

## SCHEDULE

### PART III

#### PERSONS EXEMPT IN RESPECT OF ANY REGULATED ACTIVITY MENTIONED IN ARTICLE 5(1)

26. The National Debt Commissioners.

[<sup>F1</sup>27. Partnerships UK.]

#### Textual Amendments

**F1** Sch. para. 27 substituted (13.7.2003) by The Financial Services and Markets Act 2000 (Exemption) (Amendment) (No. 2) Order 2003 (S.I. 2003/1675), arts. 1(2), **2(4)(a)**

28. The International Development Association.

29. The English Tourist Board.

30. <sup>F2</sup>....

#### Textual Amendments

**F2** Words in Sch. Pt. 3 omitted (23.11.2005) by virtue of The Wales Tourist Board (Transfer of Functions to the National Assembly for Wales and Abolition) Order 2005 (S.I. 2005/3225), art. 1(1), **Sch. 2 Pt. 2 para. 4** (with art. 3(1))

[<sup>F3</sup>31. VisitScotland.]

#### Textual Amendments

**F3** Words in Sch. para. 31 substituted (1.4.2007) by Tourist Boards (Scotland) Act 2006 (asp 15), s. 5(1), **sch. 2 para. 10**; S.S.I. 2007/47, **art. 2**

32. The Northern Ireland Tourist Board.

33. Scottish Enterprise.

[<sup>F4</sup>33A. Invest Northern Ireland.]

#### Textual Amendments

**F4** Sch. para. 33A inserted (20.7.2007) by The Financial Services and Markets Act 2000 (Exemption) (Amendment No. 2) Order 2007 (S.I. 2007/1821), arts. 1(2), **2(2)**

34. The Multilateral Investment Guarantee Agency.

[<sup>F5</sup>34A. The Board of the Pension Protection Fund.]

**Status:** Point in time view as at 24/02/2010.

**Changes to legislation:** There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Exemption) Order 2001, PART III. (See end of Document for details)

**Textual Amendments**

**F5** Sch. para. 34A inserted (6.4.2005) by The Financial Services and Markets Act 2000 (Exemption) (Amendment) Order 2005 (S.I. 2005/592), arts. 1(2)(a), **2(1)**

[<sup>F6</sup>**34B.** Capital for Enterprise Limited, in so far as in carrying on any regulated activity it provides services only to the Crown.]

**Textual Amendments**

**F6** Sch. para. 34B inserted (1.4.2008) by The Financial Services and Markets Act 2000 (Exemption) (Amendment) Order 2008 (S.I. 2008/682), arts. 1, **2(2)**

**35.** A person acting as an official receiver within the meaning of section 399 of the Insolvency Act 1986 <sup>M1</sup> or article 2 of the Insolvency (Northern Ireland) Order 1989 <sup>M2</sup>.

**Marginal Citations**

**M1** 1986 c. 45.

**M2** S.I. 1989/2405 (N.I. 19); to which there are amendments not relevant to this Order.

<sup>F7</sup>**36.** . . . . .

**Textual Amendments**

**F7** Sch. para. 36 revoked (1.11.2007) by The Financial Services and Markets Act 2000 (Exemption) (Amendment) Order 2007 (S.I. 2007/125), arts. 1, **5**

**37.**

[<sup>F8</sup>(1) An Operator, in so far he carries on—

- (a) any regulated activity for the purposes of the performance of his functions as an Operator under the Uncertificated Securities Regulations 1995; or
- (b) any other regulated activity for the purposes of operating a computer-based system and procedures which—
  - (i) enable title to investments to be evidenced and transferred without a written instrument; or
  - (ii) facilitate matters supplementary or incidental to those specified in sub-paragraph (i), other than a regulated activity in respect of which a recognised clearing house is exempt from the general prohibition by virtue of section 285(3) of the Act.]

(2) In sub-paragraph (1), "Operator" means a person approved as such by the Treasury under the Uncertificated Securities Regulations 1995.

**Textual Amendments**

**F8** Sch. para. 37(1) substituted (1.12.2001) by The Financial Services and Markets Act 2000 (Exemption) (Amendment) Order 2001 (S.I. 2001/3623), arts. 1, **5**

**38.** A person acting as a judicial factor.

**39.** A person acting as an insolvency practitioner within the meaning of section 388 of the Insolvency Act 1986<sup>M3</sup><sup>F9</sup> or article 3 of the Insolvency (Northern Ireland) Order 1989].

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**Textual Amendments**

**F9** Words in Sch. para. 39 inserted (1.12.2001) by The Financial Services and Markets Act 2000 (Exemption) (Amendment) Order 2001 (S.I. 2001/3623), arts. 1, 6

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**Marginal Citations**

**M3** 1986 c. 45. Amended by the Bankruptcy (Scotland) Act 1993 (c. 6) section 11 and by S.I. 1994/2421.

**Status:**

Point in time view as at 24/02/2010.

**Changes to legislation:**

There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Exemption) Order 2001, PART III.