

Changes to legislation: The Occupational and Personal Pension Schemes (Levy) Regulations 1997 is up to date with all changes known to be in force on or before 02 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

[^{F1}SCHEDULE

Regulations 3(2) and 6(2)

AMOUNT OF THE GENERAL LEVY AND MAXIMUM AMOUNT PER MEMBER OF THE COMPENSATION LEVY

Textual Amendments

F1 Sch. substituted by the Sch. to S.I. 1998/600 as from 1.4.98.

Part I

The amount of the general levy in the case of schemes to which regulation 3(2)(a) of these Regulations applies shall be calculated by reference to the following sub-paragraphs:—

- (a) where the total membership of the scheme is at least 2 but not greater than 11, [^{F2}£12.00];
- (b) where the total membership of the scheme is at least 12 but not greater than 99, the amount calculated by multiplying [^{F3}£1.25] by the total membership of the scheme;
- (c) where the total membership of the scheme is at least 100 but not greater than 999, the greater of—
 - (i) the amount calculated by multiplying [^{F4}90p] by the total membership of the scheme, or
 - (ii) [^{F5}£125];
- (d) where the total membership of the scheme is at least 1,000 but not greater than 4,999, the greater of—
 - (i) the amount calculated by multiplying [^{F6}70p] by the total membership of the scheme, or
 - (ii) [^{F7}£900];
- (e) where the total membership of the scheme is at least 5,000 but not greater than 9,999, the greater of—
 - (i) the amount calculated by multiplying 53p by the total membership of the scheme, or
 - (ii) [^{F8}£3,500];
- (f) where the total membership of the scheme is 10,000 or over, the greater of—
 - (i) the amount calculated by multiplying 35p by the total membership of the scheme, or
 - (ii) £5,300.

Textual Amendments

- F2** Amount in Sch. Pt 1, para. (a) substituted by reg. 3(1)(a) of S.I. 2000/542 as from 1.4.2000.
- F3** Amount in Sch. Pt 1, para. (b) substituted by reg. 3(1)(b) of S.I. 2000/542 as from 1.4.2000.
- F4** Amount in Sch. Pt 1, para. (c)(i) substituted by reg. 3(1)(c) of S.I. 2000/542 as from 1.4.2000.
- F5** Amount in Sch. Pt 1, para. (c)(ii) substituted by reg. 3(1)(d) of S.I. 2000/542 as from 1.4.2000.
- F6** Amount in Sch. Pt 1, para. (d)(i) substituted by reg. 3(1)(e) of S.I. 2000/542 as from 1.4.2000.
- F7** Amount in Sch. Pt 1, para. (d)(ii) substituted by reg. 3(1)(f) of S.I. 2000/542 as from 1.4.2000.
- F8** Amount in Sch. Pt 1, para. (e)(ii) substituted by reg. 3(1)(g) of S.I. 2000/542 as from 1.4.2000.

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Part II

The amount of the general levy in the case of schemes to which regulation 3(2)(b) of these Regulations applies, shall be calculated by reference to the following sub-paragraphs:—

- (a) where the total membership of the scheme is at least 2 but not greater than 11, [^{F9}£5.20];
- (b) where the total membership of the scheme is at least 12 but not greater than 99, the amount calculated by multiplying [^{F10}50p] by the total membership of the scheme;
- (c) where the total membership of the scheme is at least 100 but not greater than 999, the greater of—
 - (i) the amount calculated by multiplying [^{F11}35p] by the total membership of the scheme, or
 - (ii) [^{F12}£50];
- (d) where the total membership of the scheme is at least 1,000 but not greater than 4,999, the greater of—
 - (i) the amount calculated by multiplying [^{F13}30p] by the total membership of the scheme, or
 - (ii) [^{F14}£350];
- (e) where the total membership of the scheme is at least 5,000 but not greater than 9,999, the greater of—
 - (i) the amount calculated by multiplying [^{F15}20p] by the total membership of the scheme, or
 - (ii) [^{F16}£1,500];
- (f) where the total membership of the scheme is 10,000 or over, the greater of—
 - (i) the amount calculated by multiplying [^{F17}15p] by the total membership of the scheme, or
 - (ii) [^{F18}£2,000].

Textual Amendments

- F9** Amount in Sch. Pt 2, para. (a) substituted by reg. 3(2)(a) of S.I. 2000/542 as from 1.4.2000.
- F10** Amount in Sch. Pt 2, para. (b) substituted by reg. 3(2)(b) of S.I. 2000/542 as from 1.4.2000.
- F11** Amount in Sch. Pt 2, para. (c)(i) substituted by reg. 3(2)(c) of S.I. 2000/542 as from 1.4.2000.
- F12** Amount in Sch. Pt 2, para. (c)(ii) substituted by reg. 3(2)(d) of S.I. 2000/542 as from 1.4.2000.
- F13** Amount in Sch. Pt 2, para. (d)(i) substituted by reg. 3(2)(e) of S.I. 2000/542 as from 1.4.2000.
- F14** Amount in Sch. Pt 2, para. (d)(ii) substituted by reg. 3(2)(f) of S.I. 2000/542 as from 1.4.2000.
- F15** Amount in Sch. Pt 2, para. (e)(i) substituted by reg. 3(2)(g) of S.I. 2000/542 as from 1.4.2000.
- F16** Amount in Sch. Pt 2, para. (e)(ii) substituted by reg. 3(2)(h) of S.I. 2000/542 as from 1.4.2000.
- F17** Amount in Sch. Pt 2, para. (f)(i) substituted by reg. 3(2)(i) of S.I. 2000/542 as from 1.4.2000.
- F18** Amount in Sch. Pt 2, para. (f)(ii) substituted by reg. 3(2)(j) of S.I. 2000/542 as from 1.4.2000.

Part III

The maximum amount per member of the compensation levy is 23p.]

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Changes and effects yet to be applied to :

- Sch. Pt.I amended by [S.I. 1999/682 reg.2](#)
- Sch. Pt.I amended by [S.I. 2000/542 reg.3\(1\)](#)
- Sch. Pt.II amended by [S.I. 2000/542 reg.3\(2\)](#)
- Sch. substituted by [S.I. 1998/600 reg.2\(9\)Sch.](#)
- Instrument applied by [S.I. 1997/666 reg.9\(1\)-\(4\)](#)
- reg.1 defn(s). added by [S.I. 2000/2691 reg.7\(2\)\(b\)](#)
- reg.1 defn(s). amended by [S.I. 2000/2691 reg.7\(2\)\(a\)](#)
- reg.1 amended by [S.I. 2000/3198 reg.12](#)
- reg.1(2) (defn. of registrable scheme) rev. in pt. by [S.I. 1998/600 reg.2\(2\)\(a\)](#)
- reg.1(2) (defn. of total membership) substituted by [S.I. 1998/600 reg.2\(2\)\(b\)](#)
- reg.2 amended by [S.I. 2000/542 reg.2](#)
- reg.2(1)(2) amended by [S.I. 1998/600 reg.2\(3\)\(a\)](#)
- reg.2(4) amended by [S.I. 1998/600 reg.2\(3\)\(b\)](#)
- reg.2(4A) added by [S.I. 1998/600 reg.2\(3\)\(c\)](#)
- reg.2(5) amended by [S.I. 1998/600 reg.2\(3\)\(d\)\(i\)](#)
- reg.2(5)(a) revoked by [S.I. 1998/600 reg.2\(3\)\(d\)\(ii\)](#)
- reg.3(2)(a) amended by [S.I. 1998/600 reg.2\(4\)\(a\)](#)
- reg.3(2)(b) amended by [S.I. 1998/600 reg.2\(4\)\(b\)](#)
- reg.4(2) rev. in pt. by [S.I. 1998/600 reg.2\(5\)\(a\)](#)
- reg.4(3) revoked by [S.I. 1998/600 reg.2\(5\)\(b\)](#)
- reg.5(1) replaced (by reg.5(1)(1A)) by [S.I. 1998/600 reg.2\(6\)\(a\)](#)
- reg.5(4)(a) revoked by [S.I. 1998/600 reg.2\(6\)\(b\)](#)
- reg.7(1) rev. in pt. by [S.I. 1998/600 reg.2\(7\)\(a\)](#)
- reg.7(2) revoked by [S.I. 1998/600 reg.2\(7\)\(b\)](#)
- reg.11(c) rev. in pt. by [S.I. 1998/600 reg.2\(8\)](#)

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

- Act by [S.I. 2000/1403 reg.32. Sch.2](#)
- Act by [S.I. 2000/1403 reg.32Sch.2](#)