

SCHEDULE 1

Regulation 1

REVOCATION OF REGULATIONS

<i>Title</i>	<i>Reference</i>	<i>Extent of revocation</i>
The Building (Approved Inspectors etc.) Regulations 1985	S.I. 1985/1066	The whole of the regulations
The Building (Inner London) Regulations 1985	S.I. 1985/1936	In regulation 2(1) the words “the Building (Approved Inspectors etc.) Regulations 1985” and paragraph 2 of Schedule 2
The Building (Inner London) Regulations 1987	S.I. 1987/798	In regulation 2(1) the words “the Building (Approved Inspectors etc.) Regulations 1985” and paragraph 2 of Schedule 2
The Building (Amendment) Regulations 1989	S.I. 1989/1119	Regulation 3
The Building (Approved Inspectors etc.) (Amendment) Regulations 1992	S.I. 1992/740	The whole of the regulations
The Building (Approved Inspectors etc.) (Amendment) Regulations 1995	S.I. 1995/1387	The whole of the regulations
The Building (Approved Inspectors etc.) (Amendment) Regulations 1996	S.I. 1996/1906	The whole of the regulations
The Building (Approved Inspectors etc.) (Amendment) Regulations 1998	S.I. 1998/2332	The whole of the regulations

SCHEDULE 2

Regulations 8(1), 9(1), 14, 17(1), 19(4), (5) and (6), 22(1), 24 and 27(1)

FORMS

FORM 1 Section 47 of the Building Act 1984 (“the Act”) The Building (Approved Inspectors etc.) Regulations 2000 (“the 2000 Regulations”)

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

INITIAL NOTICE

To: **(1)**

1. This notice relates to the following work: **(2)**
2. The approved inspector in relation to the work is: **(3)**
3. The person intending to carry out the work is: **(3)**
4. With this notice are the following documents, which are those relevant to the work described in this notice **(4)**:
 - [(a) in the case of a notice given by an inspector approved following an application under regulation 3(1) of the 2000 Regulations, a copy of the notice of approval,]
 - (b) a declaration signed by the insurer that a named scheme of insurance approved by the Secretary of State applies in relation to the work described in the notice,
 - [(c) in the case of the erection or extension of a building, a plan to a scale of not less than 1:1250 showing the boundaries and location of the site and a statement—
 - (i) as to the approximate location of any proposed connection to be made to a sewer, or
 - (ii) if no connection is to be made to a sewer, as to the proposals for the discharge of any proposed drain, including the location of any cesspool, or
 - (iii) if no provision is to be made for drainage, of the reasons why none is necessary,]
 - [(d) where it is proposed to erect a building or extension over a sewer or drain shown on the relative map of sewers, a statement as to the location of the building or extension and the precautions to be taken in building over the sewer or drain,]
 - [(e) a statement of any local enactment relevant to the work, and of the steps to be taken to comply with it.]
5. The work [is]/[is not] **(5)** minor work **(6)**.
6. [I **(7)** declare that I do not, and will not while this notice is in force, have any financial or professional interest **(8)** in the work described.] **(9)**
7. The approved inspector [will]/[will not] **(10)** be obliged to consult the fire authority by regulation 13 of the 2000 Regulations.
8. [I **(7)** undertake to consult the fire authority before giving a plans certificate in accordance with section 50 of the Act or a final certificate in accordance with section 51 of the Act in respect of any of the work described above.] **(9)**
9. I **(7)** am aware of the obligations laid upon me by Part II of the Act and by regulation 11 of the 2000 Regulations.

Signed

Signed

Approved Inspector

Person intending to carry out the work

Date

Date

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

NOTES

- (1) Name and address of local authority.
- (2) Location and description of the work, including the use of any building to which the work relates.
- (3) Name and address.
- (4) The local authority may reject this notice only on grounds prescribed by the Secretary of State. These are set out in Schedule 3 to the 2000 Regulations. They include failure to provide relevant documents. The documents listed in paragraph 4 of the notice relevant to the work described above should therefore be sent with this notice. Any sub-paragraph which does not apply should be deleted.
- (5) Delete whichever does not apply.
- (6) “Minor work” has the meaning given in regulation 10(1) of the 2000 Regulations. If the work is not minor work, the declaration in paragraph 6 must be made.
- (7) Name of the approved inspector.
- (8) “Professional or financial interest” has the meaning given in regulation 10 of the 2000 Regulations.
- (9) Delete this statement if it does not apply.
- (10) Delete whichever does not apply. If the inspector is obliged to consult the fire authority, the declaration in paragraph 8 must be made.

FORM 2 Section 51A of the Building Act 1984 (“the Act”) The Building (Approved Inspectors etc.) Regulations 2000 (“the 2000 Regulations”)

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

AMENDMENT NOTICE

To: **(1)**

1. This notice amends the initial notice a copy of which accompanies this notice.
2. This notice amends the work in the initial notice in the following manner: **(2)**
3. With this notice are the following documents, which are those relevant to the work described in this notice **(3)**:
 - (a) a copy of the original notice,
 - [(b) in the case of a notice given by an inspector approved following an application under regulation 3(1) of the 2000 Regulations, a copy of the notice of approval,]
 - (c) either:
 - (i) a statement to the effect that all plans submitted with the original notice remain unchanged; or
 - (ii) all amended plans are included with the notice with a statement to the effect that any plans not included remain unchanged, **(4)**
 - (d) a declaration signed by the insurer that a named scheme of insurance approved by the Secretary of State applies in relation to the work described in the notice,
 - [(e) in the case of the erection or extension of a building, a plan to a scale of not less than 1:1250 showing the boundaries and location of the site and a statement—
 - (i) as to the approximate location of any proposed connection to be made to a sewer, or
 - (ii) if no connection is to be made to a sewer, as to the proposals for the discharge of any proposed drain, including the location of any cesspool, or
 - (iii) if no provision is to be made for drainage, of the reasons why none is necessary,]
 - [(f) where it is proposed to erect a building or extension over a sewer or drain shown on the relative map of sewers, a statement as to the location of the building or extension and the precautions to be taken in building over the sewer or drain,]
 - [(g) a statement of any local enactment relevant to the work, and of the steps taken to comply with it.]
 4. The work [is]/[is not] **(4)** minor work **(5)**.
 - [5. I **(6)** declare that I do not, and will not while this notice is in force, have any financial or professional interest **(7)** in the work described.] **(8)**
 - [6. I **(6)** am satisfied that plans relating to the work described above have been submitted to me, and that they neither are defective nor show work which, if carried out in accordance with them, would contravene any provision of building regulations.] **(8)**
 7. The approved inspector [will]/[will not] **(9)** be obliged to consult the fire authority by regulation 13 of the 2000 Regulations.
 - [8. I **(6)** undertake to consult the fire authority before giving a plans certificate in accordance with section 50 of the Act or a final certificate in accordance with section 51 of the Act in respect of any of the work described above.] **(8)**

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9. I (6) am aware of the obligations laid upon me by Part II of the Act and by regulation 11 of the 2000 Regulations.

Signed

Signed

Approved Inspector

Person intending to carry out the work

Date

Date

NOTES

- (1) Name and address of local authority.
- (2) Location and/or description of the new work in the amendment notice and how it amends the work mentioned in the initial notice including the use of any building to which the new work relates.
- (3) The local authority may reject this notice only on grounds prescribed by the Secretary of State. These are set out in paragraphs 1 to 11 of Schedule 3 to the 2000 Regulations. They include failure to provide relevant documents. The documents listed in paragraph 3 of the notice relevant to the work described above should therefore be sent with this notice. Any sub-paragraph which does not apply should be deleted.
- (4) Delete whichever does not apply.
- (5) "Minor work" has the meaning given in regulation 10(1) of the 2000 Regulations. If the work is not minor work, the declaration in paragraph 5 must be made.
- (6) Name of the approved inspector.
- (7) "Professional or financial interest" has the meaning given in regulation 10 of the 2000 Regulations.
- (8) Delete this statement if it does not apply.
- (9) Delete whichever does not apply. If the inspector is obliged to consult the fire authority, the declaration in paragraph 8 must be made.

FORM 3 Section 50 of the Building Act 1984 ("the Act") The Building (Approved Inspectors etc.) Regulations 2000 ("the 2000 Regulations")

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

PLANS CERTIFICATE

1. This certificate relates to the following work: **(1)**
2. I am an approved inspector for the purposes of Part II of the Act and the above work is [the whole]/[part] **(2)** of the work described in an initial notice given by me and dated **(3)**.
3. With this certificate is the declaration, signed by the insurer, that a named scheme of insurance approved by the Secretary of State applies in relation to the work to which the certificate relates.
4. Plans of the work specified above have been submitted to me and I am satisfied that the plans neither are defective nor show that work carried out in accordance with them would contravene any provision of building regulations.
5. The work [is]/[is not] **(2)** minor work. **(4)**
- [6. I declare that I have had no financial or professional interest **(5)** in the work described since giving the initial notice described in paragraph 2.] **(6)**
- [7. I have consulted the fire authority in accordance with regulation 13.] **(6)**
8. The plans to which this certificate relates bear the following date and reference number: **(7)**

Signed

Approved Inspector

Date

NOTES

- (1)** Location and description of the work, including the use of any building to which the work relates.
- (2)** Delete whichever does not apply.
- (3)** Insert date.
- (4)** “Minor work” has the meaning given in regulation 10(1) of the 2000 Regulations. If the work is not minor work, the declaration in paragraph 6 must be made.
- (5)** “Professional or financial interest” has the meaning given in regulation 10 of the 2000 Regulations.
- (6)** Delete this statement if it does not apply.
- (7)** Insert the date and reference number.

FORM 4 Sections 47 and 50 of the Building Act 1984 (“the Act”) The Building (Approved Inspectors etc.) Regulations 2000 (“the 2000 Regulations”)

COMBINED INITIAL NOTICE AND PLANS CERTIFICATE

To: **(1)**

1. This notice relates to the following work: **(2)**

2. The approved inspector in relation to the work is: **(3)**

3. The person intending to carry out the work is: **(3)**

4. With this notice are the following documents, which are those relevant to the work described in this notice **(4)**:

[(a) in the case of a notice given by an inspector approved following an application under regulation 3(1) of the 2000 Regulations, a copy of the notice of approval,]

(b) a declaration signed by the insurer that a named scheme of insurance approved by the Secretary of State applies in relation to the work described in the notice,

[(c) in the case of the erection or extension of a building, a plan to a scale of not less than 1:1250 showing the boundaries and location of the site and a statement—

(i) as to the approximate location of any proposed connection to be made to a sewer, or

(ii) if no connection is to be made to a sewer, as to the proposals for the discharge of any proposed drain, including the location of any cesspool, or

(iii) if no provision is to be made for drainage, of the reasons why none is necessary,]

[(d) where it is proposed to erect a building or extension over a sewer or drain shown on the relative map of sewers, a statement as to the location of the building or extension and the precautions to be taken in building over the sewer or drain,]

[(e) a statement of any local enactment relevant to the work, and of the steps to be taken to comply with it.]

5. The work [is]/[is not] **(5)** minor work **(6)**.

[6. I **(7)** declare that I do not, and will not while this notice is in force, have any financial or professional interest **(8)** in the work described.] **(9)**

7. I **(7)** am satisfied that plans relating to the work described above have been submitted to me, and that they neither are defective nor show work which, if carried out in accordance with them, would contravene any provision of building regulations.

8. The approved inspector [is]/[is not] **(10)** obliged to consult the fire authority by regulation 13 of the 2000 Regulations.

[9. I **(7)** have consulted the fire authority in accordance with regulation 13.] **(9)**

[10. [I **(7)** undertake to consult the fire authority before giving a final certificate in accordance with section 51 of the Act in respect of any of the work described above.] **(9)**

11. The plans to which this certificate relates bear the following date and reference number: **(11)**

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12. I(7) am aware of the obligations laid upon me by Part II of the Act and by regulation 11 of the 2000 Regulations.

Signed

Signed

Approved Inspector

Person intending to carry out the work

Date

Date

NOTES

- (1) Name and address of local authority.
- (2) Location and description of the work, including the use of any building to which the work relates.
- (3) Name and address.
- (4) The local authority may reject this notice only on grounds prescribed by the Secretary of State. These are set out in Schedules 3 and 4 to the 2000 Regulations. They include failure to provide relevant documents. The documents listed in paragraph 4 of the notice relevant to the work described above should therefore be sent with this notice. Any subparagraph which does not apply should be deleted.
- (5) Delete whichever does not apply.
- (6) "Minor work" has the meaning given in regulation 10(1) of the 2000 Regulations. If the work is not minor work, the declaration in paragraph 6 must be made.
- (7) Name of the approved inspector.
- (8) "Professional or financial interest" has the meaning given in regulation 10 of the 2000 Regulations.
- (9) Delete this statement if it does not apply.
- (10) Delete whichever does not apply. If the inspector is obliged to consult the fire authority, the declarations in paragraph 9 and 10 must be made.
- (11) Insert the date and reference number.

FORM 5 Section 51 of the Building Act 1984 ("the Act") The Building (Approved Inspectors etc.) Regulations 2000 ("the 2000 Regulations")

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

FINAL CERTIFICATE

1. This certificate relates to the following work: (1)
2. I am an approved inspector and the work described above was [the whole]/[part] (2) of the work described in an initial notice given by me and dated (3).
3. Subject to what is said in paragraph 4 below, the work described above has been completed and I have performed the functions assigned to me by regulation 11 of the 2000 Regulations.
4. The work described above involves the insertion of insulating material into a cavity wall and this [has]/[has not] (2) been carried out.] (4)
5. Final certificates have now been issued in respect of all the work described in the initial notice referred to in paragraph 2 above.] (4)
6. With this certificate is the declaration, signed by the insurer that a named scheme of insurance approved by the Secretary of State applies in relation to the work to which the certificate relates.
7. The work [is]/[is not] (2) minor work (5).
8. I have had no professional or financial interest in the work described above since giving the initial notice described in paragraph 2 above.] (4)

Signed

Approved Inspector

Date

NOTES

- (1) Location and description of the work, including the use of any building to which the work relates.
- (2) Delete whichever does not apply.
- (3) Insert date.
- (4) Delete this statement if it does not apply.
- (5) "Minor work" has the meaning given in regulation 10(1) of the 2000 Regulations. If the work is not minor work, the declaration in paragraph 8 must be made.

FORM 6 Section 52(1) of the Building Act 1984 ("the Act") The Building (Approved Inspectors etc.) Regulations 2000 ("the 2000 Regulations")

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

NOTICE OF CANCELLATION BY APPROVED INSPECTOR

To: **(1)**

1. This notice relates to the following work: **(2)**
2. An initial notice dated **(3)** has been given and the above work was specified in it.
3. I am the approved inspector in relation to that work.
4. I hereby cancel the initial notice.

[**5.** I gave notice to the person carrying out the work in accordance with regulation 19 of the 2000 Regulations and he failed to remedy the contravention within the prescribed period. The contravention is **(4).**]

Signature

Date

NOTES

- (1)** Insert the name and address of the person to whom the notice is given. It must be given to the local authority and the person carrying out or intending to carry out the work.
- (2)** Location and description of the work, including the use of any building to which the work relates.
- (3)** Insert date.
- (4)** Delete this statement if it does not apply. If it applies, specify the provision of building regulations (including the specific requirement) which is contravened.

FORM 7 Section 52(3) of the Building Act 1984 (“the Act”) The Building (Approved Inspectors etc.) Regulations 2000 (“the 2000 Regulations”)

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NOTICE OF CANCELLATION BY PERSON CARRYING OUT WORK

To: **(1)**

1. This notice relates to the following work: **(2)**
2. An initial notice dated **(3)** has been given and the above work was specified in it.
3. I am [the person carrying out the work]/[intending to carry out the work]. **(4)**
4. I hereby cancel the initial notice.

Signature

Date

NOTES

- (1)** Insert the name and address of the person to whom the notice is given. It must be given to the local authority and, if practicable, to the approved inspector.
- (2)** Location and description of the work, including the use of any building to which the work relates.
- (3)** Insert date.
- (4)** Delete whichever does not apply.

FORM 8 Section 52(5) of the Building Act 1984 (“the Act”) The Building (Approved Inspectors etc.) Regulations 2000 (“the 2000 Regulations”)

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NOTICE OF CANCELLATION BY LOCAL AUTHORITY

To: **(1)**

1. This notice relates to the following work: **(2)**
2. I am authorised to sign this notice by the following local authority: **(3)**
3. The authority accepted an initial notice on **(4)** and the above work was described in it.
4. It appears to the local authority that the work to which the initial notice relates has not been commenced within the period of three years beginning on the date on which the initial notice was accepted, and the local authority hereby cancel the initial notice.

Signature

Date

NOTES

- (1)** Insert the name and address of the person to whom the notice is given. This notice must be given to the approved inspector and the person shown in the initial notice as the person intending to carry out the work.
- (2)** Location and description of the work, including the use of any building to which the work relates.
- (3)** Name and address of the local authority.
- (4)** Insert date.

FORM 9 Section 54 of the Building Act 1984 (“the Act”) The Building (Approved Inspectors etc.) Regulations 2000 (“the 2000 Regulations”)

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PUBLIC BODY'S NOTICE

To: **(1)**

1. This notice relates to the following work: **(2)**

2. **(3)** is approved under Part II of the Act and intends to carry out in relation to a building belonging to it the work described above which can be adequately supervised by its own servants or agents.

3. With this notice are the following documents, which are those relevant to the work described in this notice **(4)**:

[(a) in the case of the erection or extension of a building, a plan to a scale of not less than 1:1250 showing the boundaries and location of the site and a statement—

(i) as to the approximate location of any proposed connection to be made to a sewer, or

(ii) if no connection is to be made to a sewer, as to the proposals for the discharge of any proposed drain, including the location of any cesspool, or

(iii) if no provision is to be made for drainage, of the reasons why none is necessary.]

[(b) where it is proposed to erect a building or extension over a sewer or drain shown on the relative map of sewers, a statement as to the location of the building or extension and the precautions to be taken in building over the sewer or drain.]

[(c) a statement of any local enactment relevant to the work, and of the steps to be taken to comply with it.]

4. The public body [will]/[will not] **(5)** be obliged to consult the fire authority by regulation 23 of the 2000 Regulations.

[5. **(3)** undertakes to consult the fire authority before giving a public body's plans certificate in accordance with paragraph 2 of Schedule 4 to the Act or a public body's final certificate in accordance with paragraph 3 of Schedule 4 to the Act in respect of any of the work described above.] **(6)**

Signature

Date

NOTES

(1) Name and address of local authority.

(2) Location and description of the work, including the use of any building to which the work relates.

(3) Name and address of public body.

(4) The local authority may reject this notice only on grounds prescribed by the Secretary of State. These are set out in Schedule 6 to the 2000 Regulations. They include failure to provide relevant documents. The documents listed in paragraph 3 relevant to the work described above should therefore be sent with this notice. Any sub-paragraph which does not apply should be deleted.

(5) Delete whichever does not apply. If the public body is obliged to consult the fire authority, the declaration in paragraph 5 must be made.

(6) Delete this statement if it does not apply.

FORM 10 Paragraph 2 of Schedule 4 to the Building Act 1984 ("the Act") The Building (Approved Inspectors etc.) Regulations 2000 ("the 2000 Regulations")

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PUBLIC BODY'S PLANS CERTIFICATE

1. This certificate relates to the following work: **(1)**
2. **(2)** is an approved public body under Part II of the Act and the above work is [the whole]/ [part] **(3)** of work described in a public body's notice given by the body and dated **(4)**.
3. Plans of the work described above have been inspected by a servant or agent of the public body who is competent to assess the plans and he is satisfied that the plans neither are defective nor show work which, if carried out in accordance with them, would contravene any provision of building regulations.
4. The fire authority has been consulted in accordance with regulation 23.] **(5)**
5. The plans inspected bear the following date and reference number: **(6)**

Signature

Date

NOTES

- (1)** Location and description of the work, including the use of any building to which the work relates.
- (2)** Name and address of public body.
- (3)** Delete whichever does not apply.
- (4)** Insert date.
- (5)** Delete this statement if it does not apply.
- (6)** Insert the date and reference number.

FORM 11 Paragraph 2(2) of Schedule 4 to the Building Act 1984 ("the Act")The Building (Approved Inspectors etc.) Regulations 2000 ("the 2000 Regulations")

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COMBINED PUBLIC BODY'S NOTICE AND PLANS CERTIFICATE

To: **(1)**

1. This certificate relates to the following work: **(2)**

2. **(3)** is an approved public body under Part II of the Act.

3. With this notice are the following documents, which are those relevant to the work described in this notice **(4)**:

[(a) in the case of the erection or extension of a building, a plan to a scale of not less than 1:1250 showing the boundaries and location of the site and a statement—

(i) as to the approximate location of any proposed connection to be made to a sewer, or

(ii) if no connection is to be made to a sewer, as to the proposals for the discharge of any proposed drain, including the location of any cesspool, or

(iii) if no provision is to be made for drainage, of the reasons why none is necessary,]

[(b) where it is proposed to erect a building or extension over a sewer or drain shown on the relative map of sewers, a statement as to the location of the building or extension and the precautions to be taken in building over the sewer or drain,]

[(c) a statement of any local enactment relevant to the work, and of the steps to be taken to comply with it.]

4. Plans of the work described above have been inspected by a servant or agent of the public body who is competent to assess the plans and he is satisfied that the plans neither are defective nor show work which, if carried out in accordance with them, would contravene any provision of building regulations.

[5. The fire authority has been consulted in accordance with regulation 23 of the 2000 Regulations.] **(5)**

[6. The body undertakes to consult the fire authority before giving a final certificate in accordance with paragraph 3 of Schedule 4 to the Act in respect of the work described above.] **(5)**

7. The plans inspected bear the following date and reference number: **(6)**

Signature

Date

NOTES

(1) Name and address of local authority.

(2) Location and description of the work, including the use of any building to which the work relates.

(3) Name and address of public body.

(4) The local authority may reject this notice only on grounds prescribed by the Secretary of State. These are set out in Schedules 6 and 7 of the 2000 Regulations. They include failure to provide relevant documents. The documents listed in paragraph 3 relevant to

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the work described above should therefore be sent with this notice. Any sub-paragraph which does not apply should be deleted.

- (5) Delete this statement if it does not apply.
- (6) Insert the date and reference number.

FORM 12 Paragraph 3 of Schedule 4 to the Building Act 1984 (“the Act”) The Building (Approved Inspectors etc.) Regulations 2000 (“the 2000 Regulations”)

PUBLIC BODY’S FINAL CERTIFICATE

1. This certificate relates to the following work: (1)

2. The work described above is [the whole]/[part] (2) of the work described in a public body’s notice given by (3) on (4). Subject to what is said in paragraph 3 below, the work has been supervised by the servant or agent of (3) to ensure compliance with those substantive requirements of building regulations which apply to it.

[3. The work to which this certificate relates involves the insertion of insulating material into a cavity wall and this [has]/[has not] (2) been carried out.] (5)

[4. A public body’s final certificate has now been issued in respect of all the work specified in the public body’s notice referred to in paragraph 2.] (5)

[5. The fire authority has been consulted in accordance with regulation 23.] (5)

Signature

Date

NOTES

- (1) Location and description of the work, including the use of any building to which the work relates.
- (2) Delete whichever does not apply.
- (3) Insert the name of the public body.
- (4) Insert the date.
- (5) Delete this statement if it does not apply.

SCHEDULE 3

Regulations 8(3), 9(3) and 15(2)

GROUND FOR REJECTING AN INITIAL NOTICE, AN AMENDMENT NOTICE, OR A PLANS CERTIFICATE COMBINED WITH AN INITIAL NOTICE

Deficiencies in initial notice

Form

1. The notice is not in the prescribed form.

Wrong local authority

2. No part of the work described in the notice is to be carried out in the area of the local authority to whom the notice has been given.

Capacity of approved inspector

3. The person who signed the notice as approved inspector is not an approved inspector.

Deficiencies in information

Information about the proposed work

4. Neither the notice nor the accompanying plans and documents include—
 - (a) the location and a description of the work, including the use of any building to which the work relates;
 - (b) information needed by the local authority to decide whether the notice is to be rejected on ground 9, 10 or 11.

Notice of approval

5. In the case of a notice signed by an inspector approved in accordance with regulation 3(1) by a designated body, the initial notice is not accompanied by a copy of the notice of his approval.

Lack of declaration or undertakings

Insurance

6. The notice is not accompanied by a declaration signed by the insurer that a named scheme of insurance approved by the Secretary of State applies in relation to the work described in the notice.

Fire authority

7. The approved inspector will be obliged by regulation 13 to consult the fire authority before giving a plans certificate or final certificate, and the notice does not contain an undertaking to do so.

Independence

8. Except where the work described in the notice is stated in it to be minor work within the meaning of regulation 10(1), the notice does not contain a declaration by the approved inspector

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that he does not, and while the initial notice is in force will not, have any professional or financial interest in that work.

Deficiencies in the proposed work

Drain outfall

9. In the case of the erection or extension of a building, the local authority are not satisfied that either—

- (a) any proposed drain or private sewer will be deemed to be a satisfactory drain by virtue of section 21(4) and (5) of the Act, or
- (b) in the particular case they may properly dispense with any provision for drainage.

Building over sewers

10. The work described includes the erection of a building or extension over a sewer or drain shown on the relative map of sewers, and the local authority are not satisfied that in the circumstances of the particular case they may properly consent to the erection of the proposed building or extension either unconditionally or subject to compliance with any requirements imposed as a condition of accepting the notice.

Local enactments

11. The local authority are not satisfied that the work described will comply with any local enactment which requires or authorises them to reject plans submitted in accordance with building regulations.

Overlap with earlier notice

Earlier notice

12.—(1) Except where paragraph (2) applies, an initial notice (“an earlier notice”) has already been given in respect of any part of the work described in the notice.

(2) The ground in paragraph (1) does not apply if—

- (a) an earlier notice has ceased to be in force and the local authority have taken no positive step to supervise the work described in it; or
- (b) the notice is accompanied by an undertaking by the approved inspector who gave an earlier notice to the effect that he will cancel that notice as soon as the initial notice under consideration is accepted.

SCHEDULE 4

Regulations 15(1) and 15(2)

GROUND FOR REJECTING A PLANS CERTIFICATE, OR A
PLANS CERTIFICATE COMBINED WITH AN INITIAL NOTICE

Deficiencies in form and information

Form

1. The certificate is not in the prescribed form.

Work

2. The certificate does not describe the work to which it relates.

Plans

3. The certificate does not specify the plans to which it relates.

Absence of power to give certificate

No initial notice

4. Except where the plans certificate is combined with an initial notice, no initial notice was in force with respect to the work described in the certificate at the time the certificate was given.

Capacity of approved inspector

5. An initial notice was in force with respect to the work described in the certificate at the time the certificate was given, but—
 - (a) the certificate is not signed by the approved inspector who gave that notice; or
 - (b) that person is no longer an approved inspector.

Lack of declarations

Insurance

6. The certificate is not accompanied by a declaration signed by the insurer that a named scheme of insurance approved by the Secretary of State applies in relation to the work to which the certificate relates.

Fire authority

7. The approved inspector was obliged by regulation 13 to consult the fire authority before giving the certificate, but the certificate does not contain a declaration that he has consulted them in accordance with that regulation.

Independence

8. Except where the work to which it relates is stated in the certificate to be minor work, within the meaning of regulation 10(1), the certificate does not contain a declaration by the approved inspector

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that he has not since giving the initial notice in question had any professional or financial interest in that work.

SCHEDULE 5

Regulation 17(1)

GROUND S FOR REJECTING A FINAL CERTIFICATE

Deficiencies in form and information

Form

1. The certificate is not in the prescribed form.

Work

2. The certificate does not describe the work to which it relates.

Absence of power to give certificate

No initial notice

3. No initial notice was in force with respect to the work described in the certificate at the time the certificate was given.

Capacity of approved inspector

4. An initial notice was in force with respect to the work described in the certificate at the time the certificate was given, but—
 - (a) the certificate is not signed by the approved inspector who gave that notice, or
 - (b) that person is no longer an approved inspector.

Lack of declarations

Insurance

5. The certificate is not accompanied by a declaration signed by the insurer that a named scheme of insurance approved by the Secretary of State applies in relation to the work to which the certificate relates.

Independence

6. Except where the work to which it relates is stated in the certificate to be minor work within the meaning of regulation 10(1), the certificate does not contain a declaration by the approved inspector that he has not since giving that notice had any professional or financial interest in that work.

SCHEDULE 6

Regulations 22(3) and 25(2)

GROUND S FOR REJECTING A PUBLIC BODY'S NOTICE, OR A COMBINED PUBLIC BODY'S NOTICE AND PLANS CERTIFICATE

Deficiencies in public body's notice

Form

1. The notice is not in the prescribed form.

Wrong local authority

2. No part of the work described in the notice is to be carried out in the area of the local authority to whom the notice has been given.

Capacity of public body

3. The body on behalf of which the notice was signed is not a public body within the meaning of section 54 of the Act.

Deficiencies in information

Information about the proposed work

4. Neither the notice nor the accompanying plans and documents include—
 - (a) the location and a description of the work, including the use of any building to which the work relates;
 - (b) information needed by the local authority to decide whether the notice is to be rejected on ground 6, 7 or 8.

Lack of undertaking

Fire authority

5. The public body will be obliged by regulation 23 to consult the fire authority before giving a public body's plans certificate or a public body's final certificate, and the notice does not contain an undertaking to do so.

Deficiencies in the proposed work

Drain outfall

6. In the case of the erection or extension of a building, the local authority are not satisfied that either—
 - (a) any proposed drain or private sewer will be deemed to be a satisfactory drain by virtue of section 21(4) and (5) of the Act, or
 - (b) in the particular case they may properly dispense with any provision for drainage.

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Building over sewers

7. The work described includes the erection of a building or extension over a sewer or drain shown on the relative map of sewers, and the local authority are not satisfied that in the circumstances of the particular case they may properly consent to the erection of the proposed building or extension either unconditionally or subject to compliance with any requirements imposed as a condition of accepting the notice.

Local enactments

8. The local authority are not satisfied that the work described will comply with any local enactment which requires or authorises them to reject plans submitted in accordance with building regulations.

SCHEDULE 7

Regulations 25(1) and 25(2)

GROUNDS FOR REJECTING A PUBLIC BODY'S PLANS CERTIFICATE, OR A COMBINED PUBLIC BODY'S NOTICE AND PLANS CERTIFICATE

Deficiencies in form and information

Form

1. The certificate is not in the prescribed form.

Work

2. The certificate does not describe the work to which it relates.

Plans

3. The certificate does not specify the plans to which it relates.

Absence of power to give certificate

No public body's notice

4. Except where the public body's plans certificate is combined with a public body's notice, no public body's notice was in force in respect of work described in the certificate at the time the certificate was given.

Capacity of public body

5. A public body's notice was in force with respect to the work described in the certificate at the time the certificate was given, but—

- (a) the certificate is not signed by or on behalf of the public body which gave that notice; or
- (b) that body has ceased to be a public body within the meaning of section 54 of the Act.

Lack of declaration

Fire authority

6. The public body was obliged by regulation 23 to consult the fire authority before giving the certificate, but the certificate does not contain a declaration that they have been consulted in accordance with that regulation.

SCHEDULE 8

Regulation 27(1)

GROUNDINGS FOR REJECTING A PUBLIC BODY'S FINAL CERTIFICATE

Deficiencies in form and information

Form

1. The certificate is not in the prescribed form.

Work

2. The certificate does not describe the work to which it relates.

Absence of power to give certificate

Capacity of public body

3. No public body's notice was in force with respect to the work described in the certificate at the time the certificate was given.

No proper signature

4. A public body's notice was in force with respect to the work described in the certificate at the time when the certificate was given, but the certificate is not signed by or on behalf of the public body which gave that notice.

Lack of declaration

Fire authority

5. The public body was obliged by regulation 23 to consult the fire authority before giving the certificate, but the certificate does not contain a declaration that they were consulted in accordance with that regulation.