
STATUTORY INSTRUMENTS

2001 No. 3965

**SOCIAL CARE, ENGLAND
CHILDREN AND YOUNG PERSONS, ENGLAND**

The Care Homes Regulations 2001

Made - - - - 11th December 2001

Laid before Parliament 12th December 2001

Coming into force 1st April 2002

THE CARE HOMES REGULATIONS 2001

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Signature

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1. The name and address of the registered provider and of...
2. The relevant qualifications and experience of the registered provider and...
3. The number, relevant qualifications and experience of the staff working...
4. The organisational structure of the care home.
5. The age-range and sex of the service users for whom...
6. The range of needs that the care home is intended...
7. Whether nursing is to be provided.
8. Any criteria used for admission to the care home, including...
9. The arrangements for service users to engage in social activities,...
10. The arrangements made for consultation with service users about the...
11. The fire precautions and associated emergency procedures in the care...
12. The arrangements made for service users to attend religious services...
13. The arrangements made for contact between service users and their...
14. The arrangements made for dealing with complaints.
15. The arrangements made for dealing with reviews of the service...
16. The number and size of rooms in the care home....
17. Details of any specific therapeutic techniques used in the care...
18. The arrangements made for respecting the privacy and dignity of...

SCHEDULE 2 — INFORMATION AND DOCUMENTS IN RESPECT OF PERSONS CARRYING ON, MANAGING OR WORKING AT A CARE HOME

1. Proof of the person's identity, including a recent photograph.
2. The person's birth certificate.
3. The person's current passport (if any).
4. Documentary evidence of any relevant qualifications of the person.
5. Two written references relating to the person.
6. Evidence that the person is physically and mentally fit for...
7. Either— (a) where the certificate is required for a purpose...
8. Details of any criminal offences— (a) of which the person...

SCHEDULE 3 — RECORDS TO BE KEPT IN A CARE HOME IN RESPECT OF EACH SERVICE USER

1. The following documents in respect of each service user—
2. A photograph of the service user.
3. A record of the following matters in respect of each...
4. A copy of correspondence relating to each service user.

SCHEDULE 4 — OTHER RECORDS TO BE KEPT IN A CARE HOME

1. A copy of the statement of purpose.
2. A copy of the service user's guide.
3. A record of all accounts kept in the care home....
4. A copy of all inspection reports.
5. A copy of any report made under regulation 26(4)(c).
6. A record of all persons employed at the care home,...
7. A copy of the duty roster of persons working at...
8. A record of the care home's charges to service users,...
9. A record of all money or other valuables deposited by...
10. A record of furniture brought by a service user into...
11. A record of all complaints made by service users or...
12. A record of any of the following events that occur...
13. Records of the food provided for service users in sufficient...
14. A record of every fire practice, drill or test of...

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15. A statement of the procedure to be followed in the...
16. A statement of the procedure to be followed in the...
17. A record of all visitors to the care home, including...

SCHEDULE 5 — ADDITIONAL INFORMATION TO BE INCLUDED IN THE STATEMENT OF PURPOSE WHERE CHILDREN ARE ACCOMMODATED

1. The following details about the children for whom it is...
2. Any criteria used for admission to the care home, including...
3. If the care home provides or is intended to provide...
4. A description of the care home's underlying ethos and philosophy,...
5. The facilities and services to be provided or made available,...
6. The arrangements made to protect and promote the health of...
7. The arrangements for the promotion of the education of the...
8. The arrangements to promote children's participation in hobbies and recreational,...
9. The arrangements made for consultation with the children accommodated there...
10. The policy on behaviour management and the use of restraint...
11. The arrangements for child protection and to counter bullying.
12. The fire precautions and associated emergency procedures in the care...
13. The arrangements made for the children's religious instruction and observance....
14. The arrangements made for contact between a child accommodated there...
15. The procedure for dealing with any unauthorised absence of a...
16. The arrangements for dealing with complaints.
17. The arrangements for dealing with reviews of the placement plans...
18. The type of accommodation and sleeping arrangements provided, and, where...
19. Details of any specific therapeutic techniques used in the care...
20. A description of the care home's policy on anti-discriminatory practice...

SCHEDULE 6 — ADDITIONAL INFORMATION AND DOCUMENTS TO BE OBTAINED IN RESPECT OF PERSONS WORKING AT A CARE HOME WHERE CHILDREN ARE ACCOMMODATED

1. Two written references, including a reference from the last employer....
2. Where a person has previously worked in a position whose...
3. A full employment history, together with a satisfactory written explanation...

SCHEDULE 7 — MATTERS TO BE MONITORED AT A CARE HOME WHERE CHILDREN ARE ACCOMMODATED

1. Compliance with any plan for the care of the child...
2. The deposit and issue of money and other valuables handed...
3. Daily menus.
4. All accidents and injuries sustained in the care home or...
5. Any illnesses of children accommodated in the care home.
6. Complaints in relation to children accommodated in the care home...
7. Any allegations or suspicions of abuse in respect of children...
8. Staff recruitment records and conduct of required checks for new...
9. Visitors to the care home and to children in the...
10. Notifications of the events listed in Schedule 5 to the...
11. Any unauthorised absence from the care home of a child...

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12. The use of disciplinary measures in respect of children accommodated...
13. The use of physical restraint in respect of children accommodated...

Explanatory Note