall be made on Patents Form No. 48.

**110.** An application under section 40 (3) for an Order of the Comptroller under section 40 (4) shall be made on Patents Form No. 49.

**111.** An application under section 42 for the revocation of a patent shall be made on Patents Form No. 50.

**112.** An application under section 37, section 40 or section 42 shall be accompanied by evidence verifying the statements in the application.

**113.—(1)** If upon consideration of the evidence the Comptroller is not satisfied that a prima facie case has been made out for the making of an order, he shall notify the applicant accordingly, and unless within one month the applicant requests to be heard in the matter the Comptroller shall refuse the application.

(2) If the applicant requests a hearing within the time allowed, the Comptroller, after giving the applicant an opportunity of being heard, shall determine whether the application may proceed to advertisement or whether it shall be refused.

**114.—(1)** If the Comptroller allows the application to proceed to advertisement, he shall direct the applicant to serve copies of the application and of the evidence filed in support thereof upon the patentee and any other persons appearing from the register to be interested in the patent and upon any other person on whom, in his opinion, copies should be so served.

(2) The time within which notice of opposition under section 43 (3) may be given shall be two months after the advertisement of the application under section 43 (2).

(3) Such notice shall be given on Patents Form No. 51, accompanied by a copy thereof, and shall be supported by evidence (in duplicate) verifying the statements made therein.

(4) The Comptroller shall send a copy of the notice and the evidence to the applicant and thereafter the provisions of Rule 42 (so far as they are applicable) and of Rules 43 to 45 shall apply.

**115.—(1)** An application under section 41 for a licence under a patent shall be made on Patents Form No. 52.

(2) The procedure to be followed in connection with such application shall be the same as that prescribed in Rules 112 to 114 for an application under section 37.

#### *Directions to co-owners (Section 55)*

**116.—(1)** An application for directions under section 55 (1) by a co-grantee or co-proprietor of a patent shall be made on Patents Form No. 53 and shall be accompanied by a statement setting out fully the facts upon which the applicant relies and the directions which he seeks.

(2) A copy of the application and of the statement shall be sent by the Comptroller to each other person registered as grantee or proprietor of the patent and the applicant shall supply a sufficient number of copies for that purpose.

(3) Thereafter the Comptroller may give such directions as he may think fit with regard to the subsequent procedure.

**117.—(1)** An application for directions under section 55 (2) by a co-grantee or co-proprietor of a patent shall be made on Patents Form No. 54, and shall be accompanied by a copy thereof, and a statement (in duplicate) setting out fully the facts upon which the applicant relies and the directions which he seeks.

(2) A copy of the application and of the statement shall be sent by the Comptroller to the person in default.

(3) Thereafter the Comptroller may give such directions as he may think fit with regard to the subsequent procedure.

*Disputes as to inventions made by employees (Section 56)*

**118.**—(1) An application under section 56 (1) to determine a dispute as to rights in an invention shall be made on Patents Form No. 55 and shall be accompanied by a copy thereof, together with a statement (in duplicate) setting out fully the facts of the dispute and the relief which is sought.

(2) A copy of the application and of the statement shall be sent by the Comptroller to the other party to the dispute, who, within three months after receipt thereof, shall file a counterstatement (in duplicate) setting out fully the grounds on which he disputes the right of the applicant to the relief sought.

(3) The Comptroller shall send a copy of this counterstatement to the applicant and thereafter, subject to such directions as the Comptroller may think fit to give, the provisions of Rules 41 to 45 shall apply with the substitution of references to the applicant for references to the opponent and references to the other party for references to the applicant.

*Reference to Comptroller of disputes as to infringement (Section 67)*

**119.** Where the parties to a dispute of the kind specified in section 67 (1) agree to refer the dispute to the Comptroller they shall give notice to him on Patents Form No. 56 giving full particulars of the matters which are in dispute, and of the matters on which the parties are in agreement.

**120.**—(1) The procedure set out in this Rule shall apply unless the only matter stated in the notice to be in dispute is the validity of any claim of the specification of the patent alleged to be infringed.

(2) The patentee or exclusive licensee (referred to in this and the next following Rule as the plaintiff), shall with such notice or within one month thereafter, file a statement (in duplicate) giving full particulars of his case on the matters in dispute.

(3) A copy of the plaintiff's statement shall be sent by the Comptroller to the other party to the dispute (referred to in this and the next following Rule as the defendant), who shall, within one month after receipt thereof, file a counterstatement setting out fully the grounds on which he contests the plaintiff's case and shall deliver to the plaintiff a copy thereof.

(4) If the defendant alleges in his counterstatement that any claim of the specification alleged by the plaintiff to have been infringed is not valid, the plaintiff, within one month after receipt of the copy of the counterstatement, shall file a further statement setting out fully the grounds on which he contests the defendant's allegation, and shall deliver to the defendant a copy thereof.

(5) The Comptroller may at any time require the statements to be amplified or amended to his satisfaction.

(6) Subject to such directions as the Comptroller may think fit to give the plaintiff may, within six weeks of filing his further statement, file evidence in support of his case, and shall deliver to the defendant a copy thereof, and thereafter the provisions of Rules 42 to 45 shall apply with the substitution of references to the plaintiff for references to the opponent and references to the defendant for references to the applicant.

**121.**—(1) The procedure set out in this Rule shall apply if the only matter stated in the notice to be in dispute is the validity of any claim of the specification alleged to be infringed.

(2) The defendant shall, with the notice, or within one month thereafter, file a statement (in duplicate) giving full particulars of the ground on which he alleges that the claim is invalid.

(3) A copy of the defendant's statement shall be sent by the Comptroller to the plaintiff, who shall, within one month after the receipt thereof, file a counterstatement giving full particulars of the grounds on which he contests the defendant's allegations, and shall deliver to the defendant a copy thereof.

(4) The Comptroller may at any time require the statements to be amplified or amended to his satisfaction.

(5) Subject to such directions as the Comptroller may think fit to give the defendant may within six weeks after the receipt of the copy of the plaintiff's counterstatement, file evidence in support of his case, and shall deliver to the plaintiff a copy thereof, and thereafter the provisions of Rules 42 to 45 shall apply with the substitution of references to the defendant for references to the opponent and references to the plaintiff for references to the applicant.

**122.** If the Comptroller decides that relief shall be granted, he may require the parties to supply him with such information or evidence as he considers to be necessary to assist him in assessing the amount of the damages.

*Register of Patents (Sections 73 and 74)*

**123.**—(1) Upon the sealing of a patent the Comptroller shall cause to be entered in the register the name, address, and nationality of the grantee as the patentee thereof, the title of the invention, the date of the patent, and the date of the sealing thereof, together with the address for service.

(2) The Comptroller may at any time enter in the register such other particulars as he may deem necessary.

**124.**—(1) A request by a patentee for the alteration of a name, nationality or address or address for service entered in the register in respect of his patent shall be made on Patents Form No. 57.

(2) Before acting on a request to alter a name or nationality, the Comptroller may require such proof of the alteration as he may think fit.

(3) If the Comptroller is satisfied that the request may be allowed, he shall cause the register to be altered accordingly.

**125.**—(1) An application for the registration of the title of any person becoming entitled by assignment, transmission or operation of law to a patent or to a share in a patent, or becoming entitled by virtue of a mortgage, licence or other instrument to any other interest in a patent, shall be made

(a) in the case of an application under section 74 (1), by the person becoming so entitled on Patents Form No. 58 or Patents Form No. 59, and

(b) in the case of an application under section 74 (2), by the assignor, mortgagor, licensor, or other party conferring the interest, on Patents Form No. 60 or Patents Form No. 61, as the case may be.

(2) Application may be made on Patents Form No. 62 for entry in the register of notification of any other document purporting to affect the proprietorship of a patent.

**126.**—(1) An official or certified copy of a document which is referred to in an application under Rule 125 and is a matter of record in the United Kingdom shall be produced to the Comptroller with the application.

(2) Unless the Comptroller otherwise directs, the original of any other document so referred to shall be produced to him with the application and a certified copy of any such document shall be filed.

**127.** Upon the issue of a certificate of payment under Rule 67, the Comptroller shall enter in the register the fact that the fee has been paid, and the date of payment as stated on the certificate.

**128.** Where an Order for the extension of the term of a patent under sections 23 or 24 or 25 contains a provision that persons claiming to be deemed to have acted with the licence of the patentee or exclusive licensee shall make application for entry of their claim upon the register, the application shall be made on Patents Form No. 63.

*Correction of clerical errors (Section 76)*

**129.** A request for the correction of a clerical error in an application for a patent or in any document filed in pursuance of such an application or in any patent or in the register, shall be made on Patents Form No. 64.

**130.** Where the Comptroller requires notice of the nature of the proposed correction to be advertised, the advertisement shall be made by publication of the request and the nature of the proposed correction in the Journal, and in such other manner (if any) as the Comptroller may direct.

**131.—(1)** Any person may, at any time within one month from the date of the advertisement in the Journal, give notice to the Comptroller of opposition to the proposed correction on Patents Form No. 65.

(2) Such notice shall be accompanied by a copy thereof and shall be supported by a statement (in duplicate) setting out fully the nature of the opponent's interest, the facts on which he relies, and the relief which he seeks.

(3) A copy of the notice and of the statement shall be sent by the Comptroller to the person making the request, and thereafter the provisions of Rules 40 to 45 shall apply.

**132.** Where, in accordance with section 76 (3), a hearing is appointed, at least fourteen days' notice of the appointment shall be given to the patentee or the applicant for a patent and to any other person to whom notice of the proposed correction has been given by the Comptroller.

*Certificates and information*

**133.** A request for a certificate of the Comptroller for the purposes of section 77 (1) shall be made on Patents Form No. 66.

**134.** Certified copies of any entry in the register, or certified copies of, or extracts from, patents, specifications, and other public documents in the Office, or of or from registers and other records kept there, may be furnished by the Comptroller on payment of the fees prescribed in Schedule 1 to these Rules.

**135.—(1)** A request under section 78 for information relating to any patent or application for a patent may be made

- (a) as to when a complete specification following a provisional specification has been filed or when a period of fifteen months from the date of the application has expired and a complete specification has not been filed,
- (b) as to when a complete specification is or will be published, or when an application for a patent has become void,
- (c) as to when a patent has been sealed or when the time for requesting sealing has expired,
- (d) as to when a renewal fee has been paid,
- (e) as to when a patent has expired,
- (f) as to when an entry has been made in the register or application has been made for the making of such entry, or

- (g) as to when any application is made or action taken involving an entry in the register or advertisement in the Journal if the nature of the application or action is specified in the request,
- (h) as to when any document filed in proceedings after acceptance of the complete specification may be inspected in accordance with the provisions of Rule 146.

(2) Any such request shall be made on Patents Form No. 67 and a separate form shall be used in respect of each item of information required.

*Duplicate patent*

136. An application under section 80 for a duplicate of a patent shall be made on Patents Form No. 68 and shall be accompanied by evidence setting out fully and verifying the circumstances in which the patent was lost or destroyed, or cannot be produced.

*Evidence before Comptroller*

137. Where under these Rules evidence is required to be filed it shall be by statutory declaration or affidavit unless otherwise expressly provided in these Rules.

138.—(1) The statutory declarations and affidavits required by these Rules, or used in any proceedings thereunder, shall be headed in the matter or matters to which they relate, and shall be divided into paragraphs consecutively numbered, and each paragraph shall so far as possible be confined to one subject.

(2) Every statutory declaration or affidavit shall state the description and true place of abode of the person making the same, and shall be written, typed, lithographed or printed.

139. The statutory declarations and affidavits shall be made and subscribed as follows—

- (a) In the United Kingdom, before any justice of the peace, or any commissioner or other officer authorised by law in any part of the United Kingdom to administer an oath for the purpose of any legal proceedings ;
- (b) In any other part of Her Majesty's dominions, or in any British protectorate or protected state or in any mandated territory as defined in the British Nationality Act 1948(a), or in any trust territory as so defined or in the Republic of Ireland, before any court, judge, justice of the peace, or any officer authorised by law to administer an oath there for the purpose of any legal proceedings ; and
- (c) Elsewhere, before a British Minister, or person exercising the functions of a British Minister, or a Consul, Vice-Consul, or other person exercising the functions of a British Consul, or before a notary public, or before a judge or magistrate.

140. Any document purporting to have affixed, impressed or subscribed thereto or thereon the seal or signature of any person authorised by the last foregoing Rule to take a declaration, in testimony that the declaration was made and subscribed before him, may be admitted by the Comptroller without proof of the genuineness of the seal or signature or of the official character of the person or his authority to take the declaration.

141. At any stage of any proceedings before the Comptroller he may direct that such documents, information or evidence as he may require shall be furnished within such period as he may fix.



*Hearing in Scottish cases (Section 86)*

**142.**—(1) Any party or parties to proceedings under sections 55 (1), 55 (2) or 56 (1) of the Act may request the Comptroller to direct that any hearing in such proceedings shall be held in Scotland.

(2) A request made under sub-rule (1) shall—

(a) be in writing ;

(b) be accompanied by a statement of facts setting out the grounds upon which the request is made ; and

(c) be lodged with the Comptroller at any time before the Comptroller issues notification to the parties that a hearing has been appointed, or, with the leave of the Comptroller, within 14 days thereafter.

(3) The Comptroller, upon a request being made under sub-rule (1) of this rule, shall forthwith intimate such request by sending a copy thereof together with the relevant statement of facts to any party to the proceedings who has not signed the request as a consenter thereto, and for the purpose of such intimation sufficient copies of the request and statement shall be lodged with the Comptroller by the party or parties making the request.

(4) Any party or parties to the proceedings having objection to a request intimated under sub-rule (3) may, within one month of such intimation, lodge with the Comptroller a counterstatement setting out the grounds upon which objection is taken, and the Comptroller shall forthwith intimate the objection by sending a copy of the counterstatement to any party who is not a signatory, and for the purpose of such intimation sufficient copies of the counterstatement shall be lodged with the Comptroller by the party or parties making the objection.

(5) Subject to the foregoing provisions the Comptroller may give such directions as he thinks fit with regard to the procedure to be followed in dealing with a request made under sub-rule (1) including any hearing thereon which may appear to him to be necessary.

(6) Where the Comptroller, after consideration of a request made under sub-rule (1), is satisfied, having regard to the balance of convenience in all the circumstances of the case, that any hearing thereon should be held in Scotland, he shall grant the request and issue such directions as shall seem to him appropriate.

(7) Any decision of the Comptroller under this Rule shall be final.

*The Journal, Reports of Cases, and publication of documents*

**143.**—(1) The Comptroller shall publish a journal containing particulars of applications for patents and other proceedings under the Act and any other information that he may deem to be generally useful or important.

(2) The journal shall be entitled “The Official Journal (Patents)”.

(3) Unless the Comptroller otherwise directs, the journal shall be published weekly.

**144.** The Comptroller shall publish from time to time reports of such cases relating to patents, trade marks and registered designs as he may deem to be generally useful or important.

**145.** The Comptroller may arrange for the publication and sale of copies of specifications, drawings and other documents in the Office, and of indexes to and abridgments of such documents.

**146.**—(1) In addition to the documents mentioned in section 13 (2) and Rule 38 (1), and subject to the provisions of this Rule, the following documents shall be open to public inspection after the date of publication of the complete

specification, that is to say, every Patents Form duly filed in pursuance of an application for a patent or in relation to a patent and every document filed with or sent to the Office after the said date for the purposes of any proceedings relating to a patent or an application for a patent.

(2) The following shall not be open to public inspection, namely, Patents Forms Nos. 8, 37, 66 and 67.

(3) (a) Where a document other than a Patents Form is filed or sent after the said date and the person filing or sending it so requests at the time of filing, the Comptroller may direct it to be treated as confidential.

(b) Where such a direction has been given and not withdrawn, nothing in this Rule shall be taken to authorise or require any person to be allowed to inspect the document to which the direction relates except by leave of the Comptroller.

(c) The Comptroller shall not withdraw any direction given under this Rule nor shall he give leave for any person to inspect any document to which a direction which has not been withdrawn relates without prior consultation with the person at whose request the direction was given, unless the Comptroller is satisfied that such prior consultation is not reasonably practicable.

(d) Where such a direction is given or withdrawn a record of the fact shall be filed with the document to which it relates.

#### *Hours of business and excluded days (Section 98)*

147.—(1) The following shall be excluded days for purposes of the transaction by the public of business of all classes under the Act :—

Christmas Day, Good Friday, the Saturday following Good Friday and all Sundays.

(2) Days which may, from time to time, be notified by a notice posted in a conspicuous place in the Office shall be excluded days for purposes of the transaction of business of all classes or such class or classes as may be specified in the notice.

(3) All Saturdays, other than those falling within sub-rule (1) or (2), shall be excluded days for purposes of the transaction of all classes of business other than the filing of new applications for patents which are not Convention applications.

148. The Office shall be deemed to be closed at the following hours for the transaction of business of the classes specified—

(a) On weekdays other than Saturdays, at six o'clock for the filing of applications, forms and other documents, and at four o'clock for all other business ;

(b) On Saturdays, at one o'clock for the filing of new applications for patents which are not Convention applications.

#### *Applications to and Orders of Court*

149. Where an application to the Court under section 75 for rectification of the register has been made, the applicant shall forthwith serve an office copy of the application on the Comptroller, who shall enter a notice of the application on the register.

150. Where any Order has been made by the Court under the Act revoking a patent or extending the term of a patent, or allowing a patentee to amend his specification, or affecting the validity or proprietorship of a patent or any rights thereunder, the person in whose favour such order has been made shall file Patents Form No. 69 accompanied by an office copy of such order, and

thereupon the specification shall be amended or the register rectified or altered as the case may be.

*General*

**151.** Except as otherwise provided in these Rules, before exercising any discretionary power given to him by the Act or these Rules adversely to an applicant for a patent or for amendment of a specification, the Comptroller shall give at least ten days' notice to the applicant of the time when he may be heard.

**152.** Any document filed in any proceedings before the Comptroller may, if he thinks fit, be amended, and any irregularity in procedure may be rectified, on such terms as he may direct.

**153.**—(1) Where by virtue of any of the Rules mentioned in sub-rule (2) of this Rule any notice of opposition or application for the revocation of a patent is required to be supported by a statement or evidence, such statement or evidence shall be filed on, or within 14 days after, the date on which the notice is given or the application is made.

(2) The Rules referred to in sub-rule (1) are Rules 39 (1), 72 (2), 80 (2), 86 (2), 91 (2), 96 (1), 100 (1), 106 (2), 114 (3) and 131 (2).

**154.** The times prescribed by these Rules for doing any act, or taking any proceeding thereunder, other than the times prescribed by Rules 37, 59, 72 (1), 80, 86, 100 and 106, may be extended by the Comptroller if he thinks fit, upon such notice to the parties and upon such terms, as he may direct, and such extension may be granted although the time has expired for doing such act or taking such proceeding.

**155.** Where, under these Rules, any person is required to do any act or thing, or any document or evidence is required to be produced or filed, and it is shown to the satisfaction of the Comptroller that from any reasonable cause that person is unable to do that act or thing, or that that document or evidence cannot be produced or filed, the Comptroller may, upon the production of such evidence and subject to such terms as he thinks fit, dispense with the doing of any such act or thing, or the production or filing of such document or evidence.

**156.** Where the hearing before the Comptroller of any dispute between two or more parties relating to any matter in connection with a patent or an application for a patent takes place after the date of the publication of the complete specification, the hearing of the dispute shall be in public unless the Comptroller, after consultation with those parties to the dispute who appear in person or are represented at the hearing, otherwise directs.

*Revocation of existing Rules*

**157.** The Patents Rules 1958(a), the Patents (Amendment) Rules 1964(b), the Patents (Amendment No. 2) Rules 1964(c), the Patents (Amendment) Rules 1966(d), the Patents (Amendment) Rules 1967(e) and the Patents (Amendment No. 2) Rules 1967(f), are hereby revoked :

Provided that the Patents Rules 1939(g), as amended by the Patents (Amendment) Rules 1942(h), and the Patents (Amendment) Rules 1946(i), shall continue to apply in relation to any matter to which by virtue of Schedule 3 to the Act the provisions of the Patents and Designs Acts 1907 to 1946(j), continue to apply.

(a) S.I. 1958/73 (1958 II, p. 1713).

(c) S.I. 1964/1337 (1964 II, p. 3049).

(e) S.I. 1967/392 (1967 I, p. 1322).

(g) S.R. & O. 1939/858 (1939 II, p. 2453).

(i) S.R. & O. 1946/756 (1946 I, p. 1236).

(b) S.I. 1964/228 (1964 I, p. 423).

(d) S.I. 1966/1482 (1966 III, p. 4092).

(f) S.I. 1967/1171 (1967 II, p. 3455).

(h) S.R. & O. 1942/273 (1942 I, p. 705).

(j) 1907 c. 29; 4 & 5 Geo. 5. c. 18; 1919 c. 80; 18 & 19 Geo. 5. c. 3; 1932 c. 32; 1938 c. 29; 1939 c. 32; 1942 c. 6 and 1946 c. 44.

27th August 1968.

*Edmund Dell,*  
Minister of State,  
Board of Trade.

We consent to the making of Rule 3 of these Rules.

26th August 1968.

*B. K. O'Malley,*  
*Joseph Harper,*  
Two of the Lords Commissioners  
of Her Majesty's Treasury.

Rules 3 and 134

## SCHEDULE I

## LIST OF FEES PAYABLE

		Corresponding Form
1. On application for a patent ... ..	£ s. d. 1 0 0	Patents Form No. 1 or Schedule 3 Form 1A.
2. On Convention application for a patent:— In respect of each application for pro- tection in a Convention country ... ..	1 0 0	Patents Form No. 1 Con. or Schedule 3 Form 1B.
3. On filing specification:— Provisional ... ..	—	Patents Form No. 2.
Complete ... ..	14 0 0	Patents Form No. 3.
4. On application for grant of patent of addition in lieu of an independent patent ... ..	6 0 0	Patents Form No. 1 Add.
5. Declaration of inventorship of invention disclosed in complete specification ... ..	—	Patents Form No. 4.
6. For extension of the period for filing complete specification ... ..	3 10 0	Patents Form No. 5.
7. On request for the post-dating of an applica- tion under section 6(3) ... ..	3 10 0	Patents Form No. 6.
8. For extension of time under Rule 30 or 33 or 50:— Not exceeding one month ... ..	1 5 0	Patents Form No. 7.
Each succeeding month ... ..	1 5 0	" " "
9. On application for result of search made under sections 7 and 8 ... ..	1 0	Patents Form No. 8.
10. On application under section 9(2) for deletion of reference ... ..	1 5 0	Patents Form No. 9.
11. For extension of the period for putting an application in order:— Up to one month after the period allowed by section 12(1) ... ..	2 10 0	Patents Form No. 10.
Up to two months ... ..	5 0 0	" " "
Up to three months ... ..	7 10 0	" " "
12. For postponement of acceptance of complete specification:— Up to 13 months from date of filing of complete specification ... ..	2 10 0	Patents Form No. 11.
From 13 months to 14 months ... ..	2 10 0	" " "
From 14 months to 15 months ... ..	2 10 0	" " "
13. On notice of opposition to grant of patent. By opponent ... ..	2 10 0	Patents Form No. 12.
14. On hearing by Comptroller. By each party ...	2 10 0	Patents Form No. 13.
15. On a request under section 16(3) ... ..	1 5 0	Patents Form No. 14.
16. On a claim under section 16(4) ... ..	1 5 0	Patents Form No. 15.
17. On an application for extension of the period under section 16(5) ... ..	1 5 0	Patents Form No. 16.
18. On an application for a certificate under section 16(8) ... ..	2 10 0	Patents Form No. 17.
19. On a claim under section 17(1) for applica- tion to proceed in name of claimants ...	2 10 0	Patents Form No. 18.
20. On application for directions under section 17(5) ... ..	6 0 0	Patents Form No. 19.
21. On a request for sealing of a patent... ..	3 0 0	Patents Form No. 20.

		Corresponding Form
	£ s. d.	
22. On application for extension of the period for requesting the sealing of a patent under section 19(3):—		
Not exceeding one month ... ..	2 10 0	Patents Form No. 21.
" " two months ... ..	5 0 0	" " "
" " three months ... ..	7 10 0	" " "
23. On application for extension of the period for requesting the sealing of a patent under section 19(4):—		
Not exceeding one month ... ..	1 5 0	Patents Form No. 22.
Each succeeding month ... ..	12 6	" " "
24. On application under section 20 for amendment of a patent ... ..	6 0 0	Patents Form No. 23.
25. †On application for certificate of payment of renewal fee:—		
Before the expiration of the 4th year from the date of the patent and in respect of the 5th year ... ..	6 0 0	Patents Form No. 24.
Before the expiration of the 5th year from the date of the patent and in respect of the 6th year ... ..	7 0 0	" " "
Before the expiration of the 6th year from the date of the patent and in respect of the 7th year ... ..	10 0 0	" " "
Before the expiration of the 7th year from the date of the patent and in respect of the 8th year ... ..	12 0 0	" " "
Before the expiration of the 8th year from the date of the patent and in respect of the 9th year ... ..	14 0 0	" " "
Before the expiration of the 9th year from the date of the patent and in respect of the 10th year ... ..	17 0 0	" " "
Before the expiration of the 10th year from the date of the patent and in respect of the 11th year ... ..	20 0 0	" " "
Before the expiration of the 11th year from the date of the patent and in respect of the 12th year ... ..	22 0 0	" " "
Before the expiration of the 12th year from the date of the patent and in respect of the 13th year ... ..	24 0 0	" " "
Before the expiration of the 13th year from the date of the patent and in respect of the 14th year ... ..	26 0 0	" " "
Before the expiration of the 14th year from the date of the patent and in respect of the 15th year ... ..	28 0 0	" " "
Before the expiration of the 15th year from the date of the patent and in respect of the remainder of the term of the patent ... ..	30 0 0	" " "
26. On extension of the period for payment of renewal fees:—		
Not exceeding one month ... ..	2 10 0	Patents Form No. 25.
" " two months ... ..	5 0 0	" " "

†One half only of these fees payable on patents endorsed " Licences of Right ".

		Corresponding Form
26.— <i>cont.</i>		
Not exceeding three months ... ..	£ s. d. 7 10 0	Patents Form No. 25.
"    "    four months ... ..	10 0 0	"    "    "
"    "    five months ... ..	12 10 0	"    "    "
"    "    six months ... ..	15 0 0	"    "    "
27. Certificate of payment of renewal fee ...	—	Patents Form No. 26.
28. On application under section 24 or 25 for extension of term of patent ... ..	6 0 0	Patents Form No. 27.
29. On opposition to application for extension of term of patent ... ..	2 10 0	Patents Form No. 28.
30. On application for restoration of a patent ...	3 10 0	Patents Form No. 29.
31. On notice of opposition to application for restoration of patent ... ..	2 10 0	Patents Form No. 30.
32. Additional fee on resoration of patent ...	12 0 0	Patents Form No. 31.
33. On application under section 28 for sealing of patent ... ..	3 10 0	Patents Form No. 32.
34. On opposition to application under section 28	2 10 0	Patents Form No. 33.
35. Additional fee for sealing under section 28...	12 0 0	Patents Form No. 34.
36. On application to amend specification after acceptance:—		
Up to sealing. By applicant ... ..	3 10 0	Patents Form No. 35.
After sealing. By patentee ... ..	6 0 0	"    "    "
37. On notice of opposition to amendment. By opponent ... ..	2 10 0	Patents Form No. 36.
38. On application to amend specification not yet accepted ... ..	2 10 0	Patents Form No. 37.
39. On application to amend an application for a patent ... ..	2 10 0	Patents Form No. 38.
39a. Application for the conversion of an application for a patent to a Convention application under Rule 94(2) ... ..	—	Patents Form No. 38. Con.
40. On application for revocation of a patent under section 33 ... ..	3 10 0	Patents Form No. 39.
41. On offer to surrender a patent under section 34 ... ..	—	Patents Form No. 40.
42. On notice of opposition to surrender of a patent... ..	2 10 0	Patents Form No. 41.
43. On application for endorsement of patent "Licences of Right" ... ..	1 5 0	Patents Form No. 42.
44. On application for settlement of terms of licence under patent endorsed "Licences of Right" ... ..	6 0 0	Patents Form No. 43.
45. On application by patentee for cancellation of endorsement of patent "Licences of Right" ... ..	2 10 0	Patents Form No. 44.
46. On application for cancellation of endorsement "Licences of Right" ... ..	2 10 0	Patents Form No. 45.
47. On notice of opposition to cancellation of endorsement of patent "Licences of Right" ... ..	2 10 0	Patents Form No. 46.
48. On application under section 37 for grant of compulsory licence or endorsement of a patent "Licences of Right" ... ..	6 0 0	Patents Form No. 47.
49. On application under section 40(1) for endorsement of patent "Licences of Right" or grant of licence ... ..	6 0 0	Patents Form No. 48.
50. On application under section 40(3) for Order of Comptroller ... ..	6 0 0	Patents Form No. 49.

		Corresponding Form
	£ s. d.	
51. On application under section 42 for revocation ... ..	6 0 0	Patents Form No. 50.
52. On opposition to application under section 37, 40, 41 or 42 ... ..	2 10 0	Patents Form No. 51.
53. On application for licence under section 41	6 0 0	Patents Form No. 52.
54. On application under section 55(1) for directions of Comptroller ... ..	6 0 0	Patents Form No. 53.
55. On application under section 55(2) for directions of Comptroller ... ..	6 0 0	Patents Form No. 54.
56. On application under section 56(1) to determine dispute ... ..	6 0 0	Patents Form No. 55.
57. On reference of dispute to Comptroller under section 67(1) ... ..	6 0 0	Patents Form No. 56.
58. For altering name or nationality or address or address for service in register, for each patent... ..	6 0	Patents Form No. 57.
59. On application for entry of name of subsequent proprietor in the register, if made within six months from date of acquisition of proprietorship:— ... ..	1 5 0	Patents Form No. 58 or 60.
If made after the expiration of six months but within twelve months from the date of acquisition of proprietorship	3 0 0	” ” ”
If made after expiration of twelve months from date of acquisition of proprietorship ... ..	3 10 0	” ” ”
On each application covering more than one patent, the devolution of title being the same as in the first patent. For each additional patent ... ..	3 0	” ” ”
60. On application for entry of notice of a mortgage or licence in the register, if made within six months from date of acquisition of interest or the sealing of the patent (whichever is the later) ... ..	1 5 0	Patents Form No. 59 or 61.
If made after expiration of six months but within twelve months from date of acquisition of interest or the sealing of the patent (whichever is the later) ...	3 0 0	” ” ”
If made after expiration of twelve months from date of acquisition of interest or the sealing of the patent (whichever is the later) ... ..	3 10 0	” ” ”
On each application covering more than one patent, the devolution of title being the same as in the first patent. For each additional patent... ..	3 0	” ” ”
61. On application for entry of notification of a document in the register, if made within six months from date of document or the sealing of the patent (whichever is the later):—	1 5 0	Patents Form No. 62.
If made after expiration of six months but within twelve months from date of document or the sealing of the patent (whichever is the later) ... ..	3 0 0	” ” ”



		Corresponding Form
61.— <i>cont.</i>	£ s. d.	
If made after expiration of twelve months from date of document or the sealing of the patent (whichever is the later) ...	3 10 0	Patents Form No. 62
On each application covering more than one patent, for each additional patent referred to in the same document as the first patent ... ..	3 0	” ” ”
62. On application for entry in the register of claim to a licence under a patent extended under section 23, 24 or 25 ... ..	1 5 0	Patents Form No. 63.
63. On request to Comptroller to correct a clerical error:—		
Up to sealing ... ..	12 6	Patents Form No. 64.
After sealing ... ..	1 5 0	” ” ”
64. On notice of opposition to the correction of a clerical error ... ..	1 5 0	Patents Form No. 65.
65. For certificate of Comptroller under section 77(1) ... ..	12 6	Patents Form No. 66.
66. On request for information as to a matter affecting a patent or an application therefor ... ..	1 5 0	Patents Form No. 67.
67. For duplicate of patent ... ..	3 10 0	Patents Form No. 68.
68. On notice of Order of Court ... ..	12 6	Patents Form No. 69.
69. On inspection of register or supply of an extract from register, or on inspection of original documents (other than provisional specifications), samples or specimens ...	2 6	
70. For typewritten office copies (every 100 words) (but never less than two shillings) ...	1 0	
71. For photographic office copies and office copies of drawings	Cost according to agreement	
72. For office copy of patent ... ..	5 0	
73. For certifying office copies, MSS., printed or photographic ... .. each	3 0	
74. On written enquiry as to whether a patent or patents is or are in force:—		
for one patent ... ..	1 0	
for each additional patent included in the same enquiry ... ..	6	

SCHEDULE 2

PATENTS FORM NO. 1

GENERAL FORMS

Rules 4 and 5(1)(f)

PATENTS ACT 1949

APPLICATION FOR PATENT

(To be accompanied by two copies of Patents Form No. 2 or of Patents Form No. 3)

NOTE.—This is a comprehensive form and parts inappropriate to a particular application should be cancelled. In the case of an application by the inventor, only sections 1, 4 and 6 of this form are appropriate, and section 5 if a Patent of Addition is applied for.

1. I/We (a) ..... (a) Insert (in full) name address and nationality of applicant(s).

am/are in possession of an invention which is described in the accompanying

(b) provisional complete specification under the title (c)..... (b) Delete the words which are not applicable. (c) Insert title of invention.

(b) { I We The said (d)..... (d) Insert name of inventor if included at (a).

claim . . to be the true and first inventor . . of the invention.

or

2. I/We believe (e) ..... (e) Insert (in full) name, address and nationality of inventor(s) if not included at (a).

to be the true and first inventor of the invention and

(b) { I we the said ..... (b) { am are is } the (b) { assignee . . of the said inventor . . in respect of the right to make this application personal representative . . of the said inventor . . .

3. The invention or a part of the invention was communicated to

(b) { me us the said ..... by (f)..... (f) Insert (in full) name, address and nationality of communicator.

Use of the invention in the United Kingdom before the date of the application for a patent is a lawful ground of objection.

4. I/We declare that to the best of my/our knowledge and belief the statements made above are correct and there is no lawful ground of objection to the grant of a patent to me/us on this application and I/we pray that a patent may be granted to me/us for the said invention;

5. And I/we request that the patent may be granted as a patent of addition to (b) { patent No..... the patent to be granted on application No.....

6. And I/we request that all notices, requisitions, and communications relating to this application may be sent to.....

(g) The address must be within the United Kingdom. at (g) .....

(h) Delete if not applicable. (h) who is/are hereby appointed to act for me/us. (i).....

(i) To be signed by applicant(s). .....

Declaration to be signed by any person named as inventor who is not an applicant

.....

I/We claim to be the true and first inventor(s) and assent to the making of this application

.....  
.....  
.....

To the Comptroller,  
The Patent Office,  
25, Southampton Buildings,  
Chancery Lane, London, W.C.2.

PATENTS FORM NO. 1 CON.

PATENTS ACT 1949

CONVENTION APPLICATION FOR A PATENT
(To be accompanied by two copies of Patents Form No. 3)

NOTE:—This is a comprehensive form, and parts inappropriate to a particular application should be cancelled.

1. I/We (a) ..... (a) Insert, in full, name, address and nationality of applicant(s).

hereby declare that an application or applications for protection for an invention or inventions has or have been made in the following country or countries and on the following official date or dates, namely:—

in (b) ..... on (c) ..... (b) Insert the name of the Convention country in which the first application was made.
by (d) ..... (c) Insert the official date of the first application in a Convention country.
in (b) ..... on (c) ..... (d) Insert name of applicant and (if not included at (a)) address and nationality.
by (d) ..... (e) Delete whichever does not apply.
(f) Give particulars of the assignment.

and that the said application or each of the said applications was the first application in a Convention country in respect of the relevant invention by me/us or by any person from whom I/we derive title.

2. I am/We are (e) the assignee . . of the said (d) ..... Particulars of any further applications should be given on the back of this form or on a separate sheet.
by virtue of (f).....
or (e) the personal representative . . of the said (d).....

3. I/We declare that to the best of my/our knowledge and belief there is no lawful ground of objection to the grant of a patent to me/us on this application, and pursuant to subsection (2) (and subsection (3)) of section 1 of the Act I/we pray that a patent may be granted to me/us with priority founded on the above-mentioned application . . in a Convention country or countries as provided by subsection (4) of section 5, for the invention described in the accompanying complete specification under the title

4. And I/we request that the patent may be granted as a patent of addition to (e) { patent No.....
the patent to be granted on application No.....

5. And I/we request that all notices, requisitions, and communications relating to this application may be sent to..... (g) The address must be within the United Kingdom.
at (g) ..... (h) Delete if not applicable.
(h) who is/are hereby appointed to act for me/us. (i) To be signed by applicant(s).

To the Comptroller,
The Patent Office,
25, Southampton Buildings,
Chancery Lane, London, W.C.2.

PATENTS FORM NO. 1 ADD.

PATENTS ACT 1949

APPLICATION FOR THE GRANT OF A PATENT OF ADDITION IN LIEU OF AN INDEPENDENT PATENT

(a) State full name, address and nationality of patentee or patentees.

(a) I/We.....

hereby request that patent No. .... of which I am/we are the patentee . . be revoked and that in lieu thereof a patent of addition to patent No. .... of which I am/we are also the patentee be granted to me/us, such patent of addition to bear the same date as the patent so revoked.

(b) To be signed by patentee.

(b).....

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM NO. 2

PATENTS ACT 1949

PROVISIONAL SPECIFICATION (To be furnished in duplicate)

(a) Insert title verbally agreeing with that in the application form.

(a) .....

(b) State (in full) name, address and nationality of applicant or applicants as in application form.

(b) I/We.....

do hereby declare this invention to be described in the following statement:—

(c) Here begin description of the invention. The continuation of the specification should be on one side only of paper of the same size as this form with the lines well spaced and with a margin of one inch and a half on the left-hand part of the paper. The specification and the duplicate thereof must be signed at the end.

(c) .....



PATENTS FORM NO. 4

PATENTS ACT 1949

DECLARATION AS TO INVENTORSHIP (SECTION 4 (5))

(a) Insert name(s) of applicant(s).

I/We (a)..... do hereby declare that the true and first inventor.. of the invention disclosed in the complete specification filed in pursuance of my/our application... numbered..... and dated the..... day of .....19... is/are:

(b) State name, address and nationality of inventor or of each inventor.

(b) .....  
.....  
.....

and that my/our right to apply for a patent for the invention as follows

(c) This need not be filled in if the inventor(s) named at (b) is or are an applicant or applicants, or if the right to apply is as stated on the application form.

(c) .....  
.....  
.....  
.....  
.....

(d) To be signed by applicant(s).

(d).....  
.....

Except in the case of a Convention Application, if any person named as inventor at (b) above is not so named in the application or in any of the applications, he must sign the following statement.

I assent to the invention referred to in the above declaration, being included in the complete specification filed in pursuance of the stated application(s).

To the Comptroller,  
The Patent Office,  
25, Southampton Buildings,  
Chancery Lane, London, W.C.2.

PATENTS FORM NO. 5

PATENTS ACT 1949

APPLICATION FOR EXTENSION OF THE PERIOD FOR FILING A COMPLETE SPECIFICATION

I/We hereby, in respect of application No.....dated....., request an extension of the period in which to file a complete specification to a period not exceeding fifteen months from the date of the application.

(a) To be signed by applicant or applicants, or his or their agent.

(a).....  
.....  
.....

To the Comptroller,  
The Patent Office,  
25, Southampton Buildings,  
Chancery Lane, London, W.C.2.

PATENTS FORM No. 6

PATENTS ACT 1949

REQUEST FOR THE POST-DATING OF AN APPLICATION UNDER SECTION 6 (3)

I/We hereby request that application No.....filed on the .....of.....19..... be deemed to have been made on the following date, namely, the.....of.....19.....

(a)..... (a) To be signed by applicant(s).

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 7

PATENTS ACT 1949

APPLICATION FOR EXTENSION OF TIME UNDER RULE 30 OR 33 OR 50

Application No.....dated.....

I/We hereby apply for.....month..... extension of time within which

- (a) to remove an objection under section 8 (Rule 28), (b) agreement to the amendment of the specification or to the insertion of a reference under Rule 29 or 33 may be notified, (c) to submit an amendment under section 15 (Rule 48), (d) agreement to the amendment of the specification under Rule 49 may be notified.

(e)..... (e) To be signed by applicant or applicants, or his or their agent.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.



PATENTS FORM NO. 8

PATENTS ACT 1949

APPLICATION UNDER SECTION 79 (2) FOR THE RESULT OF A SEARCH MADE UNDER SECTIONS 7 AND 8

I/We hereby request that I/we may be informed of the result of the search made under sections 7 and 8 in connection with Application for Patent No.....

(a) Insert name and full address to which information is to be sent.

(a) .....  
.....

To the Comptroller,  
The Patent Office,  
25, Southampton Buildings,  
Chancery Lane, London, W.C.2.

(This part to be filled in at the Patent Office.)

Result of the search made under sections 7 and 8 of the Patents Act, 1949, in connection with Application for Patent No.....

Specifications or other publications cited as the result of the search made under section 7	Specifications cited as the result of the search made under section 8

NOTE.—Citations may be made during the examination of the specifications which are not relevant to the specification as accepted. Citations under section 7 are completed before acceptance of the specification, but citations under section 8 may be made subsequently.

PATENTS FORM No. 9

PATENTS ACT 1949

APPLICATION UNDER SECTION 9 (2) FOR DELETION OF REFERENCE

I/We (a)..... (a) State, in full, name and address of applicant(s).

hereby apply for deletion of the reference to patent No..... which has been inserted in the complete specification of my/our (b) (application for a) patent No..... in pursuance of a direction under section 9 (1). (b) Delete the words in brackets if a patent has been granted.

The facts relied upon in support of this application are (c)..... (c) The facts must be stated fully.

Communications should be sent to.....

at (d) ..... (d) The address must be within the United Kingdom.

(e) who is/are hereby appointed to act for me/us. (e) Delete if not applicable.

(f)..... (f) To be signed by applicant(s) or his or their authorised agent.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM NO. 10

PATENTS ACT 1949

NOTICE OF DESIRE FOR EXTENSION OF THE PERIOD FOR PUTTING AN APPLICATION IN ORDER

I/We hereby give notice that I/we desire the period for putting in order Application No.....dated.....to be extended to.....months from the date of filing of the complete specification.

(a) To be signed by applicant or applicants or his or their agent.

(a).....

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM NO. 11

PATENTS ACT 1949

REQUEST FOR POSTPONEMENT OF ACCEPTANCE OF COMPLETE SPECIFICATION

I/We hereby request a postponement of the acceptance of the complete specification of Application No.....dated.....to a date not later than the expiration of.....months from the date of filing of the complete specification.

(a) To be signed by applicant or applicants or his or their agent.

(a).....

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM NO. 12

PATENTS ACT 1949

NOTICE OF OPPOSITION TO GRANT OF PATENT (SECTION 14)

(To be accompanied by a copy, and a statement of case in duplicate)

(a) I/We.....

(a) State full name and address.

hereby give notice of opposition to the grant of a patent upon application No.....applied for by.....

upon the ground (b).....

(b) State upon which of the grounds of opposition permitted by section 14 of the Act the grant is opposed, and identify all specifications and other publications relied upon.

Communications should be sent to:.....

at (c) .....

(c) The address must be within the United Kingdom.

(d) who is/are hereby appointed to act for me/us.

(d) Delete if not applicable.

(e).....

(e) To be signed by opponent(s).

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM NO. 13

PATENTS ACT 1949

NOTICE THAT HEARING BEFORE THE COMPTROLLER WILL BE ATTENDED

- (a) State name and address.
- (b) Insert date of Hearing.
- (c) Give particulars (i.e., number of application or patent, names of parties and nature of proceedings).
- (d) Signature.

(a) I/We.....  
 .....  
 hereby give notice that the Hearing fixed for the (b).....  
 in reference to (c).....  
 ..... will be attended by myself/ourselves  
 or by some person on my/our behalf. (d).....  
 .....  
 .....

To the Comptroller,  
 The Patent Office,  
 25, Southampton Buildings,  
 Chancery Lane, London, W.C.2.

PATENTS FORM NO. 14

PATENTS ACT 1949

REQUEST UNDER SECTION 16 (3)

- (a) State (in full) name, address and nationality of applicant or devisers for the patent.
- (b) Insert title of invention.
- (c) State the name, address and nationality of the deviser or devisers if not included at (a).
- (d) Insert name of deviser or devisers.

(a) I/We.....  
 .....  
 who made Application No..... on the..... 19..... for the grant of a  
 patent for an invention the title of which is (b).....  
 and (c) I/we....., hereby  
 declare that the said (d)..... is/are the inventor ... in the sense of being the actual deviser ... of (a  
 substantial part of) the invention, and that the Application for the patent  
 is a direct consequence of his/their being such inventor..., and we hereby  
 request that the said (d).....  
 be mentioned as such inventor... in accordance with section 16.

A statement setting out the circumstances upon which we rely to justify  
 this request is attached.

Communications should be sent to.....  
 ..... at (e).....

- (e) The address must be within the United Kingdom.
- (f) Delete if not applicable.
- (g) To be signed by all the persons making the request.

(f) who is/are hereby appointed to act for us. (g).....  
 .....

To the Comptroller,  
 The Patent Office,  
 25, Southampton Buildings,  
 Chancery Lane, London, W.C.2.

PATENTS FORM NO. 15

PATENTS ACT 1949

CLAIM UNDER SECTION 16 (4)

(To be accompanied by a copy or copies as required by Rule 52)

(a) I ..... (a) State (in full) name, address and nationality of the claimant.

hereby declare that I am the inventor in the sense of being the actual deviser of (a substantial part of) the invention entitled (b)..... (b) Insert title of invention.

in respect of which Application No.....for patent was made by (c)..... (c) Insert name and address of applicant or applicants for the patent.

on the.....19....., and that the application for the patent is a direct consequence of my being such inventor, and I hereby claim to be mentioned as such inventor in accordance with section 16 (4).

A statement setting out the circumstances upon which I rely to justify this claim is attached together with a copy/copies thereof as required by Rule 52.

Communications should be sent to.....

at (d)..... (d) The address must be within the United Kingdom.

(e) who is/are hereby appointed to act for me. (e) Delete if not applicable.

(f)..... (f) To be signed by the claimant.

To the Comptroller,
The Patent Office,
25, Southampton Buildings,
Chancery Lane, London, W.C.2.

PATENTS FORM NO. 16

PATENTS ACT 1949

APPLICATION UNDER SECTION 16 (5)

(a) State (in full) name, address and nationality of the person or persons making this application.

(a) I/We.....

hereby apply for an extension of time (not exceeding one month) for making a request under section 16 (3) (or a claim under section 16 (4)) in respect of Application No.....for a patent made by (b).....

(b) Insert name, address and nationality of applicant or applicants for the patent.

on the.....19....., in respect of an invention the title of which is (c).....

(c) Insert title of invention.

Communications should be sent to.....

(d) The address must be within the United Kingdom.

at (d).....

(e) To be signed by the person or all the persons making the application or by his or their duly authorised agent.

(e).....

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM NO. 17

PATENTS ACT 1949

APPLICATION UNDER SECTION 16 (8)

(To be accompanied by copies as required by Rule 54)

(a) State (in full) name, address and nationality of the person or persons making this application.

(a) I/We.....

hereby declare that (b).....

(b) Insert the name of the person mentioned as the actual deviser.

ought not to have been mentioned under section 16 as the inventor in the sense of being the actual deviser of (a substantial part of) the invention covered by Application No..... dated the..... 19....., and entitled (c).....

(c) Insert title of invention.

and I/we hereby apply for a certificate to that effect.

A statement setting out the circumstances upon which I/we rely to justify this Application is attached together with copies thereof as required by Rule 54.

Communications should be sent to.....

(d) The address must be within the United Kingdom.

at (d).....

(e) Delete if not applicable.

(e) who is/are hereby appointed to act for me/us

(f) To be signed by all the persons making the application.

(f).....

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM NO. 18

PATENTS ACT 1949

CLAIM UNDER SECTION 17 (1) TO PROCEED AS AN APPLICANT OR CO-APPLICANT

I/We (a)..... (a) State name of claimant(s).

hereby request that the Patent Application No. (b)..... (b) State the number and date of the application for patent. dated .....

made by (c)..... (c) State name of the applicant or applicants for patent.

may proceed in the name(s) of (d) ..... (d) Insert (in full) name, address and nationality of the person or persons in whose name(s) it is requested that the application shall proceed.

I/We claim to be entitled to proceed as applicant(s) for the patent by virtue of (e)..... (e) Give the particulars of such document, giving its date and the parties to the same, and showing how the claim here made is substantiated.

And in proof whereof I/we transmit the accompanying (f)..... (f) State the nature of the document. The certified copy should be written, type-written or printed on foolscap paper.

Communications should be sent to..... at (g)..... (g) The address must be within the United Kingdom.

(h) who is/are hereby appointed to act for me/us. (i)..... (h) Delete if not applicable. (i) To be signed by claimant(s).

(j) I/We..... consent to the above request. (j) To be signed by the applicant(s).

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.



PATENTS FORM NO. 19

PATENTS ACT, 1949

APPLICATION FOR DIRECTIONS UNDER SECTION 17 (5) AS TO PROCEEDING WITH AN APPLICATION FOR A PATENT IN CASE OF DISPUTE BETWEEN JOINT APPLICANTS

(To be accompanied by a statement of case and by copies of the application and statement as required by Rule 57)

(a) State name and address.

(a) I.....

(b) State name and address of other applicant(s).

being a joint applicant with (b).....

in the application for a patent numbered..... hereby declare that a dispute has arisen between us and request that an order of the Comptroller be made giving directions for enabling the application to proceed.

Particulars of the matters in dispute are given in the annexed statement setting out the facts upon which I rely, and the relief which I seek.

Communications should be sent to.....

(c) The address must be within the United Kingdom.

.....at (c).....

(d) Delete if not applicable.

(d) who is hereby appointed to act for me.

(e) Signature.

(e).....

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM NO. 20

PATENTS ACT 1949

REQUEST FOR THE SEALING OF A PATENT

(a) State name of applicant or applicants.

I/We (a)..... request

(b) Both these numbers to be inserted.

that a patent may be sealed on my/our

(b) Application No..... and that the following may be Acceptance No.....

entered on the Register as my/our address for service:—

(c) The address must be within the United Kingdom.

(c) .....

(d) Signature.

(d).....

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 21

PATENTS ACT 1949

APPLICATION UNDER SECTION 19 (3) FOR EXTENSION OF THE PERIOD FOR MAKING A REQUEST FOR SEALING OF A PATENT

I/We hereby apply for.....month..... extension of the period for making a request for the sealing of a patent upon Application No.....

(a)..... (a) Signature

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 22

PATENTS ACT 1949

APPLICATION UNDER SECTION 19 (4) FOR AN EXTENSION OF THE PERIOD FOR MAKING A REQUEST FOR THE SEALING OF A PATENT

I/We hereby apply for (a).....month.....extension of the period for making a request for the sealing of a patent upon Application No.....

(a) Not more than six months extension may be applied for at one time.

The circumstances in and grounds upon which this extension is applied for are as follows (b):-.....

(b) The circumstances and grounds must be stated in detail.

.....

I/We hereby declare that

(c) An extension of time of three months for making a request for sealing has been allowed under section 19 (3) and has not yet expired.

(c) and (d) Delete the words which are not applicable.

(d) An extension of time of.....months for making a request for sealing has been allowed under section 19 (4) and has not yet expired.

(e)..... (e) Signature.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 23

PATENTS ACT 1949

APPLICATION UNDER SECTION 20 FOR AMENDMENT OF LETTERS PATENT

(To be accompanied by evidence verifying the statements made in this application)

(a) State name and address.

I/We (a).....

hereby request that Letters Patent No..... granted to.....

(b) State name, address and nationality of person to whom patent should have been granted.

may be amended by substituting the name of (b).....

for the name of the grantee.

My/Our address for service in the United Kingdom is.....

(c) Signature.

(c).....

To the Comptroller,
The Patent Office,
25, Southampton Buildings,
Chancery Lane, London, W.C.2.

PATENTS FORM No. 24

[When stamped this Form must be at once sent to or left at the Patent Office]

PATENTS ACT 1949

PAYMENT OF RENEWAL FEE

(a) State name of person tendering the fee.

I/We (a).....

(b) Here insert name of patentee(s).

hereby transmit the fee prescribed for the continuation in force of (b)..... Patent No..... for a further period of..... and request that the Certificate of Payment may be sent to me/us at (c).....

(c) Here insert full address.

NOTE.—If the address given above is not that entered in the Register of Patents as the Patentee's Address for Service and it is desired to amend the entry in the Register, application therefor must be made on Patents Form No. 57.

To the Comptroller,
The Patent Office,
25, Southampton Buildings,
Chancery Lane, London, W.C.2.

PATENTS FORM No. 25

PATENTS ACT 1949

REQUEST FOR EXTENSION OF THE PERIOD FOR PAYMENT OF RENEWAL FEE

I/We hereby apply for an extension of.....month(s) of the period prescribed for payment of the renewal fee of.....upon my/our Patent No.....

(a) ..... (a) State name and full address to which receipt is to be sent.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 26

PATENTS ACT 1949

CERTIFICATE OF PAYMENT OF RENEWAL FEE

Letters Patent No.....

This is to certify that..... did this.....day of.....19....., make the prescribed payment of £.....in respect of a period of..... from.....

The Patent Office, London.

PATENTS FORM No. 27

PATENTS ACT 1949

APPLICATION UNDER SECTION 24 OR 25 FOR EXTENSION OF TERM OF PATENT

(To be accompanied by evidence in support of the application)

I/We (a)..... hereby apply for extension of the term of Patent No.....for (a) State (in full) name, address and nationality of applicant or applicants.

(b)..... Communications should be sent to.....at (c)..... (b) Insert period for which extension is sought.

(d) who is/are hereby appointed to act for me/us. (c) The address must be within the United Kingdom.

(e)..... (d) Delete if not applicable.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2. (e) To be signed by the applicant or applicants.

PATENTS FORM NO. 28

PATENTS ACT 1949

NOTICE OF OPPOSITION TO APPLICANT FOR EXTENSION OF TERM OF PATENT

(To be accompanied by a copy, and evidence (in duplicate) in support of the opposition)

(a) State (in full) name, address and nationality of opponent or opponents.

I/We (a).....

hereby give notice of opposition to the application for extension of the term of Patent No.....

Communications should be sent to..... at (b).....

(b) The address must be within the United Kingdom.

(c) Delete if not applicable.

(c) who is/are hereby appointed to act for me/us. (d).....

(d) To be signed by the opponent or opponents.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM NO. 29

PATENTS ACT 1949

APPLICATION UNDER SECTION 27 FOR THE RESTORATION OF A PATENT

(To be accompanied by evidence verifying the statements made in this application)

(a) State name and address.

I/We (a).....

(b) State amount of fee.

hereby apply for an order for the restoration of Patent No.....

(c) State date when fee was due.

The circumstances which led to the failure to pay the renewal fee of

(d) The circumstances must be stated in detail.

(b).....on or before the (c).....are as follows (d)

(e) The address must be within the United Kingdom.

Communications should be sent to.....

(f) Delete if not applicable.

at (e).....

(g) To be signed by applicant.

(f) who is/are hereby appointed to act for me/us. (g).....

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM NO. 30

PATENTS ACT 1949

NOTICE OF OPPOSITION TO AN APPLICATION UNDER SECTION 27 FOR THE RESTORATION OF A PATENT

(To be accompanied by a copy, and a statement of case in duplicate)

I/We (a).....

(a) State name and address.

hereby give notice of opposition to the application for restoration of Patent No..... for the following reason:.....

Communications should be sent to.....

at (b).....

(b) The address must be within the United Kingdom.

(c) who is/are hereby appointed to act for me/us.

(c) Delete if not applicable.

(d).....

(d) Signature of opponent.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM NO. 31

PATENTS ACT 1949

ADDITIONAL FEE ON AN APPLICATION UNDER SECTION 27 FOR RESTORATION OF A PATENT

I/We (a).....

(a) State name and address.

the applicant(s) for the restoration of Patent No..... hereby transmit the prescribed additional fee, together with Patents Form No. 24 in respect of the unpaid renewal fee(s).

(b).....

(b) Signature of applicant(s)

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 32

PATENTS ACT 1949

APPLICATION UNDER SECTION 28 FOR THE SEALING OF A PATENT

(To be accompanied by evidence verifying the statements made in this application)

(a) State name and address.

I/We (a).....

the applicant(s) for a patent numbered.....hereby apply for an order for a patent to be sealed thereon.

The circumstances which led to the failure to make the prescribed request for sealing on or before the (b).....are as follows (c).....

(b) State date when request was due.

(c) The circumstances must be stated in detail.

(d) The address must be within the United Kingdom.

Communications should be sent to.....at (d).....

(e) Delete if not applicable.

(e) who is/are hereby appointed to act for me/us. (f).....

(f) Signature.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 33

PATENTS ACT 1949

NOTICE OF OPPOSITION TO AN APPLICATION UNDER SECTION 28 FOR THE SEALING OF A PATENT

(To be accompanied by a copy, and a statement of case in duplicate)

(a) State name and address.

I/We (a).....

hereby give notice of opposition to the application for the sealing of a patent on Application No.....for the following reasons:—

(b) The address must be within the United Kingdom.

Communications should be sent to.....at (b).....

(c) Delete if not applicable.

(c) who is/are hereby appointed to act for me/us. (d).....

(d) Signature.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 34

PATENTS ACT 1949

ADDITIONAL FEE ON AN APPLICATION UNDER SECTION 28 FOR THE SEALING OF A PATENT

I/We (a)..... (a) State name and address.

the applicant(s) for the sealing of a patent on Application No..... hereby transmit the prescribed additional fee, together with Patents Form No. 20 accompanied by the fee prescribed in respect of the making of the request for sealing.

To the Comptroller, Patent Office, 25, Southampton Buildings, London, W.C. 2. (b) Signature of applicants for sealing.

PATENTS FORM No. 35

PATENTS ACT 1949

APPLICATION UNDER SECTION 29 FOR AMENDMENT OF A COMPLETE SPECIFICATION AFTER ACCEPTANCE

(a) I/We..... (a) State full name and address of applicant or patentee.

seek leave to amend the complete specification No..... as shown in red ink in the copy of the printed specification hereunto annexed.

(b) I/We declare that no action for infringement or proceeding before the Court for revocation of the Patent is pending. (b) These words are to be struck out when a Patent has not been sealed.

My/Our reasons for making this amendment are in detail as follows:—

(c) ..... (c) State full particulars of the reasons for seeking amendment. If this space is not sufficient the particulars may be continued on a separate sheet.

Communications should be sent to..... at (d)..... (d) The address must be within the United Kingdom.

(e) who is/are hereby appointed to act for me/us. (e) Delete if not applicable.

(f)..... (f) To be signed by applicant or patentee.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.



PATENTS FORM NO. 36

PATENTS ACT 1949

NOTICE OF OPPOSITION TO AMENDMENT OF SPECIFICATION UNDER SECTION 29

(To be accompanied by a copy, and a statement of case in duplicate.)

(a) State full name and address.

(a) I/We.....

hereby give notice of opposition to the proposed amendment of specification No.....for the following reason:

.....

Communications should be sent to.....

(b) The address must be within the United Kingdom.

at (b).....

(c) Delete if not applicable.

(c) who is/are hereby appointed to act for me/us.

(d).....

(d) To be signed by the opponent.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 37

PATENTS ACT 1949

APPLICATION FOR AMENDMENT OF A COMPLETE SPECIFICATION NOT YET ACCEPTED

I/We (a)..... (a) State (in full) name and address of applicant or applicants.  
 .....  
 .....  
 .....  
 seek leave to amend the specification of Application No.....  
 of.....as shown in red ink in the copy of the original specification hereunto annexed.

My/Our reasons for making this amendment are as follows (b) (b) State reasons for seeking amendment.  
 .....  
 .....  
 .....  
 .....

To the Comptroller, (c)..... (c) To be signed by applicant or applicants, or his or their agent.  
 The Patent Office,  
 25, Southampton Buildings,  
 Chancery Lane, London, W.C.2.

PATENTS FORM No. 38

PATENTS ACT 1949

APPLICATION FOR AMENDMENT OF AN APPLICATION FOR A PATENT

(a) I/We..... (a) State full name and address of applicant or applicants.  
 .....  
 .....  
 .....  
 seek leave to amend my/our Application No.....  
 of.....as shown in red ink in the copy of the original Application hereunto annexed.

My/Our reasons for making this amendment are as follows (b) (b) State reasons for seeking amendment.  
 .....  
 .....  
 .....  
 .....

(c)..... (c) To be signed by applicant or applicants.  
 .....  
 .....  
 To the Comptroller,  
 The Patent Office,  
 25, Southampton Buildings,  
 Chancery Lane, London, W.C.2.

PATENTS FORM NO. 38 CON.

PATENTS ACT 1949

APPLICATION FOR THE CONVERSION OF AN APPLICATION FOR A PATENT TO A CONVENTION APPLICATION UNDER RULE 94 (2)

(a) State full name and address of applicant or applicants.

I/We (a).....

seek leave to amend my/our Application No.....of application as shown in red ink in the copy of the original Application here- unto annexed.

(b) To be signed by applicant or applicants.

(b).....

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM NO. 39

PATENTS ACT 1949

APPLICATION UNDER SECTION 33 FOR THE REVOCATION OF A PATENT

(To be accompanied by a copy, and a statement of case in duplicate)

(a) State full name and address.

(a) I/We.....

hereby apply for an Order for the revocation of Patent No..... on the following grounds:

(b) State upon which of the grounds the application is based and identify all specifications and other publications relied upon.\*

(b).....

(c) If such action or proceeding is pending in any Court the application cannot be made without the leave of the Court.

(c) I/We declare that no action for infringement or proceeding in any Court for the revocation of the patent is pending.

Communications should be sent to.....at (d).....

(d) The address must be within the United Kingdom.

(e) who is/are hereby appointed to act for me/us.

(f).....

(e) Delete if not applicable. (f) To be signed by applicant.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

\*Note: Such ground or grounds can only be one or more of the grounds on which the grant of a patent could have been opposed under section 14.

PATENTS FORM NO. 40

PATENTS ACT 1949

OFFER UNDER SECTION 34 TO SURRENDER A PATENT

(a) I/We.....  
.....  
.....  
.....  
.....  
hereby offer to surrender Patent No.....

(a) State full name and address.

(b) I/We declare that no action for infringement or proceeding in any Court for the revocation of the patent is pending.

(b) Delete if any action or proceeding is pending, and furnish full particulars of such action or proceeding.

My/Our reasons for making this offer are as follows:.....  
.....  
.....

Communications should be sent to.....  
.....at (c).....

(c) The address must be within the United Kingdom.

(d) who is/are hereby appointed to act for me/us.  
(e).....

(d) Delete if not applicable.  
(e) To be signed by the patentee.

To the Comptroller,  
The Patent Office,  
25, Southampton Buildings,  
Chancery Lane, London, W.C.2.

PATENTS FORM NO. 41

PATENTS ACT 1949

NOTICE OF OPPOSITION UNDER SECTION 34 TO OFFER TO SURRENDER A PATENT

(To be accompanied by a copy, and a statement of case in duplicate)

(a) I/We.....  
.....  
.....  
hereby give notice of opposition to the offer to surrender Patent No.....for the following reason:.....  
.....  
.....  
.....  
.....

(a) State full name and address.

Communications should be sent to.....  
.....at (b).....

(b) The address must be within the United Kingdom.

(c) who is/are hereby appointed to act for me/us.  
(d).....

(c) Delete if not applicable.  
(d) To be signed by the opponent.

To the Comptroller,  
The Patent Office,  
25, Southampton Buildings,  
Chancery Lane, W.C.2.

PATENTS FORM NO. 42

PATENTS ACT 1949

VOLUNTARY APPLICATION FOR ENDORSEMENT OF PATENT "LICENCES OF RIGHT"

(To be accompanied by evidence verifying the statement in the application and by the Letters Patent)

(a) State name and address.

I/We (a).....

hereby request that Patent No.....may be endorsed "Licences of Right".

I am/We are not precluded by contract from granting licences under the patent.

Communications should be sent to

(b) The address must be within the United Kingdom.

.....at (b).....

(c) Delete if not applicable.

(c) who is/are hereby appointed to act for me/us.

(d) To be signed by the patentee.

(d).....

To the Comptroller,

The Patent Office,

25, Southampton Buildings,

Chancery Lane, London, W.C.2.

PATENTS FORM NO. 43

PATENTS ACT 1949

APPLICATION UNDER SECTION 35 (2) FOR SETTLEMENT OF TERMS OF LICENCE UNDER PATENT ENDORSED "LICENCES OF RIGHT"

(To be accompanied by a copy, and a statement of case in duplicate)

(a) State name and address.

I/We (a).....

hereby apply for settlement of the terms of a licence to be granted under Patent No.....

I am/We are the

(b) (c) (d) Delete the two categories not applicable.

(b) patentee(s)

(c) person(s) requiring a licence

(d) holder(s) of a licence under the Patent granted before endorsement.

(e) Delete if the applicant is not the holder of a licence.

I/We (e) request that an Order may be made entitling me/us to exchange my/our existing licence for a licence to be granted upon the terms as settled.

Communications should be sent to.....

(f) The address must be within the United Kingdom.

.....at (f).....

(g) Delete if not applicable.

(g) who is/are hereby appointed to act for me/us.

(h) Signature of applicant.

(h).....

To the Comptroller,

The Patent Office,

25, Southampton Buildings,

Chancery Lane, London, W.C.2.

PATENTS FORM No. 44

PATENTS ACT 1949

APPLICATION UNDER SECTION 36 (1) BY PATENTEE FOR CANCELLATION OF ENDORSEMENT OF A PATENT "LICENCES OF RIGHT"

(To be accompanied by evidence in support of the application)

I/We (a)..... (a) State name and address.

hereby request that the endorsement of Patent No..... "Licences of Right" may be cancelled, and I/we enclose Patents Form No. 24 accompanied by the balance of all renewal fees which would have been payable if the patent had not been endorsed.

I/We declare (b) that there is no existing licence under the Patent, or (c) all the licensees consent to this application. (b) (c) Delete whichever is not applicable.

Communications should be sent to..... (d) The address must be within the United Kingdom. ....at (d).....

(e) who is/are hereby appointed to act for me/us. (e) Delete if not applicable. (f)..... (f) To be signed by the patentee.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 45

PATENTS ACT 1949

APPLICATION UNDER SECTION 36 (2) BY ANY PERSON INTERESTED FOR CANCELLATION OF ENDORSEMENT OF PATENT "LICENCES OF RIGHT"

(To be accompanied by a copy, and a statement of case in duplicate)

I/We (a)..... (a) State name and address.

hereby claim that the endorsement of Patent No..... "Licences of Right" is and was at the time of the endorsement contrary to a contract in which I am/we are interested and I/we request that such endorsement may be cancelled.

Communications should be sent to..... (b) The address must be within the United Kingdom. ....at (b).....

(c) who is/are hereby appointed to act for me/us. (c) Delete if not applicable. (d)..... (d) To be signed by the applicant(s).

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.



PATENTS FORM No. 48

PATENTS ACT 1949

APPLICATION BY GOVERNMENT DEPARTMENT UNDER SECTION 40 (1)

I/We (a)..... (a) State name and address of Department.

.....

hereby apply for an Order of the Comptroller in respect of Patent No.....

(b) for the endorsement of the patent " Licences of Right " or (b) (c) Delete whichever is not applicable.

(c) for the grant of a licence under the patent to (d)..... (d) Insert name, address and nationality of person to whom licence is to be granted.

for the following reasons:—(e)..... (e) State the facts and grounds on which the case is based.

.....

My/Our address for service in the United Kingdom is..... (f) Signature of applicant.

..... (f)

To the Comptroller,  
 The Patent Office,  
 25, Southampton Buildings,  
 Chancery Lane, London, W.C.2.

PATENTS FORM No. 49

PATENTS ACT 1949

APPLICATION UNDER SECTION 40 (3) BY A COMPETENT AUTHORITY FOR AN ORDER UNDER SECTION 40 (4)

I/We (a)..... (a) State name and address of competent authority.

.....

hereby apply for an Order of the Comptroller in respect of Patent No.....

(b) for the cancellation or modification of conditions in a licence or licences (b) (c) Delete whichever is not applicable.

granted by the patentee under date..... to..... ;

or (c) for the endorsement of the patent " Licences of Right " for the follow-  
 ing reasons:—

(d)..... (d) State the facts and grounds upon which the application is based.

.....

.....

My/Our address for service in the United Kingdom is:—.....

.....

..... (e) Signature of applicant.

..... (e)

To the Comptroller,  
 The Patent Office,  
 25, Southampton Buildings,  
 Chancery Lane, London, W.C.2.



PATENTS FORM NO. 50

PATENTS ACT 1949

APPLICATION UNDER SECTION 42 FOR REVOCATION OF A PATENT

(a) State name and address. I/We (a).....

hereby apply for the revocation of Patent No.....for the following reasons:—

(b) State the nature of applicant's interest, the facts upon which he relies and the grounds upon which the application is made. (b).....

Communications should be sent to.....

(c) The address must be within the United Kingdom. ....at (c).....

(d) Delete if not applicable. (d) who is/are hereby appointed to act for me/us.

(e) Signature. (e).....

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 51

PATENTS ACT 1949

NOTICE OF OPPOSITION UNDER SECTION 43 TO AN APPLICATION FOR AN ORDER UNDER SECTION 37, 40, 41 OR 42

(To be accompanied by evidence verifying the statement at (f) below)

I/We (a)..... (a) State name and address.

hereby give notice of opposition to the application made in respect of Patent No..... by .....

(b) for a licence under the patent

(c) for the endorsement of the patent "Licences of Right"

(b) to (e) Delete whichever is not applicable.

(d) for the grant of a licence to the person specified in the application

(e) for the revocation of the patent under section 42.

My/Our grounds for opposing are (f)..... (f) Insert statement of grounds on which application is opposed.

Communications should be sent to.....

at (g)..... (g) The address must be within the United Kingdom.

(h) who is/are hereby appointed to act for me/us. (h) Delete if not applicable.

(i)..... (i) Signature.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM NO. 52

PATENTS ACT 1949

APPLICATION UNDER SECTION 41 FOR LICENCE

(a) State name and address.

I/We (a).....

hereby apply for a licence under Patent No.....for the making, using, exercising and vending the invention

(b) (c) (d) Delete whichever is not applicable.

(b) as food or medicine; (c) for the purposes of the production of food or medicine; or (d) as or as part of a surgical or curative device

(e) State nature of applicant's interest, and the facts relied upon.

for the following reasons:—(e).....

(f) The address must be within the United Kingdom.

Communications should be sent to..... at (f).....

(g) Delete if not applicable.

(g) who is/are hereby appointed to act for me/us.

(h) Signature of applicant.

(h).....

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM NO. 53

PATENTS ACT 1949

APPLICATION FOR DIRECTIONS UNDER SECTION 55 (I)

(To be accompanied by a statement of case and by copies of the application and statement as required by Rule 116)

(a) State name and address.

I/We (a).....

hereby apply for the following directions in respect of Patent No.....

(b) State the directions sought.

(b) .....

(c) The address must be within the United Kingdom.

Communications should be sent to..... at (c).....

(d) Delete if not applicable.

(d) who is/are hereby appointed to act for me/us.

(e) To be signed by the patentee seeking directions.

(e).....

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 54

PATENTS ACT 1949

APPLICATION FOR DIRECTIONS UNDER SECTION 55 (2)

(To be accompanied by a copy and by a statement of case in duplicate)

I/We (a)..... (a) State name and address of patentee or joint patentees.

hereby apply for directions in respect of the failure of (b)..... (b) State name of person in default.

to comply with the directions of the Comptroller given under section 55 (1) on the.....in the following matter: (c)..... (c) State the directions sought.

Communications should be sent to.....

at (d).....

(e) who is/are hereby appointed to act for me/us. (f)..... (d) The address must be within the United Kingdom.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2. (e) Delete if not applicable. (f) To be signed by the patentee.

PATENTS FORM No. 55

PATENTS ACT 1949

APPLICATION UNDER SECTION 56 TO DETERMINE A DISPUTE BETWEEN EMPLOYER AND EMPLOYEE AS TO RIGHTS IN AN INVENTION

(To be accompanied by a copy and a statement in duplicate setting out the facts of the dispute and the relief sought)

I/We (a)..... (a) State name and address.

hereby declare that in respect of the rights in the invention for which an application for a patent was made by.....

and numbered.....(b) and upon which a patent has been granted, a dispute has arisen between me/us and (c)..... (b) Delete if a patent has not been granted.

..... (c) State name and address of other party to dispute.

and I/we hereby apply to the Comptroller to determine the dispute.

The facts of the dispute, and the relief which I/we seek are set out fully in the accompanying statement.

Communications should be sent to.....

at (d)..... (d) The address must be within the United Kingdom.

(e) who is/are hereby appointed to act for me/us. (f)..... (e) Delete if not applicable. (f) Signature.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 56

PATENTS ACT 1949

REFERENCE TO THE COMPTROLLER OF A DISPUTE AS TO INFRINGEMENT (SECTION 67)

(a) Insert, in full, name and address of patentee or exclusive licensee.

(b) Insert, in full, name and address of the other party to the dispute.

(c) Insert name as at (a).

(d) Delete whichever does not apply.

(e) Insert name as at (b).

(f) State full particulars of these matters. They may be given or continued on a separate sheet or sheets.

(g) The address must be within the United Kingdom.

(h) Delete if not applicable.

We (a).....  
and (b).....

hereby refer to the Comptroller for determination of a dispute whether any claim of the specification of Patent No.....of which the said (c).....  
is/are the (d) (patentee...) (exclusive licensee...) has been infringed by anything done by the said (e).....  
.....or whether any such claim alleged to be infringed is valid.

The matters in dispute are (f).....

The matters on which we are in agreement are (f).....

(To be signed by the patentee or exclusive licensee)

Communications should be sent to.....

at (g).....

(h) who is/are hereby appointed to act for me/us.

(To be signed by the other party to the dispute)

Communications should be sent to.....

at (g).....

(h) who is/are hereby appointed to act for me/us.

To the Comptroller,  
The Patent Office,  
25, Southampton Buildings,  
Chancery Lane, London, W.C.2.

PATENTS FORM No. 57

PATENTS ACT 1949

REQUEST FOR ALTERATION OF A NAME OR NATIONALITY OR AN ADDRESS OR AN ADDRESS FOR SERVICE IN THE REGISTER OF PATENTS

In the matter of Patent No.....

(a) State (in full) name and address of applicant or applicants.

(b) Strike out words not applicable.

(c) Insert name, nationality, or address or address for service, as the case may be.

(d) Signature of applicant or applicants.

I/We (a).....

hereby request that the (b) name  
(b) nationality  
(b) address  
(b) address for service

now upon the Register of Patents may be altered to (c).....

(d).....

NOTE. Where the request is for alteration in a name or nationality, evidence of the alteration must be furnished.

To the Comptroller,  
The Patent Office,  
25, Southampton Buildings,  
Chancery Lane, London, W.C.2.

PATENTS FORM NO. 58

PATENTS ACT 1949

APPLICATION FOR ENTRY OF NAME OF PROPRIETOR OR CO-PROPRIETOR IN THE REGISTER OF PATENTS

I/We (a)..... (a) Insert (in full) name, address and nationality.

hereby apply that you will enter my/our name in the Register of Patents as proprietor.....(or co-proprietor.....) of Patent No..... at present registered in the name of (b)\*..... (b) Give name of registered proprietor.

I/We claim to be so entitled by virtue of (c)..... (c) Specify the particulars of such document giving its date, and the parties to the same, and showing how the claim here made is substantiated.

And in proof whereof I/we transmit the accompanying (d)..... (d) Insert the nature of the document. The certified copy should be written, typewritten or printed on foolscap paper on one side only.

My/Our address for service in the United Kingdom is.....

(e)..... (e) Signature.

(f)..... (f) State in what capacity the signatory is acting.

\* If the application is in respect of more than one patent, the numbers thereof, as well as the particulars required at (b) above, should be given in a separate schedule which should be attached to this Form.

To the Comptroller,
The Patent Office,
25, Southampton Buildings,
Chancery Lane, London, W.C.2.

PATENTS FORM No. 59

PATENTS ACT 1949

APPLICATION FOR ENTRY OF NOTICE OF A MORTGAGE OR LICENCE IN THE REGISTER OF PATENTS

(a) Insert (in full) name, address and nationality.

I/We (a).....  
.....  
.....

hereby apply that you will enter in the Register of Patents a notice of the following interest in a patent:—

(b) Insert the nature of the claim, whether by way of mortgage or licence.

I/We claim to be entitled (b).....

.....to an interest in Patent No.....\* at present registered in the

(c) Give name of registered proprietor.

name of (c) .....

(d) Specify the particulars of such document, giving its date, and the parties to the same.

by virtue of (d).....  
.....  
.....  
.....  
.....  
.....  
.....  
.....

(e) Insert the nature of the document. The certified copy should be written, typewritten or printed on foolscap paper on one side only.

And in proof whereof I/we transmit the accompanying (e).....

..... with a certified copy thereof.

My/Our address for service in the United Kingdom is.....

(f) Signature.

(f).....

(g) State in what capacity the signatory is acting.

(g).....

\* If the application is in respect of more than one patent, the numbers thereof, as well as the particulars required at (c) and (d) above, should be given in a separate schedule which should be attached to this form.

To the Comptroller,  
The Patent Office,  
25, Southampton Buildings,  
Chancery Lane, London, W.C.2.

PATENTS FORM NO. 60

PATENTS ACT 1949

APPLICATION UNDER SECTION 74 (2) BY ASSIGNOR FOR ENTRY OF NAME OF PROPRIETOR OR CO-PROPRIETOR IN THE REGISTER OF PATENTS

I/We (a)..... (a) Insert (in full) name and address.

hereby apply that you will enter the name(s) of (b)..... (b) Insert name address and nationality of person(s) to be registered.

in the Register of Patents as proprietor (or part proprietor) of Patent No.....\* of which I am/we are the registered proprietor(s).

He is/they are entitled to the said patent or to a share therein by virtue of (c)..... (c) Specify the particulars of such document, giving its date, and the parties to the same, and showing how the claim here made is substantiated.

And in proof whereof I/we transmit the accompanying (d)..... (d) Insert the nature of the document. The certified copy should be written, typewritten or printed on foolscap paper on one side only.

My/Our address for service in the United Kingdom is.....

The address for service in the United Kingdom of the person(s) to be registered as proprietor or co-proprietor is:—.....

(e)..... (e) Signature.

(f)..... (f) State in what capacity the signatory is acting.

\* If the application is in respect of more than one patent, the numbers thereof, as well as the particulars required at (b) and (c) above, should be given in a separate schedule which should be attached to this Form.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.



PATENTS FORM No. 61

PATENTS ACT 1949

APPLICATION UNDER SECTION 74 (2) BY MORTGAGOR OR LICENSOR FOR ENTRY OF NOTICE OF A MORTGAGE OR LICENCE IN THE REGISTER OF PATENTS

(a) Insert (in full) name and address.

I/We (a).....

hereby apply that you will enter in the Register of Patents a notice of the following interest in a patent:—(b).....

(b) Insert name, address and nationality of mortgagee or licensee.

He/They are entitled (c).....

(c) Insert the nature of the claim, whether by way of Mortgage or Licence.

.....to an interest in Patent No.....\*, of which I am/we are the registered proprietor(s), by virtue of (d).....

(d) Specify the particulars of such document, giving its date, and the parties to the same.

(e) Insert the nature of the document. The certified copy should be written, typewritten or printed on foolscap paper on one side only.

And in proof whereof I/we transmit the accompanying (e)..... with a certified copy thereof.

My/Our address for service in the United Kingdom is.....

The address for service in the United Kingdom of the person(s) to be registered as mortgagee or licensee is:—.....

(f) Signature.

(f).....

(g) State in what capacity the signatory is acting.

(g).....

\* If the application is in respect of more than one patent, the numbers thereof, as well as the particulars required at (c) and (d) above, should be given in a separate schedule which should be attached to this Form.

To the Comptroller,
The Patent Office,
25, Southampton Buildings,
Chancery Lane, London, W.C.2.

PATENTS FORM No. 62

PATENTS ACT 1949

APPLICATION FOR ENTRY OF NOTIFICATION OF DOCUMENT IN REGISTER OF PATENTS

I/We (a)..... (a) Insert (in full) name address and nationality of the party benefiting under the document.

transmit the accompanying (b)..... (b) Specify the particulars of the document, giving its nature, date, and the parties to the same.

(together with a certified copy thereof) (c) affecting the proprietorship of Patent No.....\* at present registered in the name of (d)..... (c) The certified copy should be written, typewritten or printed on foolscap paper on one side only.

and request that a notification thereof may be entered in the Register of Patents. (e)..... (d) State name of registered proprietor.

(f)..... (e) Signature. (f) State in what capacity the signatory is acting.

\* If the application is in respect of more than one patent the numbers and particulars thereof should be given in a separate schedule which should be attached to this Form.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 63

PATENTS ACT 1949

APPLICATION FOR ENTRY OF A CLAIM TO BE DEEMED A LICENSEE IN THE REGISTER OF PATENTS

I/We (a)..... (a) State name, address and nationality.

hereby apply that you will enter my/our claim to be deemed to have acted with the licence of the patentee (exclusive licensee) in accordance with the conditions in the Order dated.....extending the term of Patent No.....

Evidence in support of my/our claim accompanies this application.

My/Our address for service in the United Kingdom is.....

(b)..... (b) Signature.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 64

PATENTS ACT 1949

REQUEST FOR CORRECTION OF CLERICAL ERROR (SECTION 76)

(a) State full name and address.

I/We (a).....

(b) State whether in application, specification, entry in register, patent, or the particular relevant document.

hereby request that the clerical error(s) in the (b)..... relating to application/patent No..... indicated in red ink in the annexed copy of the said (b)..... or shown as follows:—

..... may be corrected.

Communications should be sent to.....

(c) The address must be within the United Kingdom.

..... at (c).....

(d) who is/are hereby appointed to act for me/us.

(e).....

(d) Delete if not applicable. (e) To be signed by applicant or his authorised agent.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 65

PATENTS ACT 1949

NOTICE OF OPPOSITION TO THE CORRECTION OF A CLERICAL ERROR

(To be accompanied by a copy, and a statement of case in duplicate.)

(a) State (in full) name and address.

I/We (a).....

hereby give notice of opposition to the correction of an alleged clerical error in .....

..... which said correction has been applied for by.....

The grounds upon which the said correction is opposed are as follows:—

.....

Communications should be sent to.....

(b) The address must be within the United Kingdom.

at (b) .....

(c) who is/are hereby appointed to act for me/us.

(d).....

(c) Delete if not applicable. (d) To be signed by opponents.

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 66

PATENTS ACT 1949

REQUEST FOR CERTIFICATE OF COMPTROLLER

Patent (or Application) No..... of 19.....

I/We ..... of.....

hereby request you to furnish me/us with your Certificate to the effect that (a) .....

.....  
.....  
.....  
.....  
.....  
.....  
.....

(b) .....

To the Comptroller,  
The Patent Office,  
25, Southampton Buildings,  
Chancery Lane, London, W.C.2.

(a) Here set out the particulars which the Comptroller is requested to certify, and of any copies of documents which are to be annexed to the Certificate, stating also the purpose for which the copies are required.  
(b) Name and full address to which Certificate is to be sent.

PATENTS FORM No. 67

PATENTS ACT 1949

REQUEST FOR INFORMATION AS TO A MATTER AFFECTING A PATENT OR AN APPLICATION THEREFOR

Patent (or Application) No. .... of 19.....

I/We ..... of.....

hereby request you to furnish me/us with the following information affecting the patent (or application) aforesaid:—

(a) .....  
.....  
.....  
.....  
.....

(b).....

To the Comptroller,  
The Patent Office,  
25, Southampton Buildings,  
Chancery Lane, London, W.C.2.

(a) Here set out particulars as to the matter in respect of which information is sought.  
(b) To be signed by the person or persons seeking information, or by their agent.

PATENTS FORM No. 68

PATENTS ACT 1949

APPLICATION FOR DUPLICATE OF LETTERS PATENT

(a) State date, number, and full name and address of grantee or grantees.

(b) Insert title of invention.

(c) State, in full, the circumstances of the case, which must be verified by evidence.

(d) State interest possessed by applicant or applicants in the Patent.

(e) Signature of patentee or patentees and full address to which the duplicate is to be sent.

I/We have to inform you that the Letters Patent dated (a)..... No. .... granted to..... for an invention the title of which is (b) .....

..... has been lost or destroyed, or cannot be produced in the following circumstances:— (c) .....

I/We accordingly apply for the issue of a duplicate of such Letters Patent. (d) .....

(e).....

To the Comptroller, The Patent Office, 25, Southampton Buildings, Chancery Lane, London, W.C.2.

PATENTS FORM No. 69

PATENTS ACT 1949

APPLICATION FOR ENTRY OF ORDER OF COURT IN THE REGISTER

(a) State (in full) name and address of applicant or applicants.

(b) State the purport of the Order.

(c) Signature.

I/We (a)..... hereby transmit an office copy of an Order of the Court with reference to (b) .....

.....

(c).....

SCHEDULE 3

Rule 9(1) and (3)

FORMS ADOPTED BY THE EUROPEAN CONVENTION RELATING TO THE FORMALITIES REQUIRED FOR PATENT APPLICATIONS DONE AT PARIS ON 11TH DECEMBER, 1953 (Cmd. 9095).

1A (Form reproduced from Annex 1 of the Convention)

APPLICATION FOR PATENT

I/We the undersigned (1).....
\*acting { in my/our own name.....
on behalf of (2).....

Hereby make application for a patent for the invention described in the accompanying specification (and drawings) and entitled.....

I/We, the applicant { claim(s) to be the true (and first) inventor(s) of the invention
claim(s) the following of us (them), namely to be the true and first inventor(s)
(or) believe(s).....to be the
true and first inventor(s)
(and) claim(s) to be the { Assignee(s)
Personal Representative(s) } of the inventor
by virtue of (3).....

I/We request that the patent may be granted as a (4).....

to Patent (5) { No. ....
Application No..... dated.....
(6).....

Dated this.....day of.....19...
.....(Signature)

List of documents accompanying this application:

N.B.—Delete where not applicable or required.

INSTRUCTIONS

- (1) Give the following particulars:
(a) When this form is signed by the applicant(s), here insert his/their first names, surname(s), full address(es) and nationality(ies) or the name and full address where the applicant is not a physical person;
(b) When the form is signed by a nominee of the applicant(s) here insert the first names, surnames and full address of the nominee.
(2) In the case (1) (b), insert here the particulars at (1) (a).
Note: Nominees cannot apply in certain countries.\*

(3) Here insert particulars of the assignment or other document.

(4) Here indicate the nature of the protection applied for e.g. independent patent, patent of importation, patent for an improvement, patent or certificate of addition.

(5) In the case of a divisional application or where otherwise applicable, insert here the number of the related patent, or, where the related patent application is still pending, the number and date of such application.

(6) Insert here, where necessary, any other relevant particulars such as the authorisation of an agent in the country in which the application is made, or, if no such agent is appointed an address for service in that country.

*\*Nominee applications are not accepted in the United Kingdom.*

1a (Form reproduced from Annex II of the Convention)

**CONVENTION APPLICATION FOR PATENT**

I/We the undersigned (1).....

\*acting { in my/our own name.....  
 { on behalf of (2).....

Hereby declare that (an) application(s) for protection for an invention or inventions has(ve) been made in the following country(ies) on the following date(s), namely:—

in ..... on.....  
 by .....  
 in ..... on.....  
 by .....  
 in ..... on.....  
 by .....

and that the said application or each of the said applications was the first application in a Convention country.

I am/We are the assignee(s) of the said.....  
 or the personal representative(s) of the said.....  
 by virtue of (3).....

I/We request that a patent may be granted with priority founded on the above-mentioned application(s) in a Convention country(ies) for the invention described in the accompanying specification (and drawings) and entitled

.....  
 .....

I/We request that the patent may be granted as a (4).....

to Patent (5) { No. ....  
 { Application No.....dated.....

(6).....

Dated this.....day of.....19.....

.....(Signature)

List of documents accompanying this application:

.....  
 .....

N.B.—Delete where not applicable or required.

## INSTRUCTIONS

(1) Give the following particulars:

(a) When this form is signed by the applicant(s), here insert his/their first names, surname(s), full address(es) and nationality(ies) or the name and full address where the applicant is not a physical person;

(b) When the form is signed by a nominee of the applicant(s) here insert the first names, surname and full address of the nominee.

*Note:* Nominees cannot apply in certain countries.\*

(2) In the case (1) (b), insert here the particulars at (1) (a).

(3) Here insert particulars of the assignment or other document.

(4) Here indicate the nature of the protection applied for e.g. independent patent, patent of importation, patent for an improvement, patent or certificate of addition.

(5) In the case of a divisional application or where otherwise applicable insert here the number of the related patent or, where the related patent application is still pending, the number and date of such application.

(6) Insert here, where necessary, any other relevant particulars such as the authorisation of an agent in the country in which the application is made, or, if no such agent is appointed, an address for service in that country.

*\*Nominee applications are not accepted in the United Kingdom.*

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Rule 62

SCHEDULE 4

FORM OF PATENT

*Form A*

ELIZABETH the Second by the Grace of God of the United Kingdom of Great Britain and Northern Ireland and of Her other Realms and Territories, Queen, Head of the Commonwealth, Defender of the Faith: To all to whom these presents shall come greeting:

WHEREAS a request for the grant of a patent has been made by

for the sole use and advantage of an invention for

AND WHEREAS We, being willing to encourage all inventions which may be for the public good, are graciously pleased to condescend to the request:

KNOW YE, THEREFORE, that We, of our especial grace, certain knowledge, and mere motion do by these presents, for Us, our heirs and successors, give and grant unto the person(s) above named and any successor(s), executor(s), administrator(s) and assign(s) (each and any of whom are hereinafter referred to as the patentee) our especial licence, full power, sole privilege, and authority, that the patentee or any agent or licensee of the patentee and no others, may subject to the conditions and provisions prescribed by any statute or order for the time being in force at all times hereafter during the term of years herein mentioned, make, use, exercise and vend the said invention within our United Kingdom of Great Britain and Northern Ireland, and the Isle of Man, and that the patentee shall have and enjoy the whole profit and advantage from time to time accruing by reason of the said invention during the term of sixteen years from the date hereunder written of these presents: AND to the end that the patentee may have and enjoy the sole use and exercise and the full benefit of the said invention, We do by these presents for Us, our heirs and successors, strictly command all our subjects whatsoever within our United Kingdom of Great Britain and Northern Ireland, and the Isle of Man, that they do not at any time during the continuance of the said term either directly or indirectly make use of or put in practice the said invention, nor in anywise imitate the same, without the written consent, licence or agreement of the patentee, on pain of incurring such penalties as may be justly inflicted on such offenders for their contempt of this our Royal command, and of being answerable to the patentee according to the law for damages thereby occasioned:

PROVIDED ALWAYS that these letters patent shall be revocable on any of the grounds from time to time by law prescribed as grounds for revoking letters patent granted by Us, and the same may be revoked and made void accordingly:

PROVIDED ALSO that nothing herein contained shall prevent the granting of licences in such manner and for such considerations as they may by law be granted: AND lastly, We do by these presents for Us, our heirs and successors, grant unto the patentee that these our letters patent shall be construed in the most beneficial sense for the advantage of the patentee.

IN WITNESS whereof We have caused these our letters to be made patent as of the \_\_\_\_\_ day of \_\_\_\_\_ and to be sealed.

Comptroller-General of Patents,  
Designs, and Trade Marks.

Seal of  
Patent Office.

## FORM OF PATENT OF ADDITION

*Form B*

**ELIZABETH** the Second by the Grace of God of the United Kingdom of Great Britain and Northern Ireland and of Her other Realms and Territories, Queen, Head of the Commonwealth, Defender of the Faith: To all to whom these presents shall come greeting:

**WHEREAS** a request for the grant of a patent has been made by

for the sole use and advantage of an invention for

and it has been further requested that the patent may be granted as a patent of addition to Patent No. \_\_\_\_\_ dated the \_\_\_\_\_ day of \_\_\_\_\_ 19 \_\_\_\_\_ (hereinafter referred to as the main patent):

**AND WHEREAS** We, being willing to encourage all inventions which may be for the public good, are graciously pleased to condescend to the request:

**KNOW YE, THEREFORE**, that We, of our especial grace, certain knowledge, and mere motion do by these presents for Us, our heirs and successors, give, and grant unto the person(s) above named and any successor(s), executor(s), administrator(s) and assign(s) (each and any of whom are hereinafter referred to as the patentee) our especial licence, full power, sole privilege, and authority, that the patentee or any agent, or licensee of the patentee and no others, may subject to the conditions and provisions prescribed by any statute or order for the time being in force at all times hereafter during the term of years herein mentioned, make, use, exercise and vend the said invention within our United Kingdom of Great Britain and Northern Ireland, and the Isle of Man, and that the patentee shall have and enjoy the whole profit and advantage from time to time accruing by reason of the said invention during a term beginning on the date hereunder written of these presents and ending at the expiration of sixteen years from the \_\_\_\_\_ day of \_\_\_\_\_

one thousand nine hundred and \_\_\_\_\_ the date of said main patent: **AND** to the end that the patentee may have and enjoy the sole use and exercise and the full benefit of the said invention, We do by these presents for Us, our heirs and successors, strictly command all our subjects whatsoever within our United Kingdom of Great Britain and Northern Ireland, and the Isle of Man, that they do not at any time during the continuance of the said term either directly or indirectly make use of or put in practice the said invention, nor in anywise imitate the same, without the written consent, licence or agreement of the patentee, on pain of incurring such penalties as may be justly inflicted on such offenders for their contempt of this our Royal command, and of being answerable to the patentee according to law for damages thereby occasioned:

**PROVIDED ALWAYS** that these letters patent shall be revocable on any of the grounds from time to time by law prescribed as grounds for revoking letters patent granted by Us, and the same may be revoked and made void accordingly:

**PROVIDED ALSO** that nothing herein contained shall prevent the granting of licences in such manner and for such considerations as they may by law be granted: **AND** lastly, We do by these presents for Us, our heirs and successors, grant unto the patentee that these our letters patent shall be construed in the most beneficial sense for the advantage of the patentee.

**IN WITNESS** whereof We have caused these our letters to be made patent as of the \_\_\_\_\_ day of \_\_\_\_\_

one thousand nine hundred and \_\_\_\_\_

and to be sealed.  
Comptroller-General of Patents,  
Designs, and Trade Marks.

Seal of  
Patent Office.

**EXPLANATORY NOTE**

*(This Note is not part of the Rules.)*

These Rules consolidate and amend the Patents Rules 1958, the Patents (Amendment) Rules 1964, the Patents (Amendment No. 2) Rules 1964, the Patents (Amendment) Rules 1966, the Patents (Amendment) Rules 1967 and the Patents (Amendment No. 2) Rules 1967. The principal amendments are—

- (1) a new Rule (Rule 146) makes provision for the documents therein described to be open to public inspection ;
- (2) the Forms of Patents and Patents of Addition (Schedule 4) are modified.