

STATUTORY INSTRUMENTS

2001 No. 544

The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001

PART II

SPECIFIED ACTIVITIES

CHAPTER XI

^{F1}... PENSION SCHEMES

Textual Amendments

- F1** Word in Pt. 2 Ch. 11 title omitted (1.10.2006 for specified purposes, 6.4.2007 in so far as not already in force) by virtue of [The Financial Services and Markets Act 2000 \(Regulated Activities\) \(Amendment\) Order 2006 \(S.I. 2006/1969\)](#), arts. 1(2), **2(3)**

The activities

^{F2}Establishing etc. a pension scheme

- 52.** The following are specified kinds of activity—
- (a) establishing, operating or winding up a stakeholder pension scheme;
 - (b) establishing, operating or winding up a personal pension scheme.]

Textual Amendments

- F2** Art. 52 substituted (1.10.2006 for specified purposes, 6.4.2007 in so far as not already in force) by [The Financial Services and Markets Act 2000 \(Regulated Activities\) \(Amendment\) Order 2006 \(S.I. 2006/1969\)](#), arts. 1(2), **2(4)**

^{F3}Exclusion

Textual Amendments

- F3** Art. 52A and cross-heading inserted (21.8.2002) by [The Financial Services and Markets Act 2000 \(Regulated Activities\) \(Amendment\) \(No. 2\) Order 2002 \(S.I. 2002/1776\)](#), arts. 1, **3(11)**

Changes to legislation: *The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001, Chapter XI is up to date with all changes known to be in force on or before 03 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

[^{F4}Other exclusions]

52A. Article 52 is subject to the [^{F5}exclusions] in [^{F6}articles][^{F7}72AA (managers of [^{F8}UK UCITS] and AIFs) and 72H (insolvency practitioners)].]

Textual Amendments

- F4** Art. 52A heading substituted (14.2.2014 for specified purposes, 1.4.2014 in so far as not already in force) by [The Financial Services and Markets Act 2000 \(Regulated Activities\) \(Amendment\) Order 2014 \(S.I. 2014/366\)](#), art. 1(3)(4), **2(21)**
- F5** Word in art. 52A substituted (22.7.2013) by [The Alternative Investment Fund Managers Regulations 2013 \(S.I. 2013/1773\)](#), reg. 1, **Sch. 2 para. 1(4)(b)**
- F6** Word in art. 52A substituted (31.12.2020) by [The Electronic Commerce and Solvency 2 \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1361\)](#), regs. 1(2), 5(3)(f) (as amended by [S.I. 2019/1390](#), regs. 1(2), **6**); 2020 c. 1, **Sch. 5 para. 1(1)**
- F7** Words in art. 52A substituted (14.2.2014 for specified purposes, 1.4.2014 in so far as not already in force) by virtue of [The Financial Services and Markets Act 2000 \(Regulated Activities\) \(Amendment\) Order 2014 \(S.I. 2014/366\)](#), art. 1(3)(4), **2(22)**
- F8** Words in art. 52A substituted (31.12.2020) by [The Financial Services and Markets Act 2000 \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/632\)](#), regs. 1(3), **148(2)(k)** (with savings in [S.I. 2019/680](#), **reg. 11** (as amended by [S.I. 2019/1212](#), regs. 1(3), **22(3)**); 2020 c. 1, **Sch. 5 para. 1(1)**

Changes to legislation:

The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001, Chapter XI is up to date with all changes known to be in force on or before 03 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

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Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Sch. 3 Pt. 1 para. 2(c) words substituted by [S.I. 2023/548 art. 2\(5\)](#)