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STATUTORY INSTRUMENTS

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**2001 No. 1060**

**FINANCIAL SERVICES AND MARKETS**

The Financial Services and Markets Act 2000 (Promotion of Collective Investment Schemes) (Exemptions) Order 2001

*Made - - - - 19th March 2001*

*Laid before Parliament 19th March 2001*

*Coming into force in accordance with article 1*

THE FINANCIAL SERVICES AND MARKETS  
ACT 2000 (PROMOTION OF COLLECTIVE  
INVESTMENT SCHEMES) (EXEMPTIONS) ORDER 2001

PART I

GENERAL AND INTERPRETATION

1. Citation and commencement
2. Interpretation: general
3. Interpretation: communications
4. Interpretation: real time communications
5. Interpretation: solicited and unsolicited real time communications
6. Degree of prominence to be given to required indications
7. Combination of different exemptions

PART II

TERRITORIAL SCOPE

8. Communications to overseas recipients
9. Solicited real time communications from overseas
10. Communications from overseas to previously overseas customers

PART III

OTHER EXEMPTIONS

11. Follow up non-real time communications and solicited real time communications
12. Introductions

**Status:** This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

13. Generic promotions
  14. Investment professionals
  15. One off non-real time communications and solicited real time communications
  16. Communications required or authorised by enactments
  17. Persons in the business of placing promotional material
  18. Existing participants in an unregulated scheme
  19. Group companies
  20. Persons in the business of disseminating information
  21. Certified high net worth individuals
  22. High net worth companies, unincorporated associations etc.
  23. Sophisticated investors
  24. Associations of high net worth or sophisticated investors
  25. Settlers, trustees and personal representatives
  26. Beneficiaries of trust, will or intestacy
  27. Remedy following report by Parliamentary Commissioner for Administration
  28. Persons placing promotional material in particular publications
- Signature  
Explanatory Note