
STATUTORY INSTRUMENTS

2001 No. 2188

FINANCIAL SERVICES AND MARKETS

The Financial Services and Markets Act 2000 (Disclosure
of Confidential Information) Regulations 2001

<i>Made</i>	- - - -	<i>15th June 2001</i>
<i>Laid before Parliament</i>		<i>15th June 2001</i>
<i>Coming into force</i>		<i>18th June 2001</i>

THE FINANCIAL SERVICES AND MARKETS
ACT 2000 (DISCLOSURE OF CONFIDENTIAL
INFORMATION) REGULATIONS 2001

PART I

PRELIMINARY

1. Citation and Commencement
2. Interpretation

PART II

DISCLOSURE OF CONFIDENTIAL INFORMATION GENERALLY

3. Disclosure by and to the Authority, the Secretary of State and the Treasury etc.
4. Disclosure for the purposes of criminal proceedings and investigations
5. Disclosure for the purposes of certain other proceedings
6. Disclosure in pursuance of a Community obligation
7. Restrictions on use of confidential information

PART III

DISCLOSURE OF SINGLE MARKET DIRECTIVE
INFORMATION AND UCITS DIRECTIVE INFORMATION

8. Application of this Part
9. Disclosure by the Authority or Authority workers to certain other persons
10. Disclosure by Schedule 1 person

Status: This is the original version (as it was originally made).

PART IV

DISCLOSURE OF CONFIDENTIAL INFORMATION
NOT SUBJECT TO DIRECTIVE RESTRICTIONS

11. Application of this Part
12. Disclosure by and to a Schedule 1 or 2 person or disciplinary proceedings authority

PART V

TRANSITIONAL PROVISIONS

13. Interpretation
14. Disclosure of pre-commencement information
15. Disclosure of transitional information
16. Disclosure by recognised self-regulating organisations
Signature

SCHEDULE 1 — DISCLOSURE OF CONFIDENTIAL INFORMATION WHETHER
OR NOT SUBJECT TO DIRECTIVE RESTRICTIONS

- PART 1
- PART 2
- PART 3
- PART 4

SCHEDULE 2 — DISCLOSURE OF CONFIDENTIAL INFORMATION NOT
SUBJECT TO DIRECTIVE RESTRICTIONS

SCHEDULE 3 — PRESCRIBED DISCIPLINARY PROCEEDINGS

The following disciplinary proceedings are prescribed for the purposes of...

Explanatory Note