
STATUTORY INSTRUMENTS

2001 No. 2476

The Financial Services and Markets Tribunal Rules 2001

PART II

PRELIMINARY MATTERS

Authority's statement of case

5.—(1) The Authority shall file a written statement (“a statement of case”) in support of the referred action so that it is received by the Tribunal no later than 28 days after the day on which the Authority received the information sent by the Secretary in accordance with rule 4(9)(b).

(2) The statement of case shall—

- (a) specify the statutory provisions providing for the referred action;
- (b) specify the reasons for the referred action;
- (c) set out all the matters and facts upon which the Authority relies to support the referred action; and
- (d) specify the date on which the statement of case is filed.

(3) The statement of case shall be accompanied by a list of—

- (a) the documents on which the Authority relies in support of the referred action; and
- (b) the further material which in the opinion of the Authority might undermine the decision to take that action.

(4) At the same time as it files the statement of case, the Authority shall send to the applicant a copy of the statement of case and of the list referred to in paragraph (3).