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STATUTORY INSTRUMENTS

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**2001 No. 1201**

**FINANCIAL SERVICES AND MARKETS**

The Financial Services and Markets  
Act 2000 (Exemption) Order 2001

Made - - - - 26th March 2001  
Coming into force In accordance with  
article 1

THE FINANCIAL SERVICES AND MARKETS  
ACT 2000 (EXEMPTION) ORDER 2001

1. Citation and commencement
  2. Interpretation
  3. Persons exempt in respect of any regulated activity other than insurance business
  4. Persons exempt in respect of accepting deposits
  5. Persons exempt in respect of particular regulated activities
  6. Transitional exemption for credit unions
- Signature

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SCHEDULE —

PART I — PERSONS EXEMPT IN RESPECT OF ANY REGULATED  
ACTIVITY OTHER THAN INSURANCE BUSINESS

1. The Bank of England.
2. The central bank of an EEA State other than the...
3. The European Central Bank.
4. The European Community.
5. The European Atomic Energy Community.
6. The European Coal and Steel Community.
7. The European Investment Bank.
8. The International Bank for Reconstruction and Development.
9. The International Finance Corporation.
10. The International Monetary Fund.
11. The African Development Bank.
12. The Asian Development Bank.
13. The Caribbean Development Bank.

**Status:** Point in time view as at 24/02/2010.

**Changes to legislation:** There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Exemption) Order 2001. (See end of Document for details)

14. The Inter-American Development Bank.
  15. The European Bank for Reconstruction and Development.
  - 15A Bank for International Settlements.
  - 15B Bank of England Asset Purchase Facility Fund Limited
- PART II — PERSONS EXEMPT IN RESPECT OF ACCEPTING DEPOSITS
16. A municipal bank, that is to say a company which...
    17. (1) Keesler Federal Credit Union, in so far as...
  18. A body of persons certified as a school bank by...
  19. A local authority.
    20. (1) Any body which by virtue of any enactment...
  21. The Council of Europe Development Bank.
  22. A charity, in so far as it accepts deposits—
  23. The National Children’s Charities Fund in so far as—
  24. An industrial and provident society, in so far as it...
  - 24A A credit union, within the meaning of the Credit Unions...
    25. (1) The Student Loans Company Limited, in so far...
- PART III — PERSONS EXEMPT IN RESPECT OF ANY REGULATED  
ACTIVITY MENTIONED IN ARTICLE 5(1)
26. The National Debt Commissioners.
  27. Partnerships UK.
  28. The International Development Association.
  29. The English Tourist Board.
  30. ....
  31. The Scottish Tourist Board.
  32. The Northern Ireland Tourist Board.
  33. Scottish Enterprise.
  - 33A Invest Northern Ireland.
  34. The Multilateral Investment Guarantee Agency.
  - 34A The Board of the Pension Protection Fund.
  - 34B Capital for Enterprise Limited, in so far as in carrying...
    35. A person acting as an official receiver within the meaning...
      36. (1) A person who provides the trading facilities which...
      37. (1) An Operator, in so far he carries on—...
    38. A person acting as a judicial factor.
    39. A person acting as an insolvency practitioner within the meaning...
- PART IV — PERSONS EXEMPT IN RESPECT OF PARTICULAR  
REGULATED ACTIVITIES

*Enterprise schemes*

40. (1) Any body corporate which has as its principal...

*Employee share schemes in electricity industry shares*

41. (1) Each of the persons to whom this paragraph...

*Gas industry*

42. (1) Transco plc is exempt from the general prohibition...

*Trade unions and employers’ associations*

43. (1) A trade union or employers’ association is exempt...

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*Charities*

44. (1) A charity is exempt from the general prohibition...

*Schemes established under the Trustee Investments Act 1961*

45. A person acting in his capacity as manager or operator...

*Former members of Lloyd's*

46. Any person who ceased to be an underwriting member (within...

*Local authorities*

47. A local authority is exempt from the general prohibition in...

*Social housing*

48. (1) A relevant housing body is exempt from the general...

*Electricity industry*

49. (1) NGC is exempt from the general prohibition in respect...

*Freight forwarders and storage firms*

50. (1) A freight forwarder or storage firm is exempt from...

*Policyholder Advocates*

51. (1) A person acting as a policyholder advocate is exempt...

Explanatory Note

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Point in time view as at 24/02/2010.

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