
Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Uncertificated Securities Regulations 2001. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) [View outstanding changes](#)

STATUTORY INSTRUMENTS

2001 No. 3755

The Uncertificated Securities Regulations 2001

23rd November 2001

Anne McGuire
John Heppell
Two of the Lords Commissioners of Her
Majesty's Treasury

Changes to legislation:

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Uncertificated Securities Regulations 2001. Any changes that have already been made by the team appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to :

- Sch. 1 para. 1-4 omitted by S.I. 2019/679 reg. 4(9)(b)
- Sch. 1 para. 5(2) omitted by S.I. 2019/679 reg. 4(9)(c)(ii)
- Sch. 1 para. 5(3)(b)(ii) and word omitted by S.I. 2019/679 reg. 4(9)(c)(iii)(bb)
- Sch. 1 para. 6-8 omitted by S.I. 2019/679 reg. 4(9)(d)
- Sch. 1 para. 10 omitted by S.I. 2019/679 reg. 4(9)(e)
- Sch. 1 para. 11 omitted by S.I. 2019/679 reg. 4(9)(e)
- Sch. 1 para. 23 omitted by S.I. 2019/679 reg. 4(9)(f)
- Sch. 1 para. 24 omitted by S.I. 2019/679 reg. 4(9)(f)
- Sch. 1 para. 25(a) omitted by S.I. 2019/679 reg. 4(9)(g)
- Sch. 1 para. 25(d) omitted by S.I. 2019/679 reg. 4(9)(g)
- Sch. 1 para. 27 omitted by S.I. 2019/679 reg. 4(9)(h)
- Sch. 1 heading substituted by S.I. 2019/679 reg. 4(9)(a)
- Sch. 1 para. 5(1) substituted by S.I. 2019/679 reg. 4(9)(c)(i)
- Sch. 1 para. 5(3)(a) substituted by S.I. 2019/679 reg. 4(9)(c)(iii)(aa)
- Sch. 1 para. 5(7)(b) word omitted by S.I. 2013/504 reg. 36(2)(a)
- Sch. 1 para. 12 words inserted by S.I. 2003/1633 reg. 12
- Sch. 1 para. 25(e)(ii) words inserted by S.I. 2003/1633 reg. 12
- Sch. 1 para. 5(7) words inserted by S.I. 2019/679 reg. 4(9)(c)(iv)
- Sch. 1 para. 3 words substituted by S.I. 2013/632 reg. 2(13)
- Sch. 1 para. 5(7) words substituted by S.I. 2019/679 reg. 14(3)(b)
- Sch. 2 omitted by S.I. 2019/679 reg. 4(10)
- Sch. 2 para. 4(3) revoked by S.I. 2003/1398 Sch. para. 43(2)(b)(iv)
- Sch. 2 para. 5 revoked by S.I. 2003/1398 Sch. para. 43(2)(d)
- Sch. 2 substituted by S.I. 2013/632 reg. 2(14)Sch.
- Sch. 2 para. 3(2)-(8) word substituted by S.I. 2003/1398 Sch. para. 43(2)(a)(ii)
- Sch. 2 para. 3(2)-(6)(8) word substituted by S.I. 2003/1398 Sch. para. 43(2)(a)(iii)
- Sch. 2 para. 3(2)para. 3(4)(b) word substituted by S.I. 2003/1398 Sch. para. 43(2)(a)(iv)
- Sch. 2 para. 3(4)(b)para. 3(6)(8) word substituted by S.I. 2003/1398 Sch. para. 43(2)(a)(v)
- Sch. 2 para. 4(1) word substituted by S.I. 2003/1398 Sch. para. 43(2)(b)(i)
- Sch. 2 para. 4(1) word substituted by S.I. 2003/1398 Sch. para. 43(2)(b)(ii)
- Sch. 2 para. 4(1)(a) word substituted by S.I. 2003/1398 Sch. para. 43(2)(b)(iii)
- Sch. 2 para. 3(8) word substituted by S.I. 2003/3180 Sch. para. 9
- Sch. 2 para. 1(1)(b) words inserted by S.I. 2010/2628 Sch. 2 para. 4(b)(i)
- Sch. 2 para. 1(2) words inserted by S.I. 2012/917 Sch. 2 para. 3(b)(ii)
- Sch. 2 para. 3(1) words substituted by S.I. 2003/1398 Sch. para. 43(2)(a)(i)
- Sch. 2 para. 1(1)(b) words substituted by S.I. 2006/3221 Sch. 6 para. 12
- Sch. 2 para. 1(2) words substituted by S.I. 2010/2628 Sch. 2 para. 4(b)(ii)
- Sch. 2 para. 1(1)(b) words substituted by S.I. 2012/917 Sch. 2 para. 3(b)(i)
- Sch. 2 para. 3 cross-heading words substituted by S.I. 2003/1398 Sch. para. 43(2)(a)(vi)
- Sch. 2 para. 4 cross-heading words substituted by S.I. 2003/1398 Sch. para. 43(2)(b)(v)
- Sch. 3 para. 1(a) omitted by S.I. 2019/679 reg. 4(11)(b)
- Sch. 3 para. 1(b) omitted by S.I. 2019/679 reg. 4(11)(b)
- Sch. 3 para. 7 omitted by S.I. 2019/679 reg. 4(11)(g)
- Sch. 3 para. 8 omitted by S.I. 2019/679 reg. 4(11)(g)
- Sch. 3 para. 1 substituted by S.I. 2013/632 reg. 2(15)(a)
- Sch. 3 para. 2(a) substituted by S.I. 2013/632 reg. 2(15)(b)

- Sch. 3 para. 3 substituted by S.I. 2013/632 reg. 2(15)(c)
- Sch. 3 para. 4 substituted by S.I. 2013/632 reg. 2(15)(d)
- Sch. 3 para. 6 substituted by S.I. 2013/632 reg. 2(15)(f)
- Sch. 3 para. 8 substituted by S.I. 2013/632 reg. 2(15)(h)
- Sch. 3 heading substituted by S.I. 2019/679 reg. 4(11)(a)
- Sch. 3 para. 5 word inserted by S.I. 2013/632 reg. 2(15)(e)(i)
- Sch. 3 para. 7 word substituted by S.I. 2013/632 reg. 2(15)(g)(ii)
- Sch. 3 para. 5 words omitted by S.I. 2013/632 reg. 2(15)(e)(ii)
- Sch. 3 para. 2(a) words omitted by S.I. 2019/679 reg. 4(11)(c)
- Sch. 3 para. 5 words omitted by S.I. 2019/679 reg. 4(11)(d)
- Sch. 3 para. 5 words substituted by S.I. 2013/632 reg. 2(15)(e)(iii)
- Sch. 3 para. 7 words substituted by S.I. 2013/632 reg. 2(15)(g)(i)
- Sch. 3 para. 7(b) words substituted by S.I. 2013/632 reg. 2(15)(g)(iii)
- Sch. 4 para. 6(1)(a)(b) omitted by S.I. 2009/1889 reg. 2(21)(h)(ii)
- Sch. 4 para. 16(1) omitted by S.I. 2009/1889 reg. 2(21)(w)
- Sch. 4 para. 6 restricted (temp.) by S.I. 2024/233 reg. 16
- Sch. 4 para. 12(2) substituted by S.I. 2004/1662 Sch. para. 29(6)(a)
- Sch. 4 para. 12 cross-heading word inserted by S.I. 2003/1633 reg. 6(5)(a)
- Sch. 4 para. 12(1) word inserted by S.I. 2003/1633 reg. 6(5)(b)
- Sch. 4 para. 13 cross-heading word inserted by S.I. 2003/1633 reg. 6(5)(c)
- Sch. 4 para. 13 word inserted by S.I. 2003/1633 reg. 6(5)(d)
- Sch. 4 para. 12(2)13(2) word inserted by S.I. 2003/1633 reg. 7
- Sch. 4 para. 12(3) word inserted by S.I. 2003/1633 reg. 8(4)(a)
- Sch. 4 para. 19(2) words inserted by S.I. 2003/1633 reg. 8(4)(b)
- Sch. 4 para. 16(2) words inserted by S.I. 2003/1633 reg. 13
- Sch. 4 para. 6(3) words omitted by S.I. 2009/1889 reg. 2(21)(i)
- Sch. 4 para. 13(2) words substituted by S.I. 2004/1662 Sch. para. 29(6)(b)
- Sch. 4 para. 2(4) words substituted by S.I. 2009/1889 reg. 2(21)(a)
- Sch. 4 para. 20 words substituted by S.I. 2009/1889 reg. 2(21)(aa)
- Sch. 4 para. 2(5) words substituted by S.I. 2009/1889 reg. 2(21)(b)
- Sch. 4 para. 21 words substituted by S.I. 2009/1889 reg. 2(21)(bb)
- Sch. 4 para. 2(6) words substituted by S.I. 2009/1889 reg. 2(21)(c)
- Sch. 4 para. 3 words substituted by S.I. 2009/1889 reg. 2(21)(d)
- Sch. 4 para. 4(2) words substituted by S.I. 2009/1889 reg. 2(21)(e)
- Sch. 4 para. 5(4) words substituted by S.I. 2009/1889 reg. 2(21)(f)
- Sch. 4 para. 6 words substituted by S.I. 2009/1889 reg. 2(21)(g)
- Sch. 4 para. 6(1) words substituted by S.I. 2009/1889 reg. 2(21)(h)(i)
- Sch. 4 para. 6(3) words substituted by S.I. 2009/1889 reg. 2(21)(i)
- Sch. 4 para. 6(5) words substituted by S.I. 2009/1889 reg. 2(21)(j)
- Sch. 4 para. 6(6) words substituted by S.I. 2009/1889 reg. 2(21)(k)
- Sch. 4 para. 7(3) words substituted by S.I. 2009/1889 reg. 2(21)(l)
- Sch. 4 para. 7(4) words substituted by S.I. 2009/1889 reg. 2(21)(m)
- Sch. 4 para. 7(5) words substituted by S.I. 2009/1889 reg. 2(21)(n)
- Sch. 4 para. 8 words substituted by S.I. 2009/1889 reg. 2(21)(o)
- Sch. 4 para. 9 words substituted by S.I. 2009/1889 reg. 2(21)(p)
- Sch. 4 para. 9(b) words substituted by S.I. 2009/1889 reg. 2(21)(q)(i)
- Sch. 4 para. 9(b) words substituted by S.I. 2009/1889 reg. 2(21)(q)(ii)
- Sch. 4 para. 9 words substituted by S.I. 2009/1889 reg. 2(21)(r)
- Sch. 4 para. 10 words substituted by S.I. 2009/1889 reg. 2(21)(s)(i)
- Sch. 4 para. 10 words substituted by S.I. 2009/1889 reg. 2(21)(s)(ii)
- Sch. 4 para. 10 words substituted by S.I. 2009/1889 reg. 2(21)(s)(iii)
- Sch. 4 para. 10 words substituted by S.I. 2009/1889 reg. 2(21)(s)(iv)
- Sch. 4 para. 10 words substituted by S.I. 2009/1889 reg. 2(21)(s)(v)
- Sch. 4 para. 11 words substituted by S.I. 2009/1889 reg. 2(21)(t)
- Sch. 4 para. 14(2) words substituted by S.I. 2009/1889 reg. 2(21)(u)
- Sch. 4 para. 15(5) words substituted by S.I. 2009/1889 reg. 2(21)(v)(i)
- Sch. 4 para. 15(5) words substituted by S.I. 2009/1889 reg. 2(21)(v)(ii)
- Sch. 4 para. 15(5) words substituted by S.I. 2009/1889 reg. 2(21)(v)(iii)

- Sch. 4 para. 16(2) words substituted by S.I. 2009/1889 reg. 2(21)(x)
- Sch. 4 para. 18 words substituted by S.I. 2009/1889 reg. 2(21)(y)
- Sch. 4 para. 19(1) words substituted by S.I. 2009/1889 reg. 2(21)(z)
- Sch. 5 omitted by S.I. 2009/1889 reg. 2(22)
- Regulations amendment to earlier affecting provision S.I. 2021/716, reg. 5 by S.I. 2023/1399 reg. 4
- Regulations applied (with modifications) by S.I. 2011/245 Sch. 3 para. 6(1)(b)para. 6(3)(b)
- Regulations applied (with modifications) by S.I. 2021/716 Sch. 1 para. 5(b)(ii)
- Regulations applied (with modifications) (temp.) by S.I. 2023/1398 reg. 6Sch. Pt. 5
- Regulations applied (with modifications) by S.I. 2021/716, Sch. 1A para. 5 (as inserted) by S.I. 2023/1399 Sch. 1
- Regulations continued by S.I. 2004/1611 reg. 15(3)(4)
- Regulations power to amend or revoke conferred by 2023 c. 29 s. 1517
- Regulations power to modify conferred by 2023 c. 29 s. 1317
- reg. 3 words inserted by S.I. 2007/124 reg. 2
- reg. 3 words omitted by S.I. 2004/2044 art. 6(1)(a)
- reg. 3 words revoked by S.I. 2004/2044 art. 6(1)(c)
- reg. 3 words substituted by S.I. 2004/2044 art. 6(1)(b)
- reg. 3(1) words inserted by S.I. 2003/1633 reg. 3(a)
- reg. 3(1) words inserted by S.I. 2003/1633 reg. 3(b)
- reg. 3(1) words inserted by S.I. 2003/1633 reg. 3(c)
- reg. 3(1) words inserted by S.I. 2003/1633 reg. 3(e)
- reg. 3(1) words inserted by S.I. 2003/1633 reg. 3(f)
- reg. 3(1) words inserted by S.I. 2003/1633 reg. 3(g)
- reg. 3(1) words inserted by S.I. 2003/1633 reg. 3(h)
- reg. 3(1) words inserted by S.I. 2003/1633 reg. 3(i)
- reg. 3(1) words inserted by S.I. 2003/1633 reg. 3(k)
- reg. 3(1) words inserted by S.I. 2003/1633 reg. 3(m)
- reg. 3(1) words inserted by S.I. 2004/1662 Sch. para. 29(2)(a)(ii)
- reg. 3(1) words inserted by S.I. 2009/1889 reg. 2(2)(b)
- reg. 3(1) words inserted by S.I. 2019/679 reg. 4(2)(a)
- reg. 3(1) words omitted by S.I. 2003/1633 reg. 3(d)
- reg. 3(1) words omitted by S.I. 2003/1633 reg. 3(n)
- reg. 3(1) words omitted by S.I. 2004/1662 Sch. para. 29(2)(a)(i)
- reg. 3(1) words omitted by S.I. 2009/1889 reg. 2(2)(a)
- reg. 3(1) words omitted by S.I. 2009/1889 reg. 2(2)(c)
- reg. 3(1) words omitted by S.I. 2013/472 Sch. 2 para. 73(a)
- reg. 3(1) words omitted by S.I. 2013/632 reg. 2(2)(a)
- reg. 3(1) words omitted by S.I. 2019/679 reg. 4(2)(c)
- reg. 3(1) words omitted by S.I. 2019/679 reg. 14(2)
- reg. 3(1) words substituted by S.I. 2003/1633 reg. 3(l)
- reg. 3(1) words substituted by S.I. 2003/1633 reg. 3(p)
- reg. 3(1) words substituted by S.I. 2003/1633 reg. 3(q)
- reg. 3(1) words substituted by S.I. 2009/1889 reg. 2(2)(d)
- reg. 3(1) words substituted by S.I. 2009/1889 reg. 2(2)(e)
- reg. 3(1) words substituted by S.I. 2009/1889 reg. 2(2)(f)
- reg. 3(1) words substituted by S.I. 2013/632 reg. 2(2)(b)
- reg. 3(1) words substituted by S.I. 2019/679 reg. 4(2)(b)
- reg. 3(3) word inserted by S.I. 2003/1633 reg. 4(1)(a)
- reg. 3(3)(a)(i) word omitted by S.I. 2004/2044 art. 6(1)(d)(i)
- reg. 3(3)(b) words substituted by S.I. 2004/1662 Sch. para. 29(2)(b)
- reg. 4 omitted by S.I. 2019/679 reg. 4(3)
- reg. 4(1) words substituted by S.I. 2013/632 reg. 2(3)(a)
- reg. 4(2) words substituted by S.I. 2013/632 reg. 2(3)(b)
- reg. 4(3) words substituted by S.I. 2013/632 reg. 2(3)(c)
- reg. 4(4) words substituted by S.I. 2013/632 reg. 2(3)(d)
- reg. 4(5) words substituted by S.I. 2013/632 reg. 2(3)(e)

- reg. 5 substituted by S.I. 2019/679 reg. 4(4)
- reg. 5(1) word substituted by S.I. 2013/632 reg. 2(4)(a)(ii)
- reg. 5(1) words substituted by S.I. 2013/632 reg. 2(4)(a)(i)
- reg. 5(2) words substituted by S.I. 2013/632 reg. 2(4)(b)(i)
- reg. 5(2) words substituted by S.I. 2013/632 reg. 2(4)(b)(ii)
- reg. 6 heading words substituted by S.I. 2013/632 reg. 2(5)(a)
- reg. 6(1) omitted by S.I. 2019/679 reg. 4(5)(a)
- reg. 6(1) words substituted by S.I. 2013/632 reg. 2(5)(b)
- reg. 6(2) words substituted by S.I. 2013/632 reg. 2(5)(b)
- reg. 6(3) words substituted by S.I. 2013/632 reg. 2(5)(c)(i)
- reg. 6(3)(a) omitted by S.I. 2019/679 reg. 4(5)(b)
- reg. 6(3)(b) word substituted by S.I. 2013/632 reg. 2(5)(c)(ii)
- reg. 6(4) words substituted by S.I. 2013/632 reg. 2(5)(d)(i)
- reg. 6(4)(b) omitted by S.I. 2013/632 reg. 2(5)(d)(ii)
- reg. 6(4)(c) words omitted by S.I. 2013/632 reg. 2(5)(e)
- reg. 6(5)(b) and word omitted by S.I. 2013/632 reg. 2(5)(f)
- reg. 6(6) substituted by S.I. 2013/632 reg. 2(5)(g)
- reg. 6(7) omitted by S.I. 2013/632 reg. 2(5)(h)
- reg. 7 omitted by S.I. 2019/679 reg. 4(6)
- reg. 7(1) words substituted by S.I. 2013/632 reg. 2(6)(a)
- reg. 7(2) word substituted by S.I. 2013/632 reg. 2(6)(b)(ii)
- reg. 7(2) words substituted by S.I. 2013/632 reg. 2(6)(b)(i)
- reg. 7(6) words substituted by S.I. 2013/632 reg. 2(6)(c)
- reg. 8 words substituted by S.I. 2013/632 reg. 2(7)
- reg. 9 words substituted by S.I. 2013/632 reg. 2(8)(a)
- reg. 9(3) word substituted by S.I. 2013/632 reg. 2(8)(b)
- reg. 9(7) words substituted by S.I. 2013/472 Sch. 2 para. 73(b)(i)
- reg. 10(1) substituted by S.I. 2013/632 reg. 2(9)(a)
- reg. 10(2) substituted by S.I. 2013/632 reg. 2(9)(b)
- reg. 10(3) word substituted by S.I. 2013/632 reg. 2(9)(c)(iii)
- reg. 10(3) words inserted by S.I. 2013/632 reg. 2(9)(c)(i)
- reg. 10(3) words substituted by S.I. 2013/632 reg. 2(9)(c)(ii)
- reg. 10(4) words substituted by S.I. 2013/632 reg. 2(9)(d)
- reg. 10(5) words substituted by S.I. 2013/632 reg. 2(9)(d)
- reg. 12 omitted by S.I. 2019/679 reg. 4(7)
- reg. 12 words substituted by S.I. 2013/632 reg. 2(11)
- reg. 13 omitted by S.I. 2019/679 reg. 4(8)
- reg. 13 heading words substituted by S.I. 2013/632 reg. 2(12)(a)
- reg. 13 words substituted by S.I. 2013/632 reg. 2(12)(b)
- reg. 18 words substituted by S.I. 2009/1889 reg. 2(4)
- reg. 19(2) words substituted by S.I. 2003/1633 reg. 5
- reg. 20(7) words substituted by S.I. 2009/1889 reg. 2(5)
- reg. 21 cross-heading word inserted by S.I. 2003/1633 reg. 6(1)
- reg. 21(1)-(3) word inserted by S.I. 2003/1633 reg. 6(2)
- reg. 21(3) word inserted by S.I. 2003/1633 reg. 8(1)(a)
- reg. 21(3) words substituted by S.I. 2004/1662 Sch. para. 29(3)
- reg. 21(3)(b) word omitted by S.I. 2004/2044 art. 6(2)(a)(i)
- reg. 21(4) words substituted by S.I. 2009/1889 reg. 2(6)
- reg. 21(5) words inserted by S.I. 2003/1633 reg. 8(2)
- reg. 22(1) words substituted by S.I. 2003/1633 reg. 6(3)
- reg. 22(3) words inserted by S.I. 2003/1633 reg. 9(1)
- reg. 22(4) words substituted by S.I. 2003/1633 reg. 9(3)
- reg. 22(4) words substituted by S.I. 2009/1889 reg. 2(7)
- reg. 23(2) words substituted by S.I. 2009/1889 reg. 2(8)(a)
- reg. 23(4) words substituted by S.I. 2009/1889 reg. 2(8)(b)
- reg. 24(4) words substituted by S.I. 2009/1889 reg. 2(10)
- reg. 24(5) word inserted by S.I. 2003/1633 reg. 6(4)
- reg. 26 words omitted by S.I. 2009/1889 reg. 2(11)

- reg. 27(7) words substituted by S.I. 2003/1633 reg. 11(a)
- reg. 27(8) substituted by S.I. 2009/1889 reg. 2(12)(a)
- reg. 27(9) words substituted by S.I. 2009/1889 reg. 2(12)(b)
- reg. 28(8) substituted by S.I. 2009/1889 reg. 2(13)(a)
- reg. 28(9) words substituted by S.I. 2009/1889 reg. 2(13)(b)
- reg. 32(8) words substituted by S.I. 2009/1889 reg. 2(14)(a)
- reg. 32(9) words substituted by S.I. 2009/1889 reg. 2(14)(b)
- reg. 32(10) words substituted by S.I. 2009/1889 reg. 2(14)(c)
- reg. 33(11) words substituted by S.I. 2009/1889 reg. 2(15)
- reg. 38(3)(a) words substituted by S.I. 2009/1889 reg. 2(16)(a)
- reg. 38(3)(b) words substituted by S.I. 2004/1662 Sch. para. 29(4)
- reg. 38(7) words substituted by S.I. 2009/1889 reg. 2(16)(b)
- reg. 40(2) words substituted by S.I. 2009/1889 reg. 2(17)(a)
- reg. 40(3) words substituted by S.I. 2009/1889 reg. 2(17)(b)
- reg. 41(3)(a) words substituted by S.I. 2009/1889 reg. 2(18)(a)
- reg. 41(3)(b) words substituted by S.I. 2009/1889 reg. 2(18)(b)
- reg. 42 words substituted by S.I. 2009/1889 reg. 2(19)(a)
- reg. 42(1) words substituted by S.I. 2007/1093 Sch. 3 para. 9(2)(a)
- reg. 42(1) words substituted by S.I. 2007/1093 Sch. 3 para. 9(2)(b)
- reg. 42(2) words substituted by S.I. 2007/1093 Sch. 3 para. 9(3)(a)
- reg. 42(2) words substituted by S.I. 2007/1093 Sch. 3 para. 9(3)(b)
- reg. 42(5) words substituted by S.I. 2007/1093 Sch. 3 para. 9(4)
- reg. 42(5) words substituted by S.I. 2009/1889 reg. 2(19)(b)
- reg. 42(6) words substituted by S.I. 2009/1889 reg. 2(19)(c)
- reg. 42(7) words substituted by S.I. 2007/1093 Sch. 3 para. 9(5)(a)
- reg. 42(7) words substituted by S.I. 2007/1093 Sch. 3 para. 9(5)(b)
- reg. 42(8) words substituted by S.I. 2007/1093 Sch. 3 para. 9(6)(a)
- reg. 42(8) words substituted by S.I. 2007/1093 Sch. 3 para. 9(6)(b)
- reg. 42(12) words substituted by S.I. 2009/1889 reg. 2(19)(d)
- reg. 42(13)(a) words substituted by S.I. 2007/1093 Sch. 3 para. 9(7)(a)
- reg. 42(13)(b) words substituted by S.I. 2007/1093 Sch. 3 para. 9(7)(b)
- reg. 42(13)(c) words substituted by S.I. 2007/1093 Sch. 3 para. 9(7)(c)
- reg. 42(14) words substituted by S.I. 2007/1093 Sch. 3 para. 9(8)
- reg. 49(4)(a) omitted by S.I. 2009/1889 reg. 2(20)
- reg. 49(4)(b) omitted by S.I. 2009/1889 reg. 2(20)
- reg. 49(4)(d) omitted by S.I. 2009/1889 reg. 2(20)
- reg. 49(4)(f) omitted by S.I. 2009/1889 reg. 2(20)
- reg. 49(5) omitted by S.I. 2009/1889 reg. 2(20)

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Sch. 1 para. 28 inserted by S.I. 2007/124 reg. 3
- Sch. 1 para. 5(7)(ba) inserted by S.I. 2013/504 reg. 36(2)(b)
- Sch. 1 para. 28(3) omitted by S.I. 2017/701 Sch. 5 para. 3(a)
- Sch. 1 para. 28 omitted by S.I. 2019/679 reg. 4(9)(h)
- Sch. 1 para. 28(4) word substituted by S.I. 2017/701 Sch. 5 para. 3(b)(i)
- Sch. 1 para. 28(4) word substituted by S.I. 2017/701 Sch. 5 para. 3(b)(ii)
- Sch. 1 para. 28(4) word substituted by S.I. 2017/701 Sch. 5 para. 3(b)(iii)
- Sch. 1 para. 28(4) words inserted by S.I. 2010/2628 Sch. 2 para. 4(a)
- Sch. 1 para. 28(4) words omitted by S.I. 2013/3115 Sch. 2 para. 59(a)
- Sch. 1 para. 5(7)(ba) words omitted by S.I. 2019/679 reg. 14(3)(a)
- Sch. 1 para. 28(4) words substituted by S.I. 2012/917 Sch. 2 para. 3(a)
- Sch. 1 para. 28(4) words substituted by S.I. 2013/3115 Sch. 2 para. 59(b)
- Sch. 1 para. 28(4) words substituted by S.I. 2013/3115 Sch. 2 para. 59(c)

- Sch. 1 para. 28(4) words substituted by S.I. 2013/472 Sch. 2 para. 73(c)
- Sch. 1 para. 28(4) words substituted by S.I. 2017/701 Sch. 5 para. 3(b)(iv)
- Sch. 2 para 4A4B inserted by S.I. 2003/1398 Sch. para. 43(2)(c)
- Sch. 3 para. 910 inserted by S.I. 2019/679 reg. 4(11)(f)
- Sch. 3 para. 6(1)(a) omitted by S.I. 2019/679 reg. 4(11)(e)(i)
- Sch. 3 para. 6(1)(b) omitted by S.I. 2019/679 reg. 4(11)(e)(i)
- Sch. 3 para. 6(1) words inserted by S.I. 2019/679 reg. 4(11)(e)(ii)
- Sch. 4 para. 19(2A) inserted by S.I. 2003/1633 reg. 8(4)(c)
- Sch. 4 para. 19(2A) revoked by S.I. 2004/2044 art. 6(3)
- Sch. 4 para. 19(4)(c)-(f) substituted for para. 19(4)(c)(d) by S.I. 2004/1662 Sch. para. 29(6)(c)
- reg. 3(b) words substituted by S.I. 2003/1633 reg. 3(o)
- reg. 3(1)(b) substituted by S.I. 2003/1633 reg. 3(j)
- reg. 3(3)(a)(iii) revoked by S.I. 2004/2044 art. 6(1)(d)(ii)
- reg. 3(3)(iii) and word inserted by S.I. 2003/1633 reg. 4(1)(b)
- reg. 3(4) added by S.I. 2003/1633 reg. 4(2)
- reg. 9(10)(fa)-(fd) inserted by S.I. 2013/1773 Sch. 2 para. 15
- reg. 9(13) inserted by S.I. 2013/472 Sch. 2 para. 73(b)(ii)
- reg. 11A11B substituted for reg. 11 by S.I. 2013/632 reg. 2(10)
- reg. 16(7)(7A) substituted for reg. 16(7) by S.I. 2007/2194 Sch. 4 para. 97(2)
- reg. 16(8A) inserted by S.I. 2007/2194 Sch. 4 para. 97(3)
- reg. 16(8A) words substituted by S.I. 2009/1889 reg. 2(3)
- reg. 21(3)(c) and word inserted by S.I. 2003/1633 reg. 8(1)(b)
- reg. 21(3)(c) revoked by S.I. 2004/2044 art. 6(2)(a)(ii)
- reg. 21(6) added by S.I. 2003/1633 reg. 8(3)
- reg. 21(6) revoked by S.I. 2004/2044 art. 6(2)(b)
- reg. 22(3A) inserted by S.I. 2003/1633 reg. 9(2)
- reg. 23(5) inserted by S.I. 2009/1889 reg. 2(9)
- reg. 24(8) added by S.I. 2003/1633 reg. 10
- reg. 27(7A) inserted by S.I. 2003/1633 reg. 11(b)
- reg. 41(6) inserted by S.I. 2009/1889 reg. 2(18)(c)
- reg. 48(c)-(f) substituted for reg. 48(c)(d) by S.I. 2004/1662 Sch. para. 29(5)