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STATUTORY INSTRUMENTS

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**2003 No. 1476**

**FINANCIAL SERVICES AND MARKETS**

**The Financial Services and Markets Act 2000  
(Regulated Activities) (Amendment) (No. 2) Order 2003**

*Approved by Parliament*

<i>Made</i>	- - - -	<i>5th June 2003</i>
<i>Laid before Parliament</i>		<i>5th June 2003</i>
<i>Coming into force</i>		<i>in accordance with article 1</i>

**THE FINANCIAL SERVICES AND MARKETS ACT 2000  
(REGULATED ACTIVITIES) (AMENDMENT) (NO. 2) ORDER 2003**

PART 1

GENERAL

1. Citation, commencement and interpretation

PART 2

AMENDMENTS TO THE PRINCIPAL ORDER

2. Amendments to the principal Order
3. Interpretation and general
4. Activities—dealing in investments as agent
5. Activities—arranging deals in investments
6. Activities—managing investments
7. Activities—assisting in the administration and performance of a contract of insurance
8. Activities—safeguarding and administering
9. Activities—advising on investments
10. Exclusions applying to several activities
11. Further exclusions in connection with activities carried on in relation to contracts of insurance
12. Relevant text of the insurance mediation directive

**Status:** This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

### PART 3

#### MEMBERS OF THE PROFESSIONS AND APPOINTED REPRESENTATIVES

13. Register of unauthorised persons carrying on insurance mediation activities

### PART 4

#### AMENDMENTS TO OTHER INSTRUMENTS MADE UNDER THE ACT

14. Amendment to the Appointed Representatives Regulations
15. Amendment to the Compensation Scheme: Electing Participants Regulations
16. Amendment to the Non-Exempt Activities Order
17. Misleading statements and practices
18. Amendment to the By Way of Business Order

### PART 5

#### MISCELLANEOUS

19. Amendment of the threshold conditions
20. Amendment of section 49 of the Act
21. Controllers of insurance intermediaries

### PART 6

#### TRANSITIONAL PROVISIONS

22. Interpretation
23. Applications for Part IV permission—general insurance mediation
24. Application for approval—general insurance mediation
25. Applications for Part IV permission—long-term care insurance mediation
26. Application for approval—long-term care insurance mediation
27. Modifications and waivers  
Signature  
Explanatory Note