
STATUTORY INSTRUMENTS

1996 No. 1655

The Occupational Pension Schemes (Disclosure of Information) Regulations 1996

[^{F1}Civil Penalties

11. Where any person fails, without reasonable excuse, to comply with any requirement imposed under these Regulations, the Regulatory Authority may [^{F2}by notice in writing] require that person to pay within 28 days a penalty which shall—

- (a) in the case of an individual, not exceed £5,000, and
- (b) in any other case, not exceed £50,000.]

Textual Amendments

- F1** Reg. 11 substituted (6.4.2005) by [Personal and Occupational Pension Schemes \(Indexation and Disclosure of Information\) \(Miscellaneous Amendments\) Regulations 2005 \(S.I. 2005/704\)](#), regs. 1(2), **17**
- F2** Words in reg. 11 inserted (6.4.2009) by [Occupational, Personal and Stakeholder Pensions \(Miscellaneous Amendments\) Regulations 2009 \(S.I. 2009/615\)](#), regs. 1(2), **6(2)**

Modifications etc. (not altering text)

- C1** Reg. 11 excluded (24.7.2014) by [The Pensions Act 2011 \(Transitional, Consequential and Supplementary Provisions\) Regulations 2014 \(S.I. 2014/1711\)](#), regs. 1(1), **78** (with regs. 6, 41, 44(1), 47(1), 69(2), 72(1), 76(1)); coming into force immediately after s. 29 of 2011 c 19 - see S.I. 2014/1683, art. 2

Changes to legislation:

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Occupational Pension Schemes (Disclosure of Information) Regulations 1996. Any changes that have already been made by the team appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to :

- Instrument amended by [S.I. 1997/3038 reg.6\(3\)](#)
- Regulations revoked by [S.I. 2013/2734 reg. 1\(3\)\(b\)](#)
- reg.11 amended by [S.I. 1999/3198 reg 5\(3\)](#)
- reg. 11(1) words substituted by [S.I. 1997/786 Sch. 1 para. 10\(6\)\(a\)](#)
- reg. 11(2) words substituted by [S.I. 1997/786 Sch. 1 para. 10\(6\)\(b\)](#)

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

- Act by [S.I. 2000/1403 reg.32Sch.2](#)
- Act by [S.I. 2000/1403 reg.32Sch.2](#)
- Act by [S.I. 2000/1403 reg.32. Sch.2](#)
- Act by [S.I. 2000/1403 reg.32Sch.2](#)

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Sch 2. para.4 amended by [S.I. 2000/2691 reg.5\(4\)\(a\)](#)
- Sch.2 paras.1314 amended by [S.I. 2000/2691 reg 5\(4\)\(f\)](#)