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STATUTORY INSTRUMENTS

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**2002 No. 682**

The Financial Services and Markets Act 2000  
(Regulated Activities) (Amendment) Order 2002

PART III

MISCELLANEOUS AMENDMENTS OF THE PRINCIPAL ORDER

**Specified activities: disapplication of exclusions in relation to investment firms**

**11.** In article 4(4)(b) of the principal Order, for “articles 15, 68, 69 and 70” substitute “articles 15, 16, 19, 22, 23, 29, 38, 68, 69 and 70”.

**Accepting deposits: sums received in consideration for the issue of debt securities**

**12.** For article 9(3) of the principal Order substitute—

“(3) In paragraph (2), “commercial paper” means an investment of the kind specified by article 77 or 78 having a maturity of less than one year from the date of issue.”

**Sending dematerialised instructions**

**13.—(1)** In paragraph (1) of article 45 of the principal Order—

- (a) after “security” insert “or a contractually based investment”;
- (b) for “1995” substitute “2001”.

(2) In paragraph (2) of that article, after “security” insert “or a contractually based investment”.

(3) In paragraph (3) of that article—

- (a) for sub-paragraph (a) substitute—

“(a) “the 2001 Regulations” means the Uncertificated Securities Regulations 2001<sup>(1)</sup>”;

- (b) in sub-paragraph (b), for “1995” substitute “2001”.

(4) In articles 46, 49 and 69(8) of the principal Order, for “1995” substitute “2001”.