

Status: Point in time view as at 30/07/2022.

Changes to legislation: The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001, Section 9AA is up to date with all changes known to be in force on or before 21 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

STATUTORY INSTRUMENTS

2001 No. 544

The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001

PART II

SPECIFIED ACTIVITIES

CHAPTER II

ACCEPTING DEPOSITS

Exclusions

[^{F1F2}...[^{F3}Managers of UCITS and AIFs]

9AA. Article 5 is subject to the [^{F4}exclusion] in ^{F5}... [^{F6} article 72AA (managers of [^{F7}UK UCITS] and AIFs).]

Textual Amendments

- F1** Art. 9AA inserted (21.8.2002) by [The Financial Services and Markets Act 2000 \(Regulated Activities\) \(Amendment\) \(No. 2\) Order 2002 \(S.I. 2002/1776\)](#), arts. 1, **3(2)**
- F2** Words in art. 9AA heading omitted (31.12.2020) by virtue of [The Electronic Commerce and Solvency 2 \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1361\)](#), regs. 1(2), 5(3)(a)(iii) (as amended by [S.I. 2019/1390](#), regs. 1(2), **6**); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)
- F3** Words in art. 9AA heading inserted (22.7.2013) by [The Alternative Investment Fund Managers Regulations 2013 \(S.I. 2013/1773\)](#), reg. 1, [Sch. 2 para. 1\(4\)\(a\)](#)
- F4** Word in art. 9AA substituted (31.12.2020) by [The Electronic Commerce and Solvency 2 \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1361\)](#), regs. 1(2), **5(3)(a)(i)** (as amended by [S.I. 2019/1390](#), regs. 1(2), **6**); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)
- F5** Words in art. 9AA omitted (31.12.2020) by virtue of [The Electronic Commerce and Solvency 2 \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1361\)](#), regs. 1(2), **5(3)(a)(ii)** (as amended by [S.I. 2019/1390](#), regs. 1(2), **6**); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)
- F6** Words in art. 9AA inserted (22.7.2013) by [The Alternative Investment Fund Managers Regulations 2013 \(S.I. 2013/1773\)](#), reg. 1, [Sch. 2 para. 1\(4\)\(c\)](#)
- F7** Words in art. 9AA substituted (31.12.2020) by [The Financial Services and Markets Act 2000 \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/632\)](#), regs. 1(3), **148(2)(a)** (with savings in [S.I. 2019/680](#), [reg. 11](#) (as amended by [S.I. 2019/1212](#), regs. 1(3), **22(3)**); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)

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