
STATUTORY INSTRUMENTS

2001 No. 3625

FINANCIAL SERVICES AND MARKETS

The Financial Services and Markets Act
2000 (Control of Business Transfers)
(Requirements on Applicants) Regulations 2001

<i>Made</i>	- - - -	<i>7th November 2001</i>
<i>Laid before Parliament</i>		<i>8th November 2001</i>
<i>Coming into force</i>	- -	<i>1st December 2001</i>

The Treasury, in exercise of the powers conferred on them by sections 108, 417(1)(1), 428(3) of, and paragraph 6(2) of Schedule 12 to, the Financial Services and Markets Act 2000(2), hereby make the following Regulations:

(1) See the definition of “prescribed” in section 417(1).
(2) 2000 c. 8.

Changes to legislation:

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Financial Services and Markets Act 2000 (Control of Business Transfers) (Requirements on Applicants) Regulations 2001. Any changes that have already been made by the team appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- reg. 3(2)(a)(iv) inserted by [S.I. 2007/3255 reg. 2\(2\)\(c\)](#)
- reg. 3(2)(a)(iv) word omitted by [S.I. 2008/1467 reg. 2\(a\)](#)
- reg. 3(2)(c) and word added by [S.I. 2008/1467 reg. 2\(b\)](#)
- reg. 4(3) inserted by [S.I. 2007/3255 reg. 2\(5\)](#)
- reg. 4(3) omitted by [S.I. 2019/632 reg. 183\(5\)\(b\)](#)