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## STATUTORY INSTRUMENTS

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# 2001 No. 2957

## The Financial Services and Markets Act 2000 (Official Listing of Securities) (Transitional Provisions) Order 2001

PROSPECTIVE

### Interpretation

2.—(1) In this Order, unless the context otherwise requires—

“the 1986 Act” means the Financial Services Act 1986(1);

“the 2000 Act” means the Financial Services and Markets Act 2000;

“commencement” means the beginning of the day on which this Order comes into force;

“competent authority” is to be construed in accordance with section 72;

“issuer” has the same meaning as is given, for the purposes of section 103(1), in regulation 4 of the Financial Services and Markets Act 2000 (Official Listing of Securities) Regulations 2001(2);

“non-Part IV securities” means investments within the meaning of the 1986 Act to which section 142(3) of that Act does not apply;

“old listing rules” has the meaning given in article 3(1); and

“Part IV securities” means investments within the meaning of the 1986 Act to which section 142 of that Act applies.

(2) Any reference in this Order to a section, Part or Schedule is, unless otherwise stated or unless the context otherwise requires, a reference to that section or Part of, or Schedule to, the 2000 Act.

(3) For the purposes of articles 10(1)(d) and 11(1)(d) a decision is not to be treated as final until there is no possibility of the decision being reversed or varied on appeal.

(4) For the purposes of articles 4(2) and 9(4) an application is not to be treated as having been finally determined until there is no possibility of the decision on the application being reversed or varied on appeal.

(5) Nothing in this Order prejudices the operation of section 16 or 17 of the Interpretation Act 1978(4) in a case for which provision is not made by this Order.

### Commencement Information

II Art. 2 comes into force in accordance with art. 1

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(1) 1986 c. 60.  
(2) S.I.2001/2956.  
(3) Section 142 is modified in terms of the investments to which it applies by S.I. 1989/29 and by S.I. 1995/3275, Schedule 7 paragraph 35. “Investments” is defined in section 1(1) of the 1986 Act.  
(4) 1978 c. 30.

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**Status:** *This version of this provision is prospective.*

**Changes to legislation:** *There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Financial Services and Markets Act 2000 (Official Listing of Securities) (Transitional Provisions) Order 2001. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) [View outstanding changes](#)*

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[View outstanding changes](#)

**Changes and effects yet to be applied to :**

- [art. 2 coming into force by S.I. 2001/2957 art. 1](#)

**Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:**

- [Order power to modify conferred by 2023 c. 29 s. 3 Sch. 1 Pt. 2](#)
- [Order revoked by 2023 c. 29 Sch. 1 Pt. 2](#)