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STATUTORY INSTRUMENTS

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**2000 No. 1974**

**The Education (Outturn Statements)  
(England) Regulations 2000**

**Citation, commencement, extent and application**

1.—(1) These Regulations may be cited as the Education (Outturn Statements) (England) Regulations 2000 and shall come into force on 21st August 2000.

(2) These Regulations shall apply only in relation to England and, except for regulation 3, only in relation to outturn statements prepared for the financial year beginning on 1st April 1999.

**Interpretation**

2.—(1) In these Regulations, unless the context otherwise requires—

“the 1996 Act” means the Education Act 1996<sup>(1)</sup>;

“the 1998 Act” means the School Standards and Framework Act 1998;

“the 1995 Regulations” means the Education (School Financial Statements) (Prescribed Particulars etc.) Regulations 1995<sup>(2)</sup>;

“the 1999 Regulations” means the Financing of Maintained Schools Regulations 1999<sup>(3)</sup>;

“allocation formula” means the authority’s formula under regulation 10 of the 1999 Regulations used to determine schools’ budget shares;

“authority” means a local education authority;

“budget statement” means the statement referred to in section 52(1) of the 1998 Act;

“DfEE number” means the reference number allocated to a school by the Department for Education and Employment;

“ISB” means an authority’s individual schools budget within the meaning of section 46(2) of the 1998 Act;

“LEA number” means the reference number allocated to an authority by the Department for Education and Employment;

“LSB” means an authority’s local schools budget within the meaning of section 46(1) of the 1998 Act;

“maintained school” means a community, foundation or voluntary school or a community or foundation special school;

“outturn statement” means the statement referred to in section 52(2) of the 1998 Act;

“school” means a maintained school;

“special educational needs” and “special educational provision” have the meanings given to those expressions by section 312 of the 1996 Act;

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(1) 1996 c. 56.

(2) S.I.1995/208.

(3) S.I. 1999/101.

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“Standards Fund grant” means grant paid under section 484 of the 1996 Act together with the authority’s expenditure not offset by that grant but taken into account in determining the amount of that grant;

“statement” in relation to a pupil with special educational needs means a statement made under section 324 of the 1996 Act; and

“version number” means a number which distinguishes a particular form submitted by the authority from earlier versions of that same form prepared by them.

(2) In these Regulations, unless the context otherwise requires references to primary or secondary schools do not include special schools.

(3) The following table shows provisions of the 1996 or 1998 Act defining or otherwise explaining expressions used in these Regulations—

financial year	section 579(1) of the 1996 Act
school’s budget share	section 47(1) of the 1998 Act

(4) Unless the context otherwise requires, any reference in these Regulations to a numbered regulation or Schedule is a reference to the regulation or Schedule in these Regulations so numbered.

### **Revocation and saving**

**3.—**(1) The 1995 Regulations are revoked in so far as they apply to outturn statements as therein defined prepared by local education authorities in England.

(2) Notwithstanding paragraph (1) above—

- (a) the Education (School Financial Statements) (Prescribed Particulars etc.) Regulations 1990<sup>(4)</sup> shall continue to apply to local education authorities in England in relation to a financial year beginning on or before 1st April 1992;
- (b) the Education (School Financial Statements) (Prescribed Particulars etc.) Regulations 1993<sup>(5)</sup> shall continue to apply to local education authorities in England in relation to the financial year beginning on 1st April 1993;
- (c) the Education (School Financial Statements) (Prescribed Particulars etc.) Regulations 1994<sup>(6)</sup> shall continue to apply to local education authorities in England in relation to the financial year beginning on 1st April 1994; and
- (d) the 1995 Regulations shall continue to apply to local education authorities in England in relation to the financial years beginning on 1st April 1995 to 1998.

### **Prescribed form of, and information to be contained in, outturn statements**

**4.—**(1) An outturn statement for the financial year beginning on 1st April 1999 shall be prepared in three parts, and shall contain the information prescribed for the purposes of section 52(2) of the 1998 Act, as follows—

- (a) Part 1 shall be in the form prescribed in Schedule 1 and shall contain the information specified in the notes to Part 1 in Schedule 1 about how the planned expenditure specified in the authority’s budget statement for the financial year to which the outturn statement relates was actually incurred, or treated by the authority as having been incurred;

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(4) S.I. 1990/353; revoked with savings by S.I. 1993/113.

(5) S.I. 1993/113; revoked with savings by S.I. 1994/323.

(6) S.I. 1994/323; revoked with savings by S.I. 1995/208.

- (b) Part 2 shall be in the form prescribed in Schedule 2 and shall contain the information specified in the notes to Part 2 in Schedule 2, about the authority's planned expenditure, the expenditure actually incurred, or treated by the authority as having been incurred, and other resources allocated by the authority, for each school for the financial year to which the outturn statement relates; and
  - (c) Part 3 shall be in the form prescribed in Schedule 3 and shall contain the information specified in the notes to Part 3 in Schedule 3 about the authority's expenditure actually incurred, or treated by them as having been incurred, in the financial year to which the outturn statement relates.
- (2) The following information must appear at the top of each of Parts 1 to 3 of an outturn statement—
- (a) the financial year to which the outturn statement relates;
  - (b) the authority's name;
  - (c) the authority's LEA number;
  - (d) the authority's E-mail address;
  - (e) the name of a person who can deal with queries in relation to the completed form;
  - (f) that person's telephone number;
  - (g) the version number; and
  - (h) the date that the form was completed.
- (3) Where a person is carrying out functions on behalf of an authority then the authority shall include information in Parts 1 to 3 of the outturn statement as if expenditure by that person in carrying out those functions were expenditure by the authority.

### **Prescribed manner and time of publication of outturn statements**

5. For the purposes of section 52(3)(b) of the 1998 Act, every outturn statement shall be published by—
- (a) supplying a copy to the Secretary of State for Education and Employment in accordance with regulation 6; and
  - (b) making a copy available for reference by parents and other persons at all reasonable times and without charge at each education office of the authority.
- 6.—(1) One copy of Parts 1, 2 and 3 of the outturn statement shall be supplied to the Secretary of State for Education and Employment in paper form and one copy in the form of machine readable data by E-mail or on a floppy disc provided for that purpose by the Secretary of State.
- (2) Any computer language or software used to supply tables must be one which the Secretary of State for Education and Employment has notified to the authority.
- 7.—(1) An outturn statement shall be published before 1st October next following the end of the financial year to which it relates.
- (2) An outturn statement shall be revised if the authority discover any errors.
  - (3) A revised outturn statement shall be subject to regulations 4, 5, 6, 7(2) and 8.
8. The authority shall furnish the governing body and head teacher of each school maintained by them with a copy of Parts 1 and 2 of the outturn statement.

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20th July 2000

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