

**2003 No. 2982**

**COMPANIES**

**The Companies (Forms) (Amendment) Regulations 2003**

*Made* - - - - - *17th November 2003*

*Coming into force* - - - *1st December 2003*

The Secretary of State, in exercise of the powers conferred upon her by sections 169(1), 169(1B), 169A(2) and 744 of the Companies Act 1985(a), makes the following Regulations:

**1.** These Regulations may be cited as the Companies (Forms) (Amendment) Regulations 2003 and shall come into force on 1st December 2003.

**2.—(1)** The form 169 set out in Part 1 of Schedule 2 to the Companies (Forms) (Amendment) Regulations 1987(b) is prescribed only for the purposes of section 169(1) of the Companies Act 1985 (“the Act”).

(2) The forms 169(1B) and 169A(2) set out in the Schedule to these Regulations, with such variations as circumstances require, are the forms prescribed for the purposes of section 169(1B) and section 169A(2) of the Act respectively.

17th November 2003

*Claire Clancy,*  
for Secretary of State,  
Department of Trade and Industry

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(a) 1985 c. 6. Section 169(1B) and section 169A(2) were inserted by paragraphs 12 and 13 respectively of the Schedule to the Companies (Acquisition of Own Shares) (Treasury Shares) Regulations 2003 (S.I. 2003/1116) as from 1st December 2003.

(b) S.I. 1987/752.

SCHEDULE

# 169A(2)

**Return by a public company cancelling or selling or transferring shares from treasury**

Pursuant to section 169A(2) of the Companies Act 1985

*Please complete legibly in black type or bold block lettering*

Please do not write in the space below. For Inland Revenue use only.

**Company Number**

**Company Name in full**

**TREASURY SHARES CANCELLED:**

(Stamp Duty of £5 is payable if this return shows that treasury shares have been cancelled)

Class of shares <i>(ordinary or preference etc)</i>			
Number of shares cancelled			
Nominal value of each share			
Date(s) shares were cancelled			

**TREASURY SHARES SOLD OR TRANSFERRED\*:**

\*Shares may only be transferred (as opposed to sold) from treasury for the purposes of, or pursuant to, an employees' share scheme

Class of shares <i>(ordinary or preference etc)</i>			
Number of shares sold or transferred			
Nominal value of each share			
Date(s) shares were sold or transferred			

\*\*Delete as appropriate

**Signed**  **Date**

(\*a director / secretary / administrator / administrative receiver / receiver manager / receiver)

You do not have to give any contact information in the box opposite, but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Tel	
DX number	DX exchange

When you have completed and signed the form and it has been stamped by the Inland Revenue please send it to the Registrar of Companies at:  
**Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff**  
 for companies registered in England and Wales **or**  
**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**  
 for companies registered in Scotland **DX 235 Edinburgh or LP - 4 Edinburgh 2**

# 169(1B)

Return by a public company purchasing its own shares for holding in treasury

Pursuant to section 169(1B) of the Companies Act 1985

Please complete legibly in black type or bold block lettering

Company Number

Please do not write in the space below. For Inland Revenue use only.

Company Name in full

## PART A: PURCHASE BY COMPANY OF ITS OWN SHARES FOR HOLDING IN TREASURY

Class of shares  
(ordinary or preference etc)

--	--	--

Number of shares

--	--	--

Date(s) shares delivered to the company

--	--	--

For each share:

Nominal value

--	--	--

Maximum price paid

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Minimum price paid

--	--	--

The aggregate amount paid by the company for the shares to which this return relates was:

£	
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Stamp Duty is payable on the aggregate amount at the rate of 0.5 % rounded up to the nearest multiple of £5

£	
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## PART B: FULLY PAID BONUS SHARES PLACED IN TREASURY PURSUANT TO SECTION 162C(6) OF THE COMPANIES ACT 1985

Class of shares  
(ordinary or preference etc)

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Number of shares

--	--	--

Nominal value of each share

--	--	--

Date(s) shares delivered to the company

--	--	--

\*\*Delete as appropriate

Signed

Date

(\*a director / secretary / administrator / administrative receiver / receiver manager / receiver)

You do not have to give any contact information in the box opposite, but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

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## EXPLANATORY NOTE

*(This note is not part of the Regulations)*

These Regulations prescribe forms for the purposes of the returns required to be made to the Registrar of Companies in respect of the purchase by certain public companies of their own shares to be held as treasury shares and in respect of the cancellation, sale or transfer of treasury shares. These Regulations are made pursuant to amendments made to the Companies Act 1985 (“the Act”) by the Companies (Acquisition of Own Shares) (Treasury Shares) Regulations 2003, which permit certain public companies to hold shares in treasury.

Regulation 2(1) provides that form 169, prescribed by the Companies (Forms) (Amendment) Regulations 1987 will apply only for the purposes of returns under section 169(1) of the Act. Regulation 2(2) prescribes the forms set out in the Schedule to these present Regulations for the purposes of returns under section 169(1B) and 169A(2) of the Act.

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