

SCHEDULE

PART III

PERSONS EXEMPT IN RESPECT OF ANY REGULATED ACTIVITY MENTIONED IN ARTICLE 5(1)

26. The National Debt Commissioners.

[^{F1}27. Partnerships UK.]

Textual Amendments

F1 Sch. para. 27 substituted (13.7.2003) by The Financial Services and Markets Act 2000 (Exemption) (Amendment) (No. 2) Order 2003 (S.I. 2003/1675), arts. 1(2), **2(4)(a)**

28. The International Development Association.

29. The English Tourist Board.

30. ^{F2}....

Textual Amendments

F2 Words in Sch. Pt. 3 omitted (23.11.2005) by virtue of The Wales Tourist Board (Transfer of Functions to the National Assembly for Wales and Abolition) Order 2005 (S.I. 2005/3225), art. 1(1), **Sch. 2 Pt. 2 para. 4** (with art. 3(1))

[^{F3}31. VisitScotland.]

Textual Amendments

F3 Words in Sch. para. 31 substituted (1.4.2007) by Tourist Boards (Scotland) Act 2006 (asp 15), s. 5(1), **sch. 2 para. 10**; S.S.I. 2007/47, **art. 2**

32. The Northern Ireland Tourist Board.

33. Scottish Enterprise.

[^{F4}33A. Invest Northern Ireland.]

Textual Amendments

F4 Sch. para. 33A inserted (20.7.2007) by The Financial Services and Markets Act 2000 (Exemption) (Amendment No. 2) Order 2007 (S.I. 2007/1821), arts. 1(2), **2(2)**

34. The Multilateral Investment Guarantee Agency.

[^{F5}34A. The Board of the Pension Protection Fund.]

Status: Point in time view as at 26/07/2013.

Changes to legislation: There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Exemption) Order 2001, PART III. (See end of Document for details)

Textual Amendments

F5 Sch. para. 34A inserted (6.4.2005) by The Financial Services and Markets Act 2000 (Exemption) (Amendment) Order 2005 (S.I. 2005/592), arts. 1(2)(a), **2(1)**

[^{F6}**34B.** Capital for Enterprise Limited, in so far as in carrying on any regulated activity it provides services only to the Crown.]

Textual Amendments

F6 Sch. para. 34B inserted (1.4.2008) by The Financial Services and Markets Act 2000 (Exemption) (Amendment) Order 2008 (S.I. 2008/682), arts. 1, **2(2)**

[^{F7}**34C.** BIS (Postal Services Act 2011) Company Limited.]

Textual Amendments

F7 Sch. para. 34C inserted (with effect in accordance with art. 1(2) of the amending S.I.) by The Financial Services and Markets Act 2000 (Exemption) (Amendment) Order 2012 (S.I. 2012/763), arts. 1(2), **2(2)**

35. A person acting as an official receiver within the meaning of section 399 of the Insolvency Act 1986 ^{M1} or article 2 of the Insolvency (Northern Ireland) Order 1989 ^{M2}.

Marginal Citations

M1 1986 c. 45.

M2 S.I. 1989/2405 (N.I. 19); to which there are amendments not relevant to this Order.

^{F8}**36.**

Textual Amendments

F8 Sch. para. 36 revoked (1.11.2007) by The Financial Services and Markets Act 2000 (Exemption) (Amendment) Order 2007 (S.I. 2007/125), arts. 1, **5**

37.

[^{F9}(1) An Operator, in so far he carries on—

- (a) any regulated activity for the purposes of the performance of his functions as an Operator under the Uncertificated Securities Regulations 1995; or
- (b) any other regulated activity for the purposes of operating a computer-based system and procedures which—
 - (i) enable title to investments to be evidenced and transferred without a written instrument; or
 - (ii) facilitate matters supplementary or incidental to those specified in sub-paragraph (i), other than a regulated activity in respect of which a recognised clearing house is exempt from the general prohibition by virtue of section 285(3) [^{F10}or (3A)] of the Act.]

(2) In sub-paragraph (1), "Operator" means a person approved as such by the Treasury under the Uncertificated Securities Regulations 1995.

Textual Amendments

- F9** Sch. para. 37(1) substituted (1.12.2001) by [The Financial Services and Markets Act 2000 \(Exemption\) \(Amendment\) Order 2001 \(S.I. 2001/3623\)](#), arts. 1, **5**
- F10** Words in Sch. 1 para. 37(1) inserted (1.4.2013) by [The Financial Services and Markets Act 2000 \(Over the Counter Derivatives, Central Counterparties and Trade Repositories\) Regulations 2013 \(S.I. 2013/504\)](#), regs. 1(2), **34(2)** (with regs. 52-58)

38. A person acting as a judicial factor.

39. A person acting as an insolvency practitioner within the meaning of section 388 of the Insolvency Act 1986 ^{M3}[^{F11} or article 3 of the Insolvency (Northern Ireland) Order 1989].

Textual Amendments

- F11** Words in Sch. para. 39 inserted (1.12.2001) by [The Financial Services and Markets Act 2000 \(Exemption\) \(Amendment\) Order 2001 \(S.I. 2001/3623\)](#), arts. 1, **6**

Marginal Citations

- M3** 1986 c. 45. Amended by the [Bankruptcy \(Scotland\) Act 1993 \(c. 6\)](#) section 11 and by [S.I. 1994/2421](#).

Status:

Point in time view as at 26/07/2013.

Changes to legislation:

There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Exemption) Order 2001, PART III.