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STATUTORY INSTRUMENTS

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**2001 No. 3778**

The Individual Savings Account  
(Amendment No. 2) Regulations 2001

**Amendment of the principal Regulations**

3.—(1) Amend the principal Regulations as follows.

(2) In regulation 7(2)(1) after sub-paragraph (j) add—

“(k) investments which—

- (i) were held under a stocks and shares component on 28th November 2001,
- (ii) on that date were admitted to trading on a recognised stock exchange in an EEA State and were not listed by a competent authority in an EEA State for the purposes of Council Directive 2001/34(2),
- (iii) since that date have not ceased to be so admitted and have not become so listed,
- (iv) do not fall within any of the other sub-paragraphs of this paragraph, and
- (v) are not investments which, having fallen within any of those sub-paragraphs, have ceased so to fall on or after that date.”

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(1) Amended by regulation 5(2) of S.I.1998/3174, regulation 4(2) of S.I. 2000/2079, and regulation 4(a) of S.I. 2000/3112.

(2) O.J. No. L 184, 6.7.2001, p1–66.