
STATUTORY INSTRUMENTS

2001 No. 2639

The Financial Services and Markets Act 2000 (Own-initiative Power) (Overseas Regulators) Regulations 2001

Overseas regulators

2.—(1) The kind of regulator to which paragraph (2) applies is prescribed for the purposes of section [F155Q(1)] of the Act.

(2) This paragraph applies to a regulator who exercises—

- (a) a function corresponding to any function of the [F2FCA, the PRA or the Bank of England] under the Act;
- (b) a function corresponding to any function exercised by the [F3FCA] under Part VI of the Act (official listing);
- (c) a function corresponding to any function exercised by the Secretary of State under [F4the Companies Act 2006]; or
- (d) a function in connection with—
 - (i) the investigation of conduct of the kind prohibited by Part V of the Criminal Justice Act 1993(1) (insider dealing); or
 - (ii) the enforcement of rules (whether or not having the force of law) relating to such conduct.

Textual Amendments

- F1** Word in reg. 2(1) substituted (1.4.2013) by The Financial Services Act 2012 (Consequential Amendments and Transitional Provisions) Order 2013 (S.I. 2013/472), **Sch. 2 para. 54(a)**
- F2** Words in reg. 2(2)(a) substituted (1.4.2013) by The Financial Services Act 2012 (Consequential Amendments and Transitional Provisions) Order 2013 (S.I. 2013/472), **Sch. 2 para. 54(b)**
- F3** Word in reg. 2(2)(b) substituted (1.4.2013) by The Financial Services Act 2012 (Consequential Amendments and Transitional Provisions) Order 2013 (S.I. 2013/472), **Sch. 2 para. 54(c)**
- F4** Words in reg. 2(2)(c) substituted (12.5.2011) by The Companies Act 2006 (Consequential Amendments and Transitional Provisions) Order 2011 (S.I. 2011/1265), arts. 1(2), **18**

Commencement Information

- I1** Reg. 2 in force at 1.12.2001, see **reg. 1**

Changes to legislation:

There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Own-initiative Power) (Overseas Regulators) Regulations 2001, Section 2.