

SCHEDULE 3

Regulation 3

FORM OF PART 3 OF BUDGET STATEMENT

With the exception of the shaded areas (which are illustrative only) Part 3 of a budget statement shall be in the following form (including the notes).

PART 3: THE LEA's ALLOCATION FORMULA - ITS FACTORS AND THEIR CASH VALUES

3A . PRIMARY AND SECONDARY SCHOOLS

i. PUPIL-LED FUNDING (1)

|  | PUPIL NUMBERS (2) | FUNDING PER PUPIL (3)<br>(£) | FUNDS ALLOCATED (4)<br>(£) (£k) | % OF PRIMARY & SECONDARY SCHOOLS' BUDGETS (5) |
|--|-------------------|------------------------------|---------------------------------|---|
|--|-------------------|------------------------------|---------------------------------|---|

Age-weighted funding (6)

Age/year group  
Age/year group  
etc.

|  |  |  |
|--|--|--|
|  |  |  |
|  |  |  |
|  |  |  |

Primary and secondary age-weighted funding totals (7)

|  |  |  |   |
|--|--|--|---|
|  |  |  | X |
|--|--|--|---|

Pupil-led special educational needs (SEN) funding (8)

Funding for pupils with SEN but without statements (9):

Factor  
Factor  
etc.

|  |  |  |
|--|--|--|
|  |  |  |
|  |  |  |
|  |  |  |

Total funds allocated (10)

|  |  |   |
|--|--|---|
|  |  | X |
|--|--|---|

Funding for pupils with statements (11):

Band or level of need  
Band or level of need  
etc.

|  |  |  |
|--|--|--|
|  |  |  |
|  |  |  |
|  |  |  |

Total funds allocated (12)

|  |  |
|--|--|
|  |  |
|--|--|

Primary and secondary pupil-led SEN funding total (13)

|  |   |
|--|---|
|  | X |
|--|---|

ii. SEN FUNDING COUNTED AS PUPIL-LED (14)

Place-led Funding (15)

| NUMBER OF PLACES (16) | FUNDING PER PLACE (17)<br>(£) | FUNDS ALLOCATED (18)<br>(£) (£k) |
|-----------------------|-------------------------------|----------------------------------|
|-----------------------|-------------------------------|----------------------------------|

Place factor  
Place factor  
etc.

|  |  |  |
|--|--|--|
|  |  |  |
|  |  |  |
|  |  |  |

Place-led funding totals (19)

|  |  |   |
|--|--|---|
|  |  | X |
|--|--|---|

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**Other SEN Funding Counted as Pupil-led (20)**

| FUNDING FACTOR<br>(21) | DESCRIPTION OF UNIT OF MEASUREMENT OR FORMULA OR METHOD BY WHICH FUNDS ARE ALLOCATED, OR SCHEME REFERENCE SHOWING METHOD OF ALLOCATION (22) | NUMBER OF UNITS (23) | FUNDING PER UNIT (24) | FUNDS ALLOCATED (25) | % OF PRIMARY SECONDARY SCHOOLS' BUDGETS (5) |
|------------------------|---|----------------------|-----------------------|----------------------|---|
|                        |   |                      | (£)                   | (£k)                 |   |

Details below are examples only

|  |   |  |  |  |
|--|---|--|--|--|
| Factors other than those involving flat rates per pupil or place | (eg Allocations at rates which vary according to pupil numbers in specified categories) |  |  |  |
|  | (eg Allocations individually assessed for each pupil, within specified range)           |  |  |  |

**Other funding totals (26)**

X

TOTAL PRIMARY AND SECONDARY FUNDS ALLOCATED ACCORDING TO PUPIL NUMBERS OR COUNTED AS PUPIL-LED (27)

X

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iii. OTHER FUNDING (28)

| FUNDING FACTOR (29) | DESCRIPTION OF UNIT OF MEASUREMENT OR FORMULA OR METHOD BY WHICH FUNDS ARE ALLOCATED, OR SCHEME REFERENCE SHOWING METHOD OF ALLOCATION (30) | NUMBER OF UNITS (31) | FUNDING PER UNIT (32)<br>(£) | FUNDS ALLOCATED (33)<br>(£k) |
|---------------------|---|----------------------|------------------------------|------------------------------|
|---------------------|---|----------------------|------------------------------|------------------------------|

Details below are examples only

| <b>School type/size related</b>  |  |  |  |  |
|--|--|--|--|--|
| Basic allocations (eg lump sums)                                       | (eg primary school, secondary school)                                |  |  |  |
| Curriculum protection: (eg primary, secondary)                         | (eg each pupil below specified threshold)                            |  |  |  |
| <b>Premises related</b>  |  |  |  |  |
| (eg floor area, site area, swimming pool, split site, rates, cleaning) | (eg square metre)  |  |  |  |
|  | (eg square metre)  |  |  |  |
|  | (eg cubic metre)   |  |  |  |
|  | (eg schools with 2 sites over 1km apart)                             |  |  |  |
|  | (eg allocations based on actual cost)                                |  |  |  |
|  | (eg actual contract cost for schools bound by different contracts)   |  |  |  |
| <b>Units attached to primary &amp; secondary schools</b>               |  |  |  |  |
| Nursery classes  | (eg place)   |  |  |  |
| SEN units  | (eg unit for hearing impaired pupils)                                |  |  |  |
| Other units  | (eg farm unit)   |  |  |  |
| <b>Small school salary protection</b>                                  | (eg scheme reference)  |  |  |  |
| <b>Special needs allocations not counted as pupil-led funding</b>      | (eg schools with over 30% of total roll receiving free school meals) |  |  |  |
| <b>Transitional adjustments</b>  | (eg scheme reference)  |  |  |  |
| <b>Other adjustments</b>   | (eg for schools closing during year)                                 |  |  |  |

TOTAL PRIMARY AND SECONDARY FUNDS NOT COUNTED AS PUPIL-LED FUNDING (34)

iv. TOTAL FUNDS ALLOCATED TO PRIMARY AND SECONDARY SCHOOLS (35)

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3B . SPECIAL SCHOOLS

i. PLACE-LED FUNDING (36)

|                                      | NUMBER OF PLACES (37) | FUNDING PER PLACE (38) | FUNDS ALLOCATED (39) |      |
|--------------------------------------|-----------------------|------------------------|----------------------|------|
|                                      |                       | (£)                    | (£)                  | (£k) |
| Place factor                         |                       |                        |                      |      |
| Place factor                         |                       |                        |                      |      |
| Place factor etc.                    |                       |                        |                      |      |
| <b>Place-led funding totals (40)</b> |                       |                        |                      |      |

ii. PUPIL-LED FUNDING (41)

|                                      | PUPIL NUMBERS (42) | FUNDING PER PUPIL (43) |  |  |
|--------------------------------------|--------------------|------------------------|--|--|
|                                      |                    | (£)                    |  |  |
| Age/year group                       |                    |                        |  |  |
| Age/year group                       |                    |                        |  |  |
| Age/year group etc.                  |                    |                        |  |  |
| <b>Pupil-led funding totals (44)</b> |                    |                        |  |  |

TOTAL SPECIAL SCHOOL FUNDS ALLOCATED ACCORDING TO PLACE AND PUPIL NUMBERS (45)

iii. OTHER FUNDING (46)

| FUNDING FACTOR (47) | DESCRIPTION OF UNIT OF MEASUREMENT OR FORMULA OR METHOD BY WHICH FUNDS ARE ALLOCATED, OR SCHEME REFERENCE SHOWING METHOD OF ALLOCATION (48) | NUMBER OF UNITS (49) | FUNDING PER UNIT (50) | FUNDS ALLOCATED (51) |
|---------------------|---|----------------------|-----------------------|----------------------|
|                     |   |                      | (£)                   | (£k)                 |

Details below are examples only

|                                  |  |  |  |  |
|----------------------------------|--|--|--|--|
| <b>School type/size related</b>  |  |  |  |  |
| Basic allocations (eg lump sums) | (eg hospital school, MLD school)                                   |  |  |  |
| <b>Premises related</b>          |  |  |  |  |
| (eg floor area, rates, cleaning) | (eg square metre)  |  |  |  |
|                                  | (eg allocations based on actual cost)                              |  |  |  |
|                                  | (eg actual contract cost for schools bound by different contracts) |  |  |  |
| <b>Salary protection</b>         | (eg scheme reference)  |  |  |  |
| <b>Transitional adjustments</b>  | (eg scheme reference)  |  |  |  |

TOTAL SPECIAL SCHOOL FUNDS ALLOCATED OTHER THAN ACCORDING TO PLACE AND PUPIL NUMBERS (52)

iv. TOTAL FUNDS ALLOCATED TO SPECIAL SCHOOLS (53)

3C. TOTAL FUNDS ALLOCATED TO ALL SCHOOLS (ASB) (54)

## NOTES TO PART 3

The numbered notes below refer to the matters bearing the corresponding number on the tabular part of the form. Where an authority do not plan to make any financial provision in respect of any matter listed on the form a nought should be entered in the appropriate place.

### 3A — Primary and Secondary Schools

#### Pupil-led funding

i.—(1) A sub-heading for the matters referred to in notes (6) to (13) below, which comprise the factors for allocating that part of the authority's aggregated schools budget to be allocated in accordance with the allocation formula under the authority's scheme by reference to the numbers of registered pupils at the primary and secondary schools covered by the scheme and their ages (or the age group or year group to which they belong), their attendance at a nursery class, their subjects of study and any special educational needs they may have or are to be treated, for the purposes of the application of the allocation formula, as having.

(2) Enter in the column below this heading opposite each factor listed in the first column the total number of registered pupils at primary and secondary schools covered by the authority's scheme to whom the factor applies.

(3) Enter in the column below this heading opposite each factor listed in the first column the amount of expenditure attributed to each pupil to whom the factor applies.

(4) Enter in the column below this heading opposite each factor listed in the first column the amount of expenditure produced by multiplying the amount for that factor entered in accordance with note (3) above by the number for that factor entered in accordance with note (2) above.

(5) Enter in the column below this heading the amounts of planned expenditure referred to in notes (7), (10), (13), (19), (26) and (27) below expressed as percentages of the amount referred to in note (35) below.

#### Age-weighted funding

(6) Enter below this sub-heading in ascending order each relevant age, age group or year group applicable in accordance with the allocation formula under the authority's scheme to primary and secondary schools, specifying separately any separate categories included in the allocation formula for pupils in nursery classes or studying particular subjects.

(7) In respect of the factors referred to in note (6) above, the aggregate of the numbers referred to in note (2) above and the aggregate of the amounts referred to in note (4) above.

#### Pupil-led special educational needs (SEN) funding

(8) A sub-heading for the matters referred to in notes (9) to (13) below which comprise the factors for allocating that part of the authority's aggregated schools budget to be allocated in accordance with the allocation formula under the authority's scheme by reference to the numbers of registered pupils at the primary and secondary schools covered by the scheme and any special educational needs they may have or are to be treated, for the purposes of applying the allocation formula, as having (but excluding any factors in respect of which expenditure may be allocated otherwise than on the basis of an equal amount for each pupil to whom the factor applies).

(9) Enter below this sub-heading each factor in the allocation formula under the authority's scheme in accordance with which equal amounts of expenditure are attributed to registered pupils at the primary and secondary schools covered by the scheme who have special educational needs, or

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who, for the purposes of the application of the allocation formula, are treated as having such needs, but for whom the authority do not maintain a statement.

(10) In respect of the factors referred to in note (9) above, the aggregate of the amounts to be entered in accordance with note (4) above.

(11) Enter below this sub-heading each factor in the allocation formula under the authority's scheme in accordance with which equal amounts of expenditure are attributed to registered pupils at the primary and secondary schools covered by the scheme who have special educational needs and for whom the authority maintain a statement.

(12) In respect of the factors referred to in note (11) above, the aggregate of the amounts entered in accordance with note (4) above.

(13) The aggregate of the amounts referred to in notes (10) and (12) above.

### **SEN funding counted as pupil-led**

ii.—(14) Subject to the proviso below, a sub-heading for the matters referred to in notes (15) to (26) below, which comprise the factors (other than those referred to in note (11) above) for allocating that part of the authority's aggregated schools budget to be allocated in accordance with the allocation formula under the authority's scheme on the basis of the relative needs of individual primary and secondary schools to incur expenditure in making special educational provision for pupils with statements : Provided that, the terms of the authority's scheme permit this expenditure to be counted towards any minimum proportion of the primary and secondary school share of the aggregated schools budget which the authority is required under the scheme to allocate in accordance with any specified factor or combination of factors.

### **Place-led funding**

(15) Enter below this sub-heading a statement of each factor in the allocation formula under the authority's scheme in accordance with which amounts of expenditure are allocated to primary and secondary schools covered by the authority's scheme by reference to the numbers and kinds of places they provide for pupils with statements of special educational needs.

(16) Enter in the column below this heading opposite each factor listed in the first column the total number of places at primary and secondary schools covered by the scheme to which the factor applies.

(17) Enter in the column below this heading opposite each factor listed in the first column in accordance with note (15) above the amount of expenditure attributed to each place to which the factor applies.

(18) Enter in the column below the heading opposite each factor listed in the first column the amounts of expenditure produced by multiplying the amount for that factor entered in accordance with note (17) above by the number for that factor entered in accordance with note (16) above.

(19) The aggregate of the numbers entered in accordance with note (16) above and the aggregate of the amounts entered in accordance with note (18) above.

### **Other SEN funding counted as pupil-led**

(20) A sub-heading for the matters referred to in notes (21) to (26) below which comprise all factors of the kinds described in note (14) above other than those already described in note (15) above.

(21) Enter below this sub-heading a statement of each factor of the kind referred to in note (20) above.

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(22) Enter in the column below this heading opposite each factor listed in the first column a description of the unit of measurement, formula or method by which funds are allocated through the factor, or a reference to the provision of the authority's scheme which describes the method of allocation.

(23) Where the allocation formula so provides, enter in the column below this heading opposite each factor listed in the first column the number of units of measurement in respect of the factor.

(24) Enter in the column below this heading opposite each factor listed in the first column the amount of expenditure attributable to each unit of measurement entered in the column referred to in note (23) above.

(25) Enter in the column below this heading opposite each factor listed in the first column the amount of expenditure produced by multiplying the amount for that factor (entered in accordance with note (24) above) by the number of units for that factor (entered in accordance with note (23) above) or, where the allocation formula does not provide for units of measurement, the amount of expenditure for that factor for the purposes of all primary and secondary schools.

(26) The aggregate of the amounts entered in accordance with note (25) above.

(27) The aggregate of the amounts entered in accordance with notes (7), (13), (19) and (26) above.

### **Other funding**

**iii.**—(28) A sub-heading for the matters referred to in notes (29) to (34) below which comprise the factors for allocating that part of the authority's aggregated schools budget to be allocated to primary and secondary schools in accordance with the allocation formula under the authority's scheme other than factors entered in accordance with notes (6), (9), (11), (15) and (21) above.

(29) Enter below this sub-heading a statement of each factor of the kind referred to in note (28) above.

(30) Enter in the column below this heading opposite each factor listed in the first column a description of the unit of measurement, formula or method by which funds are allocated through the factor, or a reference to the provision of the authority's scheme which describes the method of allocation.

(31) Where the allocation formula so provides, enter in the column below this heading opposite each factor listed in the first column the number of units of measurement in respect of the factor.

(32) Enter in the column under this heading opposite each factor listed in the first column the amount of expenditure attributable to each unit of measurement entered in the column referred to in note (31) above.

(33) Enter in the column under this heading opposite each factor listed in the first column the amount of expenditure produced by multiplying the amount for that factor (entered in accordance with note (32) above) by the number of units for that factor (entered in accordance with note (31) above) or, where the allocation formula does not provide for units of measurement, the amount of expenditure for that factor for the purposes of all primary and secondary schools.

(34) The aggregate of the amounts entered in accordance with note (33) above.

### **Total funds allocated to primary and secondary schools**

**iv.**—(35) The aggregate of the amounts entered in accordance with notes (27) and (34) above.

### **3B — Special Schools**

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### **Place-led funding**

**i.**—(36) Enter below this sub-heading a statement of each factor in the allocation formula under the authority’s scheme in accordance with which amounts of expenditure are allocated to special schools covered by the authority’s scheme by reference to the numbers and kinds of places they provide.

(37) Enter in the column below this heading opposite each factor listed in the first column the total number of places at special schools covered by the scheme to which the factor applies.

(38) Enter in the column below this heading opposite each factor listed in the first column in accordance with note (36) above the amount of expenditure attributed to each place to which the factor applies.

(39) Enter in the left-hand column below this heading opposite each factor listed in the first column the amount of expenditure produced by multiplying—

- (a) in the case of factors entered in accordance with note (36) above, the amount of expenditure for that factor (entered in accordance with note (38) above) by the number of places for that factor (entered in accordance with note (37) above), and
- (b) in the case of factors entered in accordance with note (41) below, the amount of expenditure for that factor (entered in accordance with note (43) below) by the number of pupils for that factor (entered in accordance with note (42) below).

(40) The aggregate of the numbers entered in accordance with note (37) above and the aggregate of the amounts entered in accordance with sub-paragraph (a) of note (39) above.

### **Pupil-led funding**

**ii.**—(41) Enter below this sub-heading in ascending order each relevant age, age group or year group applicable in accordance with the allocation formula under the authority’s scheme to special schools.

(42) Enter in the column below this heading opposite each factor entered in the first column in accordance with note (41) above the total number of registered pupils at special schools covered by the authority’s scheme to whom the factor applies.

(43) Enter in the column below this heading opposite each factor entered in the first column the amount of expenditure attributed to each pupil to whom the factor applies.

(44) The aggregate of the numbers entered in accordance with note (42) above and the aggregate of the amounts entered in accordance with sub-paragraph (b) of note (39) above.

(45) The aggregate of the aggregated amounts referred to in notes (40) and (44) above.

### **Other funding**

**iii.**—(46) A sub-heading for the matters referred to in notes (47) to (52) below which comprise the factors for allocating that part of the authority’s aggregated schools budget to be allocated to special schools in accordance with the allocation formula under the authority’s scheme otherwise than by reference to the numbers of places provided, or registered pupils, at such schools.

(47) Enter below this sub-heading a statement of each factor of the kind referred to in note (46) above.

(48) Enter in the column below this heading opposite each factor entered in the first column a description of the unit of measurement, formula or method by which funds are allocated through the factor, or a reference to the provision of the authority’s scheme which describes the method of allocation.



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(49) Where the allocation formula so provides, enter in the column below this heading opposite each factor entered in the first column the number of units of measurement in respect of the factor.

(50) Enter in the column under this heading opposite each factor entered in the first column the amount of expenditure attributable to each unit of measurement entered in the column referred to in note (49) above.

(51) Enter in the column under this heading opposite each factor entered in the first column the amount of expenditure produced by multiplying the amount for that factor (entered in accordance with note (50) above) by the number of units for that factor (entered in accordance with note (49) above) or, where the allocation formula does not provide for units of measurement, the amount of expenditure for that factor for the purposes of all special schools covered by the scheme.

(52) The aggregate of the amounts entered in accordance with note (51) above.

**Total funds allocated to special schools**

iv.—(53) The aggregate of the amounts entered in accordance with notes (45) and (52) above.

**3C — Total funds allocated to all schools**

(54) The aggregate of the amounts entered in accordance with notes (35) and (53) above.