

Changes to legislation: There are outstanding changes not yet made by the [legislation.gov.uk](https://www.legislation.gov.uk) editorial team to The Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) [View outstanding changes](#)

EXPLANATORY NOTE

(This note is not part of the Regulations)

These Regulations specify the circumstances in which confidential information, within the meaning of the Financial Services and Markets Act 2000 (c. 8) (“the Act”), can be disclosed. Section 348 of the Act provides that—except as permitted by such regulations—confidential information must not be disclosed by a primary recipient, or by any person obtaining the information directly or indirectly from a primary recipient, without the consent of the person from whom the primary recipient obtained the information and (if different) the person to whom it relates. “Confidential information” is defined as information which—

a) relates to the business or other affairs of any person; and

b) was received by the primary recipient for the purposes of, or in the discharge of, any functions of the Financial Services Authority (“the FSA”), the competent authority for the purposes of Part VI of the Act (official listing—currently the FSA) or the Secretary of State, under any provision made by or under the Act.

But information is not “confidential information” if it has previously been lawfully made available to the public, or is in the form of a summary or collection of information which is so framed that it is not possible to ascertain from it information relating to any particular person.

“Primary recipients” of confidential information are the FSA, the Secretary of State; persons employed by them, or auditors or experts instructed by them; or a person appointed to make a report under section 166 of the Act (reports by skilled persons).

Disclosure of confidential information in breach of section 348, or use of such information in breach of the Regulations, is an offence under section 352 of the Act.

Part II of these Regulations sets out the circumstances in which confidential information may be disclosed by or to the FSA, the Secretary of State or the Treasury; for the purposes of criminal proceedings and investigations; for the purposes of certain civil proceedings; or in pursuance of a Community obligation. Regulation 7 provides that where information is disclosed subject to a restriction on use, it may not be used in breach of that condition without the consent of the person who disclosed it.

Part III makes provision for the disclosure of information which is subject to restrictions on disclosure in the EC directives relating to financial services. Such information—subject to the provisions of regulation 9—may be disclosed to or by the persons listed in Schedule 1, for the purposes of the functions indicated there.

Part IV makes provision for the disclosure of information which is not subject to directive restrictions. Such information may be disclosed by or to the persons listed in Schedules 1 and 2, for the purposes of the functions indicated there; or for the purposes of certain disciplinary proceedings, as set out in Schedule 3.

Part V makes transitional provision about information obtained under former financial services legislation (to be repealed under the Act). Before the repeal of that legislation, information obtained under it may be disclosed for certain purposes permitted by these Regulations (regulation 14). After the repeal of that legislation, such information is to be treated in the same way as “confidential information” under the Act, and is to be subject to the provisions on disclosure in these Regulations (regulation 15).

Changes to legislation:

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001. Any changes that have already been made by the team appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to :

- Instrument ext (transl provn) by S.I. 2001/3648 arts 46–8
- Pt. 3 omitted by S.I. 2013/1635 reg. 12(3)
- Pt. 3 heading words omitted by S.I. 2003/2066 reg. 12(b)
- Pt. 3 heading words substituted by S.I. 2019/681 reg. 4(6)
- Pt. 2 applied by S.I. 2019/926 reg. 29(1)(b)
- Pt. 4 applied by S.I. 2019/926 reg. 29(1)(c)
- Pt. 4 heading words substituted by S.I. 2019/681 reg. 4(12)
- Sch 1 Pt 1 Table am by S.I. 2001/3437 reg 2
- Sch 1 Pt 1 Table am by S.I. 2001/3624 reg 2(1)(6)
- Sch 1 Pt 4 Table am by S.I. 2001/3624 reg 2(1)(7)
- Sch 1 Pt 1 Table am by S.I. 2003/1092 reg 3(b)
- Sch 1 Pt 1 Table am by S.I. 2003/2174 reg 4(b)
- Sch 1 Pt 1 Table am by S.I. 2003/2817 reg 2
- Sch 1 Pt 1 am by S.I. 2005/3071 reg 2(1)(2)
- sch 1 am (20.1.2007) by S.I. 2006/3413 reg 8
- Sch. 1 applied by S.I. 2019/926 reg. 29(1)(d)
- Sch. 1 Pt. 1 applied (with modifications) by S.I. 2009/209 Sch. 5 Pt. 2 para. 10(g)
- Sch. 1 applied (with modifications) by S.I. 2009/209 Sch. 5 Pt. 2 para. 10(h)
- Sch. 1 Pt. 1 applied (with modifications) by S.I. 2010/89 Sch. Pt. 3 para. 10(g)
- Sch. 1 applied (with modifications) by S.I. 2010/89 Sch. Pt. 3 para. 10(h)
- Sch. 1 Pt. 4 entry omitted by S.I. 2011/1265 art. 16(3)(a)
- Sch. 1 Pt. 4 entry omitted by S.I. 2011/1265 art. 16(3)(b)
- Sch 1 Pt 1 ext (contingently) (transl provns) by S.I. 2001/2326 art 18(2)(d)
- Sch. 1 Pt. 1 modified by S.I. 2019/926 reg. 29(2)
- Sch. 1 table word inserted by S.I. 2023/1313 reg. 15(6)(c)(i)
- Sch. 1 Pt. 1 table words added by S.I. 2014/883 reg. 2(2)
- Sch. 1 Pt. 1 table words inserted by S.I. 2012/916 reg. 3(13)
- Sch. 1 Pt. 1 words inserted by S.I. 2013/472 Sch. 2 para. 47(9)(a)(i)
- Sch. 1 Pt. 1 words inserted by S.I. 2013/472 Sch. 2 para. 47(9)(a)(ii)
- Sch. 1 Pt. 1 words inserted by S.I. 2013/472 Sch. 2 para. 47(9)(a)(iii)
- Sch. 1 Pt. 1 words inserted by S.I. 2013/472 Sch. 2 para. 47(9)(a)(iv)
- Sch. 1 Pt. 4 words inserted by S.I. 2013/504 reg. 35(6)(a)
- Sch. 1 Pt. 1 words inserted by S.I. 2014/3348 Sch. 3 para. 8(7)(a)(i)
- Sch. 1 Pt. 1 words inserted by S.I. 2014/3348 Sch. 3 para. 8(7)(a)(ii)
- Sch. 1 Pt. 1 words inserted by S.I. 2014/3348 Sch. 3 para. 8(7)(a)(iii)
- Sch. 1 Pt. 1 words inserted by S.I. 2014/3348 Sch. 3 para. 8(7)(a)(iv)
- Sch. 1 Pt. 1 words inserted by S.I. 2014/3348 Sch. 3 para. 8(7)(a)(v)
- Sch. 1 Pt. 2 words inserted by S.I. 2014/3348 Sch. 3 para. 8(7)(b)(i)
- Sch. 1 Pt. 2 words inserted by S.I. 2014/3348 Sch. 3 para. 8(7)(b)(ii)
- Sch. 1 Pt. 3 words inserted by S.I. 2014/3348 Sch. 3 para. 8(7)(c)
- Sch. 1 Pt. 4 words inserted by S.I. 2017/1064 Sch. para. 25(3)
- Sch. 1 Pt. 1 words inserted by S.I. 2017/456 reg. 2(2)
- Sch. 1 Pt. 1 words inserted by S.I. 2018/1253 art. 99(2)
- Sch. 1 Pt. 1 words inserted by S.I. 2021/494 reg. 5(a)
- Sch. 1 table words inserted by S.I. 2023/1313 reg. 15(6)(b)(i)
- Sch. 1 table words inserted by S.I. 2023/1313 reg. 15(6)(b)(ii)
- Sch. 1 table words inserted by S.I. 2023/1313 reg. 15(6)(c)(ii)
- Sch. 1 table words inserted by S.I. 2023/1313 reg. 15(6)(d)(ii)
- Sch. 1 Pt. 1 Table words omitted by S.I. 2014/549 Sch. 1 para. 30(2)(b)

- Sch. 1 words substituted by S.I. 2010/2628 Sch. 2 para. 2(4)(a)
- Sch. 1 words substituted by S.I. 2010/2628 Sch. 2 para. 2(4)(b)
- Sch. 1 Pt. 1 words substituted by S.I. 2011/1265 art. 16(2)(a)
- Sch. 1 Pt. 1 words substituted by S.I. 2011/1265 art. 16(2)(b)
- Sch. 1 Pt. 1 words substituted by S.I. 2011/1265 art. 16(2)(c)
- Sch. 1 Pt. 1 table words substituted by S.I. 2013/3115 Sch. 2 para. 55(4)(a)
- Sch. 1 Pt. 1 table words substituted by S.I. 2013/3115 Sch. 2 para. 55(4)(b)
- Sch. 1 Pt. 1 words substituted by S.I. 2013/472 Sch. 2 para. 47(9)(a)(v)
- Sch. 1 Pt. 1 words substituted by S.I. 2013/472 Sch. 2 para. 47(9)(a)(vi)
- Sch. 1 Pt. 4 words substituted by S.I. 2013/472 Sch. 2 para. 47(9)(b)
- Sch. 1 Pt. 1 words substituted by S.I. 2014/2879 reg. 7
- Sch. 1 Pt. 1 Table words substituted by S.I. 2014/549 Sch. 1 para. 30(2)(a)
- Sch. 1 heading words substituted by S.I. 2019/681 reg. 4(18)
- Sch. 1 table words substituted by S.I. 2023/1313 reg. 15(6)(a)
- Sch. 1 table words substituted by S.I. 2023/1313 reg. 15(6)(d)(i)
- Sch. 1 Pt. 1 table words inserted by 2023 c. 29 Sch. 12 para. 12
- Sch. 1 Pt. 1 words omitted by S.I. 2019/681 reg. 4(19)(b)
- Sch. 1 Pt. 1 words omitted by S.I. 2019/681 reg. 4(19)(d)
- Sch. 1 Pt. 1 words omitted by S.I. 2019/681 reg. 4(19)(e)
- Sch. 1 Pt. 1 words omitted by S.I. 2019/681 reg. 4(19)(f)
- Sch. 1 Pt. 1 words omitted by S.I. 2019/681 reg. 4(19)(g)
- Sch. 1 Pt. 1 words substituted by S.I. 2019/681 reg. 4(19)(a)
- Sch. 1 Pt. 1 words substituted by S.I. 2019/681 reg. 4(19)(c)
- Sch. 1 Pt. 1 words substituted by S.I. 2019/681 reg. 13(7)(a)(i)
- Sch. 1 Pt. 1 words substituted by S.I. 2019/681 reg. 13(7)(a)(ii)
- Sch. 1 Pt. 1 words substituted in earlier amending provision S.I. 2019/681, reg. 4(19)(c) by S.I. 2020/1301 reg. 3Sch. para. 41(d)
- Sch. 1 Pt. 2 omitted by S.I. 2019/681 reg. 4(20)
- Sch. 1 Pt. 2 words inserted by S.I. 2019/681 reg. 13(7)(b)
- Sch. 1 Pt. 3 words inserted by S.I. 2019/681 reg. 13(7)(c)
- Sch. 1 Pt. 3 words inserted by S.I. 2020/1406 reg. 6(5)(a)
- Sch. 1 Pt. 3 words omitted by S.I. 2019/681 reg. 4(21)(a)
- Sch. 1 Pt. 3 words substituted by S.I. 2019/681 reg. 4(21)(b)
- Sch. 1 Pt. 3 words substituted by S.I. 2019/681 reg. 4(21)(c)
- Sch. 1 Pt. 3 words substituted by S.I. 2019/681 reg. 4(21)(d)
- Sch. 1 Pt. 4 word omitted by S.I. 2019/681 reg. 4(22)(d)(ii)
- Sch. 1 Pt. 4 words inserted by S.I. 2013/504 reg. 35(6)(b)
- Sch. 1 Pt. 4 words omitted by S.I. 2019/681 reg. 4(22)(a)
- Sch. 1 Pt. 4 words omitted by S.I. 2019/681 reg. 4(22)(d)(i)
- Sch. 1 Pt. 4 words substituted by S.I. 2019/681 reg. 4(22)(b)(i)
- Sch. 1 Pt. 4 words substituted by S.I. 2019/681 reg. 4(22)(b)(ii)
- Sch. 1 Pt. 4 words substituted by S.I. 2019/681 reg. 4(22)(c)
- Sch. 1 Pt. 4 words substituted by S.I. 2019/681 reg. 13(7)(d)
- Sch 2 Table am by S.I. 2001/3624 reg 2(1)(8)
- Sch 2 Table am by S.I. 2003/1092 reg 3(d)
- Sch 2 Table am by S.I. 2003/2174 reg 4(d)
- Sch 2 am by S.I. 2005/3071 reg 2(1)(3)
- sch 2 am by S.I. 2009/2877 reg 2
- sch 2 am (20.1.2007) by S.I. 2006/3413 reg 9
- Sch. 2 applied by S.I. 2019/926 reg. 29(1)(e)
- Sch. 2 entry inserted by 2020 c. 12 Sch. 3 para. 39
- Sch. 2 entry inserted by 2020 c. 12 Sch. 7 para. 32
- Sch. 2 entry omitted by S.I. 2012/916 reg. 3(15)
- Sch. 2 entry omitted by S.I. 2013/2329 Sch. para. 34(b)
- Sch. 2 word omitted by S.I. 2019/681 reg. 4(25)(c)(ii)
- Sch. 2 Table words inserted by S.I. 2012/3019 reg. 2
- Sch. 2 words inserted by S.I. 2013/504 reg. 35(7)
- Sch. 2 words inserted by S.I. 2017/1064 Sch. para. 25(4)

- Sch. 2 words inserted by [S.I. 2021/494 reg. 5\(b\)](#)
- Sch. 2 Table words omitted by [S.I. 2014/549 Sch. 1 para. 30\(3\)\(b\)](#)
- Sch. 2 words omitted by [S.I. 2018/1253 art. 99\(3\)](#)
- Sch. 2 words omitted by [S.I. 2019/681 reg. 4\(25\)\(a\)](#)
- Sch. 2 words omitted by [S.I. 2019/681 reg. 4\(25\)\(b\)](#)
- Sch. 2 words omitted by [S.I. 2019/681 reg. 4\(25\)\(c\)\(i\)](#)
- Sch. 2 words substituted by [S.I. 2011/2085 Sch. 1 para. 48](#)
- Sch. 2 Table words substituted by [S.I. 2014/549 Sch. 1 para. 30\(3\)\(a\)](#)
- Sch. 2 table words substituted by [S.I. 2014/883 reg. 2\(3\)](#)
- Sch. 2 words substituted by [S.I. 2019/679 reg. 8](#)
- Sch. 2 heading words substituted by [S.I. 2019/681 reg. 4\(24\)](#)
- Sch. 3 applied by [S.I. 2019/926 reg. 29\(1\)\(f\)](#)
- Sch. 3 words substituted by [S.I. 2013/472 Sch. 2 para. 47\(10\)](#)
- Regulations amendment to earlier affecting [S.I. 2009/209, Sch. 5 para. 10](#) by [S.I. 2015/1911 reg. 17\(3\)](#)
- Regulations amendment to earlier affecting provision [S.I. 2012/3122, Sch. para. 9](#) by [S.I. 2019/681 reg. 7](#)
- Regulations amendment to earlier affecting provision [SI 2011/99, Sch. 3 para. 11](#) by [S.I. 2019/681 reg. 14\(2\)](#)
- Regulations amendment to earlier affecting provision [SI 2017/752, Sch. 6 para. 13](#) by [S.I. 2019/681 reg. 9\(3\)\(b\)](#)
- Regulations applied by [S.I. 2003/1102 reg. 16\(4\)](#)
- Regulations applied by [S.I. 2004/1045 reg. 18\(4\)](#)
- Regulations applied by [S.I. 2004/1045 reg. 38\(6\)](#)
- Regulations applied by [S.I. 2004/353 reg. 16\(4\)](#)
- Regulations applied by [S.I. 2014/3085 reg. 13](#)
- Regulations applied by [S.I. 2017/699 reg. 42](#)
- Regulations applied by [S.I. 2017/701 Sch. 1 para. 28](#)
- Regulations applied by [S.I. 2024/107 reg. 29](#)
- Regulations applied (with modifications) by [S.I. 2011/99 reg. 62 Sch. 3 para. 11](#)
- Regulations applied (with modifications) by [S.I. 2012/3122 Sch. para. 9](#)
- Regulations applied (with modifications) by [S.I. 2015/1945 reg. 25\(2\)](#)
- Regulations applied (with modifications) by [S.I. 2015/1946 reg. 22\(2\)](#)
- Regulations applied (with modifications) by [S.I. 2015/2038 Sch. 7 para. 9](#)
- Regulations applied (with modifications) by [S.I. 2017/752 Sch. 6 para. 13](#)
- Regulations modified (temp.) by [S.I. 2001/2659 art. 7](#)
- reg 2 defn(s) added by [S.I. 2003/693 reg 3\(a\)](#)
- reg 2 defn(s) am by [S.I. 2001/3624 reg 2\(1\)reg 2\(2\)\(a\)](#)
- reg 2 am (1.11.2007) by [S.I. 2006/3413 reg 3](#)
- reg 2 defn(s) am (14.1.2005) by [S.I. 2003/1473 reg 10](#)
- reg. 2 applied by [S.I. 2019/926 reg. 29\(1\)\(a\)](#)
- reg. 2 applied (with modifications) by [S.I. 2009/209 Sch. 5 para. 10\(a\)](#)
- reg. 2 applied (with modifications) by [S.I. 2010/89 Sch. Pt. 3 para. 10\(a\)](#)
- reg. 2 omitted by [S.I. 2017/701 Sch. 3 para. 4\(2\)\(e\)\(iii\)](#)
- reg 2 rev in pt (20.1.2007) by [S.I. 2006/3413 reg 3](#)
- reg 2 defn(s) subst by [S.I. 2001/3624 reg 2\(1\)reg 2\(2\)\(b\)](#)
- reg. 2 word inserted by [S.I. 2016/715 Sch. 2 para. 2\(2\)\(b\)\(ii\)](#)
- reg. 2 word omitted by [S.I. 2013/504 reg. 35\(2\)\(d\)\(i\)](#)
- reg. 2 word omitted by [S.I. 2016/680 reg. 18\(2\)\(c\)\(i\)](#)
- reg. 2 word omitted by [S.I. 2016/715 Sch. 2 para. 2\(2\)\(b\)\(i\)](#)
- reg. 2 word omitted by [S.I. 2019/681 reg. 13\(2\)\(a\)\(i\)](#)
- reg. 2 word substituted by [S.I. 2013/472 Sch. 2 para. 47\(2\)\(d\)](#)
- reg. 2 word substituted by [S.I. 2017/701 Sch. 3 para. 4\(2\)\(e\)\(ii\)](#)
- reg. 2 word substituted by [S.I. 2019/681 reg. 13\(2\)\(b\)](#)
- reg. 2 words added by [S.I. 2014/3348 Sch. 3 para. 8\(2\)\(a\)](#)
- reg. 2 words inserted by [S.I. 2004/1862 reg. 11\(a\)](#)
- reg. 2 words inserted by [S.I. 2004/1862 reg. 11\(b\)](#)
- reg. 2 words inserted by [S.I. 2007/3255 reg. 3\(2\)](#)

- reg. 2 words inserted by S.I. 2010/2628 Sch. 2 para. 2(2)
- reg. 2 words inserted by S.I. 2011/1613 reg. 5(2)(b)
- reg. 2 words inserted by S.I. 2012/2554 reg. 3(2)
- reg. 2 words inserted by S.I. 2012/916 reg. 3(2)(a)
- reg. 2 words inserted by S.I. 2012/916 reg. 3(2)(c)
- reg. 2 words inserted by S.I. 2012/916 reg. 3(2)(d)
- reg. 2 words inserted by S.I. 2012/916 reg. 3(2)(e)
- reg. 2 words inserted by S.I. 2012/917 Sch. 2 para. 2
- reg. 2 words inserted by S.I. 2013/1162 reg. 13
- reg. 2 words inserted by S.I. 2013/1773 Sch. 2 para. 12(2)
- reg. 2 words inserted by S.I. 2013/472 Sch. 2 para. 47(2)(c)
- reg. 2 words inserted by S.I. 2013/504 reg. 35(2)(a)
- reg. 2 words inserted by S.I. 2013/504 reg. 35(2)(b)
- reg. 2 words inserted by S.I. 2013/504 reg. 35(2)(d)(ii)
- reg. 2 words inserted by S.I. 2014/3348 Sch. 3 para. 8(2)(b)
- reg. 2 words inserted by S.I. 2015/575 Sch. 2 para. 12(2)(a)
- reg. 2 words inserted by S.I. 2015/910 Sch. 1 para. 8(2)(a)
- reg. 2 words inserted by S.I. 2015/910 Sch. 1 para. 8(2)(b)
- reg. 2 words inserted by S.I. 2016/680 reg. 18(2)(a)
- reg. 2 words inserted by S.I. 2016/680 reg. 18(2)(c)(i)
- reg. 2 words inserted by S.I. 2016/715 Sch. 2 para. 2(2)(b)(iii)
- reg. 2 words inserted by S.I. 2016/715 Sch. 2 para. 2(2)(c)
- reg. 2 words inserted by S.I. 2017/701 Sch. 3 para. 4(2)(c)
- reg. 2 words inserted by S.I. 2017/701 Sch. 3 para. 4(2)(d)
- reg. 2 words inserted by S.I. 2018/135 reg. 56(2)
- reg. 2 words inserted by S.I. 2019/681 reg. 4(2)(c) (This amendment not applied to legislation.gov.uk. Reg. 4(2)(c) omitted immediately before IP completion day by virtue of S.I. 2020/1385, regs. 1(4), 61(2))
- reg. 2 words inserted by S.I. 2019/681 reg. 4(2)(d) (This amendment not applied to legislation.gov.uk. Reg. 4(2)(c) omitted immediately before IP completion day by virtue of S.I. 2020/1385, regs. 1(4), 61(2))
- reg. 2 words inserted by S.I. 2019/681 reg. 4(2)(e)
- reg. 2 words inserted by S.I. 2019/681 reg. 4(2)(m)
- reg. 2 words inserted by S.I. 2019/681 reg. 4(2)(n) (This amendment not applied to legislation.gov.uk. Reg. 4(2)(n) omitted immediately before IP completion day by virtue of S.I. 2020/1385, regs. 1(4), 61(5))
- reg. 2 words inserted by S.I. 2019/681 reg. 4(2)(o)
- reg. 2 words inserted by S.I. 2019/681 reg. 13(2)(a)(ii)
- reg. 2 words inserted by S.I. 2020/1406 reg. 6(2)
- reg. 2 words inserted by S.I. 2023/1313 reg. 15(2)(a)
- reg. 2 words inserted by S.I. 2023/1313 reg. 15(2)(c)(i)
- reg. 2 words omitted by S.I. 2003/2066 reg. 12(a)(i)
- reg. 2 words omitted by S.I. 2003/2066 reg. 12(a)(ii)
- reg. 2 words omitted by S.I. 2012/916 reg. 3(2)(b)
- reg. 2 words omitted by S.I. 2013/472 Sch. 2 para. 47(2)(a)
- reg. 2 words omitted by S.I. 2017/701 Sch. 3 para. 4(2)(a)
- reg. 2 words omitted by S.I. 2017/701 Sch. 3 para. 4(2)(b)
- reg. 2 words omitted by S.I. 2019/681 reg. 4(2)(b)(i)
- reg. 2 words omitted by S.I. 2019/681 reg. 4(2)(b)(ii)
- reg. 2 words omitted by S.I. 2019/681 reg. 4(2)(b)(iii)
- reg. 2 words omitted by S.I. 2019/681 reg. 4(2)(b)(iv)
- reg. 2 words omitted by S.I. 2019/681 reg. 4(2)(b)(v)
- reg. 2 words omitted by S.I. 2019/681 reg. 4(2)(h)(i)
- reg. 2 words omitted by S.I. 2019/681 reg. 4(2)(h)(ii)
- reg. 2 words omitted by S.I. 2019/681 reg. 4(2)(j)
- reg. 2 words omitted by S.I. 2019/681 reg. 4(2)(l)(i)
- reg. 2 words omitted by S.I. 2019/681 reg. 4(2)(l)(ii)
- reg. 2 words omitted by S.I. 2019/681 reg. 4(2)(l)(iii)

- reg. 2 words omitted by S.I. 2019/681 reg. 13(2)(c)
- reg. 2 words omitted in earlier amending provision S.I. 2019/681, reg. 4(2)(g) by S.I. 2020/1385 reg. 61(3)
- reg. 2 words omitted in earlier amending provision S.I. 2019/681, reg. 4(2)(o) by S.I. 2020/1385 reg. 61(6)
- reg. 2 words substituted by S.I. 2004/3379 reg. 19(2)(a)
- reg. 2 words substituted by S.I. 2004/3379 reg. 19(2)(b)
- reg. 2 words substituted by S.I. 2006/3221 Sch. 6 para. 8(2)
- reg. 2 words substituted by S.I. 2011/1613 reg. 5(2)(a)
- reg. 2 words substituted by S.I. 2013/3115 Sch. 2 para. 55(2)(a)
- reg. 2 words substituted by S.I. 2013/3115 Sch. 2 para. 55(2)(b)
- reg. 2 words substituted by S.I. 2013/472 Sch. 2 para. 47(2)(b)
- reg. 2 words substituted by S.I. 2013/472 Sch. 2 para. 47(2)(e)
- reg. 2 words substituted by S.I. 2013/504 reg. 35(2)(c)
- reg. 2 words substituted by S.I. 2015/575 Sch. 2 para. 12(2)(b)
- reg. 2 words substituted by S.I. 2016/680 reg. 18(2)(b)
- reg. 2 words substituted by S.I. 2016/715 Sch. 2 para. 2(2)(a)
- reg. 2 words substituted by S.I. 2017/1064 Sch. para. 25(2)(a)
- reg. 2 words substituted by S.I. 2017/1064 Sch. para. 25(2)(b)
- reg. 2 words substituted by S.I. 2017/1127 Sch. 2 para. 4
- reg. 2 words substituted by S.I. 2017/701 Sch. 3 para. 4(2)(e)(i)
- reg. 2 words substituted by S.I. 2018/546 art. 21
- reg. 2 words substituted by S.I. 2019/681 reg. 4(2)(a)
- reg. 2 words substituted by S.I. 2019/681 reg. 4(2)(f)
- reg. 2 words substituted by S.I. 2019/681 reg. 4(2)(g)
- reg. 2 words substituted by S.I. 2019/681 reg. 4(2)(i)
- reg. 2 words substituted by S.I. 2019/681 reg. 4(2)(k)
- reg. 2 words substituted by S.I. 2019/681 reg. 4(2)(p)
- reg. 2 words substituted by S.I. 2020/1385 reg. 41
- reg. 2 words substituted by S.I. 2023/1313 reg. 15(2)(b)(i)
- reg. 2 words substituted by S.I. 2023/1313 reg. 15(2)(b)(ii)
- reg. 2 words substituted by S.I. 2023/1313 reg. 15(2)(c)(ii)
- reg. 2 words substituted by S.I. 2019/681, reg. 4(2)(c) (as substituted) by S.I. 2019/1416 reg. 22
- reg. 2 words substituted in earlier amending provision S.I. 2019/681, reg. 4(2)(m) by S.I. 2020/1385 reg. 61(4)
- reg. 2 words substituted in earlier amending provision S.I. 2019/681, reg. 4(2)(o) by S.I. 2020/1301 reg. 3Sch. para. 41(a)
- reg. 3 heading word substituted by S.I. 2013/472 Sch. 2 para. 47(3)(a)
- reg. 3(1)(a) substituted by S.I. 2013/472 Sch. 2 para. 47(3)(b)(i)
- reg. 3(2) words substituted by S.I. 2013/472 Sch. 2 para. 47(3)(c)(i)
- reg. 3(2) words substituted by S.I. 2013/472 Sch. 2 para. 47(3)(c)(ii)
- reg. 3(3) words substituted by S.I. 2012/916 reg. 3(3)
- reg. 3(3) words substituted by S.I. 2019/681 reg. 4(3)
- reg 4 am by S.I. 2003/1092 reg 3(a)
- reg 4 am by S.I. 2003/2174 reg 4(a)
- reg. 5 Amendment to earlier affecting provision S.I. 2009/209, Sch. 5 para. 10 by S.I. 2017/692 Sch. 7 para. 24(10)
- reg. 5 applied (with modifications) by S.I. 2013/1635 reg. 12(2)
- reg. 5(2) words substituted by S.I. 2013/472 Sch. 2 para. 47(4)(a)
- reg. 5(3)(a) words substituted by S.I. 2013/472 Sch. 2 para. 47(4)(b)
- reg. 5(3)(c) words substituted by S.I. 2019/681 reg. 13(3)
- reg. 5(4)(a) applied (with modifications) by S.I. 2009/209 Sch. 5 para. 10(b)
- reg. 5(4)(a) applied (with modifications) by S.I. 2010/89 Sch. Pt. 3 para. 10(b)
- reg. 5(5) words substituted by S.I. 2012/916 reg. 3(4)
- reg. 5(5) words substituted by S.I. 2019/681 reg. 4(4)
- reg. 5(6)(c) applied (with modifications) by S.I. 2010/89 Sch. Pt. 3 para. 10(c)
- reg. 5(6)(c) words substituted by S.I. 2013/472 Sch. 2 para. 47(4)(c)

- reg. 5(6)(e) applied (with modifications) by S.I. 2009/209 Sch. 5 para. 10(c)
- reg. 6 omitted by S.I. 2019/681 reg. 4(5)
- reg. 7 words substituted by S.I. 2013/472 Sch. 2 para. 47(5)
- reg. 8 applied (with modifications) by S.I. 2009/209 Sch. 5 para. 10(d)
- reg. 8 applied (with modifications) by S.I. 2010/89 Sch. Pt. 3 para. 10(d)
- reg 8 subst (1.11.2007) by S.I. 2006/3413 reg 4
- reg. 8 words omitted by S.I. 2003/2066 reg. 12(c)
- reg 9 am by S.I. 2003/693 reg 3(b)
- reg 9 am (1.11.2007) by S.I. 2006/3413 reg 5
- reg. 9 applied (with modifications) by S.I. 2009/209 Sch. 5 para. 10(e)
- reg. 9 applied (with modifications) by S.I. 2010/89 Sch. Pt. 3 para. 10(e)
- reg 9 rev in pt (1.11.2007) by S.I. 2006/3413 reg 5
- reg. 9 heading words substituted by S.I. 2013/472 Sch. 2 para. 47(6)(a)
- reg. 9(1) word inserted by S.I. 2011/1613 reg. 5(3)(a)
- reg. 9(1) word inserted by S.I. 2015/910 Sch. 1 para. 8(3)(a)
- reg. 9(1) word inserted by S.I. 2016/680 reg. 18(4)(a)
- reg. 9(1) word inserted by S.I. 2016/715 Sch. 2 para. 2(4)(a)
- reg. 9(1) word omitted by S.I. 2017/701 Sch. 3 para. 4(4)(a)
- reg. 9(1) word omitted by S.I. 2019/681 reg. 4(8)(a)
- reg. 9(1) words substituted by S.I. 2010/2628 Sch. 2 para. 2(3)(a)
- reg. 9(1) words substituted by S.I. 2013/472 Sch. 2 para. 47(6)(b)
- reg. 9(1) words substituted by S.I. 2014/3348 Sch. 3 para. 8(4)(a)
- reg. 9(2) words substituted by S.I. 2013/1773 Sch. 2 para. 12(3)(a)
- reg. 9(2) words substituted by S.I. 2014/3348 Sch. 3 para. 8(4)(b)
- reg. 9(2) words substituted by S.I. 2016/680 reg. 18(4)(b)
- reg. 9(2) words substituted by S.I. 2017/701 Sch. 3 para. 4(4)(b)
- reg. 9(2)(b) words substituted by S.I. 2006/3221 Sch. 6 para. 8(3)
- reg. 9(2)(c) substituted by S.I. 2015/575 Sch. 2 para. 12(3)(a)
- reg. 9(2)(c) words substituted by S.I. 2004/3379 reg. 19(3)(a)
- reg. 9(2)(d) word omitted by S.I. 2007/3255 reg. 3(3)(a)
- reg. 9(2)(e) word substituted by S.I. 2011/1613 reg. 5(3)(b)
- reg. 9(3) omitted by S.I. 2019/681 reg. 4(8)(f)
- reg. 9(3)(b)(iii) word omitted by S.I. 2007/3255 reg. 3(4)(a)
- reg. 9(3)(b)(iii) words substituted by S.I. 2004/3379 reg. 19(3)(c)
- reg. 9(3)(b)(ii) substituted by S.I. 2013/3115 Sch. 2 para. 55(3)(c)
- reg. 9(3)(b)(ii) words substituted by S.I. 2006/3221 Sch. 6 para. 8(4)
- reg 11 am by S.I. 2001/3624 reg 2(1)(3)
- reg 11 am (1.11.2007) by S.I. 2006/3413 reg 6
- reg. 11 applied (with modifications) by S.I. 2009/209 Sch. 5 para. 10(f)
- reg. 11 applied (with modifications) by S.I. 2010/89 Sch. Pt. 3 para. 10(f)
- reg 11 rev in pt (1.11.2007) by S.I. 2006/3413 reg 6
- reg. 11(a) words substituted by S.I. 2012/916 reg. 3(9)
- reg. 11(a) words substituted by S.I. 2019/681 reg. 4(13)(a)
- reg. 11(b) omitted by S.I. 2003/2066 reg. 12(d)
- reg. 13 words inserted by S.I. 2013/472 Sch. 2 para. 47(8)
- reg. 13 words inserted by S.I. 2019/681 reg. 4(15)(a)
- reg. 13 words inserted by S.I. 2019/681 reg. 4(15)(b)
- reg. 13 words inserted by S.I. 2019/681 reg. 4(15)(c)
- reg. 13 words substituted in earlier amending provision S.I. 2019/681, reg. 4(15)(a)-(c) by S.I. 2020/1301 reg. 3Sch. para. 41(d)
- reg. 14(2)(b) words substituted by S.I. 2012/916 reg. 3(10)
- reg 15 am by S.I. 2001/3624 reg 2(1)(5)
- reg. 15(4) words omitted by S.I. 2003/2066 reg. 12(e)
- reg. 15(4) words substituted by S.I. 2012/916 reg. 3(11)(a)(i)
- reg. 15(4) words substituted by S.I. 2012/916 reg. 3(11)(a)(ii)
- reg. 15(5) words substituted by S.I. 2012/916 reg. 3(11)(b)

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

- Blanket amendment words substituted by S.I. 2011/1043 art. 3-68-10

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Pt. 5 Ch. 1 heading Pt. 5 heading substituted and renumbered as Pt. 5 Ch. 1 by S.I. 2019/681 reg. 4(14)
- Pt. 5 Ch. 2 inserted by S.I. 2019/681 reg. 4(17)
- Pt. 5 Ch. 1 heading words substituted in earlier amending provision S.I. 2019/681, reg. 4(14) by S.I. 2020/1301 reg. 3Sch. para. 41(c)
- Pt. 5 Ch. 2 words substituted in earlier amending provision S.I. 2019/681, reg. 4(17) by S.I. 2020/1301 reg. 3Sch. para. 41(e)
- Pt. 3 heading words substituted by S.I. 2012/916 reg. 3(5)
- Pt. 4 heading words substituted by S.I. 2012/916 reg. 3(8)
- Sch. 1 Pt. 5 inserted by S.I. 2010/2628 Sch. 2 para. 2(4)(c)
- Sch. 1 Pt. 6 inserted by S.I. 2020/1406 reg. 6(5)(b)
- Sch. 1 Pt. 5 omitted by S.I. 2019/681 reg. 4(23)
- Sch. 1 heading words substituted by S.I. 2012/916 reg. 3(12)
- Sch. 2 heading words substituted by S.I. 2012/916 reg. 3(14)
- Sch. 3(b)(x) word substituted by S.I. 2013/2329 Sch. para. 34(c)(ii)
- Sch. 3(b)(x) words omitted by S.I. 2013/2329 Sch. para. 34(c)(i)
- Sch. 3 para. (b)(v) words omitted by S.I. 2014/549 Sch. 1 para. 30(4)(a)
- Sch. 3 para. (b)(vi) words substituted by S.I. 2014/549 Sch. 1 para. 30(4)(b)
- reg. 2(f) words inserted by S.I. 2016/225 reg. 4(2)
- reg. 3(1)(d) inserted by S.I. 2013/472 Sch. 2 para. 47(3)(b)(ii)
- reg. 8(a) word omitted by S.I. 2013/504 reg. 35(3)(a)
- reg. 8(a) words substituted by S.I. 2012/916 reg. 3(6)
- reg. 8(a) words substituted by S.I. 2019/681 reg. 4(7)(a)
- reg. 8(b) word omitted by S.I. 2014/3348 Sch. 3 para. 8(3)(a)
- reg. 8(b) word omitted by S.I. 2019/681 reg. 4(7)(b)(i)
- reg. 8(b) words substituted by S.I. 2019/681 reg. 4(7)(b)(ii)
- reg. 8(b)(i) words substituted by S.I. 2017/701 Sch. 3 para. 4(3)(a)(i)
- reg. 8(b)(ii) words substituted by S.I. 2017/701 Sch. 3 para. 4(3)(a)(ii)
- reg. 8(c) and word inserted by S.I. 2013/504 reg. 35(3)(b)
- reg. 8(c) omitted by S.I. 2019/681 reg. 4(7)(c)
- reg. 8(c) word omitted by S.I. 2016/680 reg. 18(3)(a)
- reg. 8(d) and word inserted by S.I. 2014/3348 Sch. 3 para. 8(3)(b)
- reg. 8(d) word inserted by S.I. 2017/701 Sch. 3 para. 4(3)(b)
- reg. 8(d) word omitted by S.I. 2016/715 Sch. 2 para. 2(3)(a)
- reg. 8(d) word omitted by S.I. 2019/681 reg. 4(7)(d)(i)
- reg. 8(d) word omitted by S.I. 2019/681 reg. 4(7)(d)(ii)
- reg. 8(e) and word inserted by S.I. 2016/680 reg. 18(3)(b)
- reg. 8(e) omitted by S.I. 2017/701 Sch. 3 para. 4(3)(c)
- reg. 8(e) word inserted by S.I. 2016/715 Sch. 2 para. 2(3)(b)
- reg. 8(f) inserted by S.I. 2016/715 Sch. 2 para. 2(3)(c)
- reg. 8(f) omitted by S.I. 2019/681 reg. 4(7)(e)
- art. 9(1) word inserted by S.I. 2013/504 reg. 35(4)(a)
- reg. 9(1A) inserted by S.I. 2013/3115 Sch. 2 para. 55(3)(a)
- reg. 9(1A) words omitted by S.I. 2019/681 reg. 4(8)(b)
- reg. 9(2)(d)(f) omitted by S.I. 2015/575 Sch. 2 para. 12(3)(b)
- reg. 9(2)(f) and word inserted by S.I. 2007/3255 reg. 3(3)(b)
- reg. 9(2A) omitted by S.I. 2019/681 reg. 13(4)(a)
- reg. 9(2A) word omitted by S.I. 2004/3379 reg. 19(3)(b)
- reg. 9(2B) inserted by S.I. 2013/1773 Sch. 2 para. 12(3)(c)
- art. 9(2B)(a) substituted by 2018 c. 12 Sch. 19 para. 278(2)
- reg. 9(2B)(a) word inserted by S.I. 2019/681 reg. 4(8)(d)(i)

- reg. 9(2B)(a) words substituted by S.I. 2019/419 Sch. 3 para. 37(2)
- reg. 9(2B)(b) words substituted by S.I. 2019/681 reg. 4(8)(d)(ii)
- reg. 9(2B)(b)(iii) words substituted in earlier amending provision S.I. 2019/681, reg. 4(8)(d)(ii) by S.I. 2020/1301 reg. 3Sch. para. 41(b)
- reg. 9(2B)(c) omitted by S.I. 2019/681 reg. 4(8)(d)(iii)
- reg. 9(2C) inserted by S.I. 2014/3348 Sch. 3 para. 8(4)(c)
- reg. 9(2C) substituted by S.I. 2019/681 reg. 4(8)(e)
- reg. 9(2C)(c) words substituted by S.I. 2023/1313 reg. 15(3)(a)
- reg. 9(2C)(d)(i) words inserted by S.I. 2023/1313 reg. 15(3)(b)(i)
- reg. 9(2C)(d)(i) words substituted by S.I. 2023/1313 reg. 15(3)(b)(ii)
- reg. 9(2D) inserted by S.I. 2016/680 reg. 18(4)(c)
- reg. 9(2D) omitted by S.I. 2017/701 Sch. 3 para. 4(4)(d)
- reg. 9(2ZA) inserted by S.I. 2013/1773 Sch. 2 para. 12(3)(b)
- reg. 9(2ZA) words inserted by S.I. 2019/681 reg. 4(8)(c)(ii)
- reg. 9(2ZA) words substituted by S.I. 2017/701 Sch. 3 para. 4(4)(c)
- reg. 9(2ZA)(b) substituted by S.I. 2013/3115 Sch. 2 para. 55(3)(b)
- reg. 9(2ZA)(e) word omitted by S.I. 2019/681 reg. 4(8)(c)(i)
- reg. 9(3)(b)(v) and word inserted by S.I. 2007/3255 reg. 3(4)(b)
- reg. 9(3)(b)(vi) substituted for reg. 9(3)(b)(iii)(iv)(v) by S.I. 2019/681 reg. 13(4)(b)
- reg. 9(3A)(a) substituted by S.I. 2019/681 reg. 4(8)(g)
- reg. 9(3A)(a) words substituted by S.I. 2017/701 Sch. 3 para. 4(4)(e)
- reg. 9(3A)(a) words substituted by S.I. 2019/681 reg. 13(4)(c)
- reg. 9(3B)(b) omitted by S.I. 2019/681 reg. 4(8)(h)(i)
- reg. 9(3B)(c) word omitted by S.I. 2012/916 reg. 3(7)(a)
- reg. 9(3B)(d) words omitted by S.I. 2019/681 reg. 4(8)(h)(ii)
- reg. 9(3B)(e)(f) inserted by S.I. 2012/916 reg. 3(7)(b)
- reg. 9(3B)(e) omitted by S.I. 2019/681 reg. 4(8)(h)(iii)
- reg. 9(3B)(f) omitted by S.I. 2019/681 reg. 4(8)(h)(iii)
- reg. 9(3C)(3D) inserted by S.I. 2011/1613 reg. 5(3)(c)
- reg. 9(3C) word omitted by S.I. 2019/681 reg. 4(8)(i)(i)
- reg. 9(3C)(a) words substituted by S.I. 2019/681 reg. 4(8)(i)reg. 4(8)(ii)(aa)
- reg. 9(3C)(a) words substituted by S.I. 2019/681 reg. 4(8)(i)reg. 4(8)(ii)(bb)
- reg. 9(3D)(b) words substituted by S.I. 2019/681 reg. 4(8)(j)(i)
- reg. 9(3D)(e) omitted by S.I. 2019/681 reg. 4(8)(j)(ii)
- art. 9(3E) inserted by S.I. 2013/504 reg. 35(4)(b)
- reg. 9(3E) omitted by S.I. 2019/681 reg. 4(8)(k)
- reg. 9(3F) inserted by S.I. 2015/910 Sch. 1 para. 8(3)(b)
- reg. 9(3F) omitted by S.I. 2019/681 reg. 4(8)(k)
- reg. 9(3G) inserted by S.I. 2016/680 reg. 18(4)(d)
- reg. 9(3G) omitted by S.I. 2017/701 Sch. 3 para. 4(4)(f)
- reg. 9(3EA) inserted by S.I. 2016/715 Sch. 2 para. 2(4)(b)
- reg. 9(3EA) omitted by S.I. 2019/681 reg. 4(8)(k)
- reg. 9(4) inserted by S.I. 2010/2628 Sch. 2 para. 2(3)(b)
- reg. 9(4) substituted by S.I. 2013/3115 Sch. 2 para. 55(3)(d)
- reg. 9(4) substituted by S.I. 2019/681 reg. 4(8)(l)
- reg. 9(4) words substituted by S.I. 2013/472 Sch. 2 para. 47(6)(c)
- reg. 9(4A) inserted by S.I. 2020/1406 reg. 6(3)
- reg. 9(5) inserted by S.I. 2014/3348 Sch. 3 para. 8(4)(d)
- reg. 9(5) word omitted by S.I. 2019/681 reg. 4(8)(m)
- art. 9(6) inserted by 2018 c. 12 Sch. 19 para. 278(3)
- reg. 9(6) substituted by S.I. 2019/419 Sch. 3 para. 37(3)
- reg. 10A10B inserted by S.I. 2014/3348 Sch. 3 para. 8(5)
- reg. 10A heading word omitted by S.I. 2019/681 reg. 4(9)
- reg. 10A(1) word omitted by S.I. 2019/681 reg. 4(10)(a)
- reg. 10A(1)(a) word omitted by S.I. 2023/1313 reg. 15(4)(a)
- reg. 10A(1)(b) word inserted by S.I. 2023/1313 reg. 15(4)(b)
- reg. 10A(1)(c) inserted by S.I. 2023/1313 reg. 15(4)(c)
- reg. 10A(3) word omitted by S.I. 2019/681 reg. 4(10)(b)

- reg. 10B(1) word omitted by S.I. 2019/681 reg. 4(11)(a)
- reg. 10B(2) words inserted by S.I. 2023/1313 reg. 15(5)(a)
- reg. 10B(2) words substituted by S.I. 2019/681 reg. 4(11)(b)
- reg. 10B(2) words substituted by S.I. 2023/1313 reg. 15(5)(b)
- reg. 10C inserted by S.I. 2020/1406 reg. 6(4)
- reg. 11(d) word omitted by S.I. 2019/681 reg. 4(13)(b)(i)
- reg. 11(d) words substituted by S.I. 2019/681 reg. 4(13)(b)(ii)
- reg. 11(d)(i) words substituted by S.I. 2017/701 Sch. 3 para. 4(5)(a)(i)
- reg. 11(d)(ii) word inserted by S.I. 2011/1613 reg. 5(4)(a)
- reg. 11(d)(ii) words substituted by S.I. 2017/701 Sch. 3 para. 4(5)(a)(ii)
- reg. 11(e) word omitted by S.I. 2019/681 reg. 4(13)(c)(i)
- reg. 11(e) words substituted by S.I. 2019/681 reg. 4(13)(c)(ii)
- art. 11(f) inserted by S.I. 2013/504 reg. 35(5)
- reg. 11(f) omitted by S.I. 2019/681 reg. 4(13)(d)
- reg. 11(g) inserted by S.I. 2014/3348 Sch. 3 para. 8(6)
- reg. 11(g) word omitted by S.I. 2019/681 reg. 4(13)(e)
- reg. 11(h) inserted by S.I. 2016/680 reg. 18(5)
- reg. 11(h) omitted by S.I. 2017/701 Sch. 3 para. 4(5)(b)
- reg. 11(fa) inserted by S.I. 2016/715 Sch. 2 para. 2(5)
- reg. 11(fa) omitted by S.I. 2019/681 reg. 4(13)(d)
- reg. 12(4) inserted by S.I. 2012/2554 reg. 3(3)
- reg. 12(5) inserted by S.I. 2017/701 Sch. 3 para. 4(6)
- reg. 12(6) inserted by S.I. 2018/135 reg. 56(3)
- reg. 12A added by S.I. 2001/3624 reg. 2(1)(4)
- reg. 12A omitted by S.I. 2013/1635 reg. 12(4)
- reg. 12A word substituted by S.I. 2013/2329 Sch. para. 34(a)
- reg. 12A heading words inserted by S.I. 2019/681 reg. 13(5)
- reg. 12A words substituted by S.I. 2012/725 art. 2(6)
- reg. 12B added by S.I. 2003/1092 reg. 3(c)
- reg. 12B and words inserted by S.I. 2002/1775 reg. 16
- reg. 12B omitted by S.I. 2019/1361 reg. 7 (This S.I. is amended by S.I. 2019/1390, reg. 6)
- reg. 12B words substituted by S.I. 2013/472 Sch. 2 para. 47(7)
- reg. 12C added by S.I. 2003/2174 reg. 4(c)
- reg. 12C heading words inserted by S.I. 2019/681 reg. 13(6)
- reg. 17 added (1.11.2007) by S.I. 2006/3413 reg. 7
- reg. 17 words substituted by S.I. 2019/681 reg. 4(16)