
STATUTORY INSTRUMENTS

2001 No. 1228

The Open-Ended Investment Companies Regulations 2001

PART IV

THE AUTHORITY'S REGISTRATION FUNCTIONS

Public notice by the Authority of receipt and issue of certain documents

78.—(1) The Authority must cause to be published in the Gazette notice of the issue or receipt by it of documents of any of the following descriptions (stating in the notice the name of the open-ended investment company, the description of the document and the date of issue or receipt)—

- (a) any document making or evidencing an alteration in a company's instrument of incorporation;
- (b) any notice of a change in the address of a company's head office;
- (c) any notice of a change in the directors of a company;
- (d) any notice of a change in the depository of a company;
- (e) any annual report of a company delivered to the Authority as required by FSA rules;
- (f) any copy of an order made under Schedule 6 to these Regulations;
- (g) any copy of a winding-up order in respect of a company; and
- (h) any copy of an instrument providing for the dissolution of a company on a winding up.

(2) In this regulation "the Gazette" means, as respects a company whose head office is in England and Wales (or Wales), the London Gazette and, as respects a company whose head office is in Scotland, the Edinburgh Gazette.

Status:

Point in time view as at 01/12/2001. This version of this provision has been superseded.

Changes to legislation:

There are currently no known outstanding effects for the The Open-Ended Investment Companies Regulations 2001, Section 78.