
STATUTORY INSTRUMENTS

2001 No. 1201

FINANCIAL SERVICES AND MARKETS

The Financial Services and Markets
Act 2000 (Exemption) Order 2001

Made - - - - 26th March 2001
Coming into force In accordance with
article 1

THE FINANCIAL SERVICES AND MARKETS
ACT 2000 (EXEMPTION) ORDER 2001

1. Citation and commencement
 2. Interpretation
 3. Persons exempt in respect of any regulated activity other than insurance business
 4. Persons exempt in respect of accepting deposits
 5. Persons exempt in respect of particular regulated activities
 6. Transitional exemption for credit unions
- Signature

SCHEDULE —

PART I — PERSONS EXEMPT IN RESPECT OF ANY REGULATED
ACTIVITY OTHER THAN INSURANCE BUSINESS

1. The Bank of England.
2. The central bank of an EEA State other than the...
3. The European Central Bank.
4. The European Community.
5. The European Atomic Energy Community.
6. The European Coal and Steel Community.
7. The European Investment Bank.
8. The International Bank for Reconstruction and Development.
9. The International Finance Corporation.
10. The International Monetary Fund.
11. The African Development Bank.
12. The Asian Development Bank.
13. The Caribbean Development Bank.

Status: This is the original version (as it was originally made).

14. The Inter-American Development Bank.
15. The European Bank for Reconstruction and Development.
PART II — PERSONS EXEMPT IN RESPECT OF ACCEPTING DEPOSITS
16. A municipal bank, that is to say a company which...
17. (1) Keesler Federal Credit Union, in so far as it...
18. A body of persons certified as a school bank by...
19. A local authority.
20. (1) Any body which by virtue of any enactment has...
21. The Council of Europe Resettlement Bank.
22. A charity, in so far as it accepts deposits—
23. The National Children's Charities Fund in so far as—
24. An industrial and provident society, in so far as it...
25. (1) The Student Loans Company Limited, in so far as...

PART III — PERSONS EXEMPT IN RESPECT OF ANY REGULATED
ACTIVITY MENTIONED IN ARTICLE 5(1)

26. The National Debt Commissioners.
27. Treasury Taskforce.
28. The International Development Association.
29. The English Tourist Board.
30. The Wales Tourist Board.
31. The Scottish Tourist Board.
32. The Northern Ireland Tourist Board.
33. Scottish Enterprise.
34. The Multilateral Investment Guarantee Agency.
35. A person acting as an official receiver within the meaning...
36. (1) A person who provides the trading facilities which constitute...
37. (1) An Operator, in so far as he carries on...
38. A person acting as a judicial factor.
39. A person acting as an insolvency practitioner within the meaning...
PART IV — PERSONS EXEMPT IN RESPECT OF PARTICULAR
REGULATED ACTIVITIES

Enterprise schemes

40. (1) Any body corporate which has as its principal object...

Employee share schemes in electricity industry shares

41. (1) Each of the persons to whom this paragraph applies...

Gas industry

42. (1) Transco plc is exempt from the general prohibition in...

Trade unions and employers' associations

43. (1) A trade union or employers' association is exempt from...

Charities

44. (1) A charity is exempt from the general prohibition in...

Schemes established under the Trustee Investments Act 1961

45. A person acting in his capacity as manager or operator...

Former members of Lloyd's

46. Any person who ceased to be an underwriting member (within...

Local authorities

47. A local authority is exempt from the general prohibition in...

Social housing

48. Each of the following is exempt from the general prohibition...

Explanatory Note