#### Status: Point in time view as at 28/01/2021.

Changes to legislation: The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001, Chapter XIII is up to date with all changes known to be in force on or before 17 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

### STATUTORY INSTRUMENTS

### 2001 No. 544

# The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001

## PART II SPECIFIED ACTIVITIES

CHAPTER XIII LLOYD'S

The activities

### Advice on syndicate participation at Lloyd's

**56.** Advising a person to become, or continue or cease to be, a member of a particular Lloyd's syndicate is a specified kind of activity.

### Managing the underwriting capacity of a Lloyd's syndicate

**57.** Managing the underwriting capacity of a Lloyd's syndicate as a managing agent at Lloyd's is a specified kind of activity.

### Arranging deals in contracts of insurance written at Lloyd's

**58.** The arranging, by the society incorporated by Lloyd's Act 1871 M1 by the name of Lloyd's, of deals in contracts of insurance written at Lloyd's, is a specified kind of activity.

# Marginal Citations

**M1** 1871 c. 21.

### I<sup>F1</sup>Exclusion

#### **Textual Amendments**

F1 Art. 58A and cross-heading inserted (21.8.2002) by The Financial Services and Markets Act 2000 (Regulated Activities) (Amendment) (No. 2) Order 2002 (S.I. 2002/1776), arts. 1, 3(13)

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### F2...[F3 Managers of UCITS and AIFs]

**58A.** Articles 56 to 58 are subject to the [F4exclusion] in F5... [F6 article 72AA (managers of [F7UK UCITS] and AIFs).]]

#### **Textual Amendments**

- F2 Words in art. 58A heading omitted (31.12.2020) by virtue of The Electronic Commerce and Solvency 2 (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/1361), regs. 1(2), 5(3)(a)(iii) (as amended by S.I. 2019/1390, regs. 1(2), 6); 2020 c. 1, Sch. 5 para. 1(1)
- F3 Words in art. 58A heading inserted (22.7.2013) by The Alternative Investment Fund Managers Regulations 2013 (S.I. 2013/1773), reg. 1, Sch. 2 para. 1(4)(a)
- **F4** Word in art. 58A substituted (31.12.2020) by The Electronic Commerce and Solvency 2 (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/1361), regs. 1(2), **5(3)(a)(i)** (as amended by S.I. 2019/1390, regs. 1(2), 6); 2020 c. 1, Sch. 5 para. 1(1)
- F5 Words in art. 58A omitted (31.12.2020) by virtue of The Electronic Commerce and Solvency 2 (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/1361), regs. 1(2), 5(3)(a)(ii) (as amended by S.I. 2019/1390, regs. 1(2), 6); 2020 c. 1, Sch. 5 para. 1(1)
- F6 Words in art. 58A inserted (22.7.2013) by The Alternative Investment Fund Managers Regulations 2013 (S.I. 2013/1773), reg. 1, Sch. 2 para. 1(4)(c)
- F7 Words in art. 58A substituted (31.12.2020) by The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/632), regs. 1(3), 148(2)(m) (with savings in S.I. 2019/680, reg. 11 (as amended by S.I. 2019/1212, regs. 1(3), 22(3)); 2020 c. 1, Sch. 5 para. 1(1)

### **Status:**

Point in time view as at 28/01/2021.

### **Changes to legislation:**

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