

SCHEDULE 1

Regulations 4 to 6 and 9 to 11

ADDITIONAL INFORMATION TO BE CONTAINED IN
APPLICATIONS FOR AUTHORISATION OR APPROVAL

1. In this Schedule—

“minimum funding valuation” means an actuarial valuation required by section 57(1)(a) or 57(2) of the 1995 Act ^{M1} (valuation and certification of assets and liabilities);

“payment schedule” shall be construed in accordance with section 87 of the 1995 Act ^{M2} (schedules of payments to money purchase schemes);

“schedule of contributions” shall be construed in accordance with section 227 ^{M3} (schedule of contributions), and includes a schedule of contributions prepared by the trustees or managers for any purpose, including that of making an application under section 288 or 289;

“statement of funding principles” shall be construed in accordance with section 223 (statement of funding principles), and includes a statement of funding principles prepared by the trustees or managers for any purpose, including that of making an application under section 288 or 289.

Marginal Citations

M1 Section 57 is repealed by Schedule 13 to the Act.

M2 Section 87(5)(a) is repealed by Schedules 12 and 13 to the Act on a date to be appointed.

M3 Section 227 is modified in its application to schemes undertaking cross-border activities by regulation 19 of, and paragraph 6(2) of Schedule 2 to, [S.I. 2005/3377](#).

2. The information about the scheme to be provided in an application for authorisation in accordance with regulations 4(2), 5(2) and 6(2) is—

- (a) the pension scheme registration number which is allocated to that scheme in the register,
- (b) a statement signed by the trustees or managers of the scheme that they have provided to the Regulator all the registrable information with respect to the scheme,
- (c) a statement signed by the trustees or managers of the scheme that the scheme complies with the requirements of section 252(2) and (3) (UK based scheme to be trust with effective rules),
- (d) a statement signed by the trustees or managers of the scheme that any requirements made by or under—
 - (i) section 113 of the 1993 Act ^{M4} (disclosure of information to members of schemes etc),
 - (ii) section 35 of the 1995 Act ^{M5} (investment principles), and
 - (iii) section 36 of the 1995 Act ^{M6} (choosing investments),have been complied with, and
- (e) a statement signed by the trustees or managers of the scheme that—
 - (i) where section 247 ^{M7} (requirement for knowledge and understanding: individual trustees), and section 248 ^{M8} (requirement for knowledge and understanding: corporate trustees) are in force on the day on which the application is made, any requirements made by or under those sections have been complied with, or
 - (ii) where section 247 and section 248 are not in force on the day on which the application is made, any requirements made by or under those sections, or in any

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instrument made under either or both of those sections, will be complied with once those sections are in force.

Marginal Citations

- M4** Section 113(1)(ca) was substituted by section 52(1) of the [Child Support, Pensions and Social Security Act 2000 \(c. 19\)](#) (“the 2000 Act”). Section 113(2)(b) is amended by [S.I. 2005/2053](#). Section 113(2)(e) is inserted by Schedule 12 to the Act on a date to be appointed. Section 113(3A) was inserted by section 52(2) of the 2000 Act, and section 113(3B) is inserted by section 52(2) of the 2000 Act on a date to be appointed. Section 113(4) was amended by section 1(2)(a) of the [Employment Rights \(Dispute Resolution\) Act 1985 \(c. 8\)](#).
- M5** Section 35 is substituted by section 244 of the Act.
- M6** Section 36(1) and (1A) are substituted for section 38(1) as originally enacted by section 245(1) and (2) of the Act. Section 36(2) is repealed by section 245(1) and (3), and section 36(3) is amended by section 245(1) and (4), of the Act. Section 36(6)(a) was substituted by S.I 2001/3649. Section 36(8) is substituted by section 245(1) and (5), and section 36(9) is inserted by section 245(1) and (6) of the Act.
- M7** Section 247 will be brought into force on a date to be appointed.
- M8** Section 248 will be brought into force on a date to be appointed.

3. The information about a scheme to be provided in an application for authorisation in accordance with regulations 4(2)(a), 5(2)(a) and 6(2)(a) is the most recent payment schedule for the scheme.

4. The information about the scheme to be provided in an application for authorisation in accordance with regulation 4(2)(b) is—

- (a) a statement signed by the trustees or managers of the scheme that the scheme complies with the requirements of section 222,
- (b) a statement signed by the trustees or managers of the scheme that any requirements imposed by or under—
 - (i) section 224 (actuarial valuations and reports),
 - (ii) section 225 (certification of technical provisions), and
 - (iii) section 227 (schedule of contributions),
 have been complied with,
- (c) the most recent statement of funding principles for the scheme, and
- (d) an actuarial valuation for the scheme the effective date of which is within the period of 12 months ending on the date of the application.

5. The information about the scheme to be provided in an application for authorisation in accordance with regulation 5(2)(b) is the most recent minimum funding valuation for the scheme.

6.—(1) In this paragraph—

- (a) except in sub-paragraph (2)(c), references to the European employer are references to the European employer specified in the notice of intention, and
- (b) references to the provision of information in relation to one European employer shall include the provision of that information in relation to each European employer so specified.

(2) The additional information about the scheme and its existing activities to be contained in the notice of intention in accordance with regulations 9(2), 10(2) and 11(2) is—

- (a) where—

- (i) the application for approval is not made on the same day as the application for authorisation, and
 - (ii) the trustees or managers have not satisfied the Regulator that there has not been any material change in the information described in paragraph (2)(a) to (e) above since the application for authorisation was made,
the information so described in so far as there has been any material change in that information,
- (b) where the trustees or managers of the scheme have been authorised by the Regulator under section 288, the date on which such authorisation was granted,
 - (c) where the trustees or managers of the scheme are already accepting contributions from a European employer, the name and address of that employer and the [F1host EEA state] of that employer, and
 - (d) a copy of the scheme rules.
- (3) The additional information about the scheme and its existing activities to be contained in the notice of intention in accordance with regulations 9(2)(a) and 10(2)(a) is the most recent payment schedule for the scheme.
- (4) The additional information about the scheme and its existing activities to be contained in the notice of intention in accordance with regulation 9(2)(b) is—
- (a) where—
 - (i) the application for approval is not made on the same day as the application for authorisation, and
 - (ii) the trustees or managers have not satisfied the Regulator that there has not been any material change in the information described in paragraph 4(a) to (d) since the application for authorisation was made,
the information so described in so far as there has been any material change in that information, and
 - (b) the most recent schedule of contributions for the scheme.
- (5) The additional information about the scheme and its existing activities to be contained in the notice of intention in accordance with regulation 10(2)(b) is the most recent minimum funding valuation for the scheme.
- (6) The additional information about the scheme to be contained in the notice of intention in accordance with regulation 11(2) is a statement signed by the trustees or managers of the scheme showing—
- (a) the rates of contributions which will be payable towards the scheme by or on behalf of the employer and the active members of the scheme, and
 - (b) the dates on or before which such contributions are to be paid.
- (7) The additional information about the future activities of the scheme when it is approved in relation to the European employer to be contained in the notice of intention in accordance with regulations 9(2), 10(2) and 11(2) is—
- (a) the names of any [F2EEA states] other than the United Kingdom where the scheme already has members who are qualifying persons or qualifying self-employed persons,
 - (b) where the scheme has established, or is intending to establish, a branch in the [F1host EEA state], the address, e-mail address, telephone number and fax number of—
 - (i) that branch, and
 - (ii) all persons authorised to represent the scheme for the business of that branch,

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- (c) the address, e-mail address, telephone number and fax number in the [^{F1}host EEA state] of the European employer,
- (d) a description of the type of scheme to be offered to the European employer (including whether it will be defined contribution only, defined benefit, final salary, salary related or some form of hybrid),
- (e) the conditions to be met before benefits are, or will become, payable,
- (f) the types and rates of contributions to be paid towards the scheme by or on behalf of the European employer and those active members of the scheme in the [^{F1}host EEA state] who are—
 - (i) qualifying persons in relation to that European employer, or
 - (ii) qualifying self-employed persons,
- (g) a description of any guarantees and additional coverage offered by the scheme,
- (h) a description of the categories of persons who are employees in the [^{F1}host EEA state] of that European employer who cannot become members of the scheme, ^{F3}...
- (i) whether the assets or liabilities (or both) of the scheme which correspond to the activities carried out in the [^{F1}host EEA state] will be ring-fenced.
- [^{F4}(j) the number of members in the scheme; and
- (k) whether the scheme has any external, contractual-based asset manager.]

Textual Amendments

- F1** Words in Sch. 1 para. 6(2)(c) (7)(b) (7)(c) (7)(f) (7)(h)(i) substituted (26.11.2007) by [Occupational Pension Schemes \(EEA States\) Regulations 2007 \(S.I. 2007/3014\)](#), regs. 1, **5(6)(a)**
- F2** Words in Sch. 1 para. 6(7)(a) substituted (26.11.2007) by [Occupational Pension Schemes \(EEA States\) Regulations 2007 \(S.I. 2007/3014\)](#), regs. 1, **5(6)(b)**
- F3** Word in Sch. 1 para. 6(7)(h) omitted (6.4.2007) by virtue of [Occupational and Personal Pension Schemes \(Miscellaneous Amendments\) Regulations 2007 \(S.I. 2007/814\)](#), regs. 1, **18(9)(i)**
- F4** Sch. 1 para. 6(7)(j)(k) inserted (6.4.2007) by [Occupational and Personal Pension Schemes \(Miscellaneous Amendments\) Regulations 2007 \(S.I. 2007/814\)](#), regs. 1, **18(9)(ii)**

SCHEDULE 2

Regulations 14 and 16

MODIFICATIONS OF PENSIONS LEGISLATION, AND RELEVANT LEGAL REQUIREMENTS FOR THE PURPOSES OF SECTION 293

1.—(1) In this Schedule, a reference to a section of an Act includes a reference to any subordinate legislation made or having effect as if made under that section.

(2) In this Schedule, “the 2000 Act” means the Child Support, Pensions and Social Security Act 2000 ^{M9}.

Marginal Citations

- M9** [2000 c. 19](#).

2. For the purposes of regulations 14 and 16—

- (a) the provisions of pensions legislation which are modified in their application to European members and European survivors of cross-border schemes, and
- (b) the relevant legal requirements for the purposes of section 293,
- are the provisions listed in Column 1 of Table 1—

Table 1

| <i>Provision of the 1995 Act</i> | <i>Description of provision of the 1995 Act</i> |
|-------------------------------------|---|
| Section 50(1) to (5) and (7) M10 | Resolution of disputes |

Marginal Citations

M10 Section 50 is substituted by sections 50, 50A and 50B by virtue of section 273 of the Act on a date to be appointed.

3. For the purposes of regulations 14 and 16—
- (a) the provisions of pensions legislation which are modified in relation to European members and European survivors of cross-border schemes, other than European members of a cross-border scheme which is a pre-23rd September 2005 scheme who became members of that scheme before the commencement date and European survivors of such members, and
- (b) the relevant legal requirements for the purposes of section 293,
- are the provisions listed in Column 1 of Table 2 set out below—

Table 2

| <i>Provisions of the 1993 Act</i> | <i>Description of provisions of the 1993 Act</i> |
|--|---|
| Sections 69 to 76 and 81 and 82 M11 | Preservation of benefit under occupational schemes |
| Sections 83 to 86 M12 | Revaluation of accrued benefits (excluding guaranteed minimum pensions) |
| Sections 93 to 98, 99(1) to (4A), (7)(a) and (8), 100 and 101 M13 | Transfer values |
| Sections 101AA to 101AI M14 | Early leavers: cash transfer sums and contribution refunds |
| <i>Provisions of the 1995 Act</i> | <i>Description of provisions of the 1995 Act</i> |
| Sections 51 to 54 M15 | Indexation |
| Sections 91 to 94 M16 | Assignment, forfeiture, bankruptcy etc. |

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Marginal Citations

- M11** Section 70(1)(ii) is amended by S.I. 2005/2053. Section 71(3) was substituted by section 263(1) of the Act. Section 72(4) was inserted by section 263(2) of the Act. Section 73(2)(a)(ii) was amended by Schedules 2 and 13 to the 1999 Act.
- M12** Section 83(1) was amended, and section 83(1A) and (4) were inserted, by Schedule 12 to the 1999 Act. Section 84(5) was repealed in part by Schedules 5 and 7 to the 1995 Act. Section 84(5)(b) and (6) were inserted by section 281 of the Act, and section 84(5) is amended by S.I. 2005/2053. Section 85 was amended by Schedule 12 to the 1999 Act.
- M13** Section 93(1)(a) was substituted, and section 93(1A) and (1B) were inserted, by section 152 of the 1995 Act. Section 93(1ZA) was inserted by Schedule 12 to the 1999 Act. Section 94(1)(a) was amended, and section 94(1)(aa), (1A) and (3), and the definition of “the guarantee date” in section 94(2) were inserted, by section 154 of the 1995 Act. Section 94(1B) was inserted by Schedule 12 to the 1999 Act. Section 94(2) is amended, and section 94(2A) is inserted, by Schedule 12 to the Act. Section 95(1) was amended by Schedule 6 to the 1995 Act. Section 95(2)(c) was amended by S.I. 2001/3649. Section 95(4) was repealed by Schedules 5 and 9 to the 2000 Act. Section 96(2)(a) and (3)(a) were amended by Schedule 5 to the 1995 Act, and section 96(2)(a)(ii) was repealed by Schedules 2 and 13 to the 1999 Act. Section 96(3) is amended by S.I. 2005/2053. Section 96(4) was inserted by Schedule 12 to the 1999 Act. Section 97(2)(a) and (3)(b) were amended, and section 97(3A) was inserted, by Schedule 6 to the 1995 Act. Section 97(2)(aa) was substituted by Schedule 5 to the 2000 Act. Section 98(1), (2) and (3)(a) were amended, section 98(1A) was inserted and section 98(3)(aa) was substituted, by Schedule 6 to the 1995 Act. Section 98(5) and (8) were amended by Schedule 12 to the 1999 Act. Section 98(7) was amended, and section 98(7)(a) was repealed, by Schedules 5 and 9 to the 2000 Act. Section 99(2)(a) to (c) were substituted by Schedule 6 to the 1995 Act. Section 99(3A), (7) and (8) were inserted, section 99(4) and (4A) were substituted for section 99(4) and (5) as originally enacted, and section 99(6) was amended, by Schedule 6 to the 1995 Act. Section 99(4) and (4A) are amended by Schedule 12 to the Act on a date to be appointed. Section 99(6) is repealed by Schedule 13 to the Act. Section 100(1) was amended, and section 100(2A) was inserted, by Schedule 12 to the 1999 Act.
- M14** Sections 101AA to 101AI are inserted by section 264 of the Act.
- M15** Section 51(1)(a)(i) is repealed in part by section 278(2)(a) of, and Schedule 13 to, the Act. Section 51(1)(a)(iii) is inserted, and section 51(1)(b) and (c) are substituted for section 51(1)(b) as originally enacted, by section 278(2)(b) and (c) of the Act. Section 51(2) was amended by section 51(1) of the 2000 Act and is further amended by section 278(3) of the Act. Section 51(4) is amended, section 51(4A) to (4C) are inserted, and section 51(5) is amended, by section 278(4), (5) and (6) of the Act. Section 51(6) was amended by Schedule 12 to the 1999 Act. Section 51ZA was inserted by section 278(7) of the Act. Section 51A was inserted by section 51(2) of the 2000 Act. Section 51A was inserted by section 51(2) of the 2000 Act. Section 53(3A) was inserted by Schedule 12 to the 1999 Act. In section 54(3), the definition of “appropriate percentage” was amended by Schedule 2 to the 1999 Act and is repealed by Schedule 13 to the Act. Section 54(3) is also amended by section 278(8) of the Act.
- M16** Section 91(1), (2) and (5) were amended by Schedule 12 to the 1999 Act. Section 91(3) was repealed by Schedule 13 to the 1999 Act. Section 91(5)(f) is inserted, and section 91(6) is amended, by section 266 of the Act. Section 91(5) is amended by S.I. 2005/2053. Section 92(1) and (4) were amended by Schedule 12 to the 1999 Act. Section 92(2)(b) was repealed by Schedule 13 to the 1999 Act. Section 92(3)(b) is substituted by S.I. 2005/2053. Section 93(1), (2) and (4) were amended by Schedule 12 to the 1999 Act. Section 94(3)(c) and (e) were repealed by Schedule 13 to the 1999 Act. Section 94(3)(f) was inserted by Schedule 2 to the 1999 Act.

4. For the purposes of regulation 14, the other provisions of pensions legislation which are modified in their application to European members and European survivors of cross-border schemes are the provisions listed in Column 1 of Table 3 set out below.

Table 3

| <i>Provisions of the 1993 Act</i> | <i>Description of provisions of the 1993 Act</i> |
|-----------------------------------|--|
| Section 99(7)(b) M17 | Trustees' duties after exercise of option |
| <i>Provisions of the 1995 Act</i> | <i>Description of provisions of the 1995 Act</i> |
| Section 50(6) | Resolution of disputes |

Marginal Citations

M17 Section 99(7)(b) was inserted by Schedule 6 to the 1995 Act.

5. For the purposes of regulation 16, the other relevant legal requirements for the purposes of section 293 are the provisions listed in Column 1 of Table 4 set out below.

Table 4

| <i>Provisions of the 1993 Act</i> | <i>Description of provisions of the 1993 Act</i> |
|---------------------------------------|--|
| Sections 9 and 10 M18 | General requirements for certification |
| Sections 12A to 12D M19 | Requirements for certification of occupational pension schemes |
| Sections 13 to 21 and 23 M20 | Requirements for certification of occupational pension schemes providing guaranteed minimum pensions |
| Sections 27 to 33 M21 | Requirements for certification of occupational and personal money purchase schemes |
| Sections 37 and 39 M22 | Alteration of scheme rules after certification |
| Section 45B M23 | Money purchase and personal pension schemes: verification of ages |
| Sections 50 and 51 M24 | Approval of arrangements for scheme ceasing to be certified |
| Sections 52 to 54 M25 | Supervision of formerly certified schemes |
| Sections 55 to 58 and 61 to 63 M26 | State scheme premiums |
| F5 | |
| ... | |
| Sections 87 to 92 M27 | Protection of increases in guaranteed minimum pensions (“anti-franking”) |
| Section 93A(1) to (3) M28 | Salary related schemes: right to statement of entitlement |

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|-----------------------------------|--|
| Sections 109 and 110 M29 | Guaranteed minimum pensions |
| Sections 129 to 132 M30 | Relationship between requirements and scheme rules |
| Section 159 M31 | Inalienability of guaranteed minimum pension and protected rights payments |
| <i>Provisions of the 1999 Act</i> | <i>Description of provisions of the 1999 Act</i> |
| Sections 11 to 13 | Pensions and bankruptcy |
| Section 40 M32 | Other pension schemes |

Textual Amendments

- F5** Words in Sch. 2 para. 5 Table 4 omitted (6.4.2009) by virtue of [Pensions Act 2008 \(Abolition of Safeguarded Rights\) \(Consequential\) Order 2009 \(S.I. 2009/598\)](#), arts. 1, **11(2)**

Marginal Citations

- M18** Section 9(2) to (2C) were substituted for section 9(2) as originally enacted by section 136(3) of the 1995 Act. Section 9(2B), 3(aa) (which was inserted by section 136(4) of the 1995 Act) and (4) were amended by Schedule 1 to the [Social Security Contributions \(Transfer of Functions, etc.\) Act 1999 \(c. 2\)](#). Section 9(3)(a) was repealed in part by Schedules 5 and 7 to the 1995 Act. Section 9(5A) was inserted by section 283 of the Act. Section 9(6) was amended by Schedule 5 to the 1995 Act. Section 10(1) was amended, and section 10(4) and (5) were inserted, by section 32 of the 1999 Act. Section 10(2)(a) was amended by Schedule 1 to the Social Security Contributions (Transfer of Functions, etc.) Act 1999. Section 10(6) was inserted by Schedule 11 to the [Proceeds of Crime Act 2002 \(c. 29\)](#).
- M19** Section 12A was inserted by section 136(5) of the 1995 Act. Section 12A(1) and (2) are amended by [S.I. 2005/2050](#). Section 12B was inserted by section 136(5) of the 1995 Act. Section 12B(4)(b)-(d) were substituted for paragraph (b) as originally enacted by [S.I. 1997/819](#). Section 12B(4) is amended by [S.I. 2005/2050](#). Section 12C and section 12D were inserted by section 136(5) of the 1995 Act.
- M20** Section 13(2) was repealed in part by Schedules 5 and 7 to the 1995 Act. Section 14(2A) was inserted by Schedule 11 to the Proceeds of Crime Act 2002. Section 14(3) was repealed by Schedule 5 to the 1995 Act. Section 14(4) was amended by Schedule 1 to the Social Security Contributions (Transfer of Functions, etc.) Act 1999. Section 14(8) was amended by Schedule 5 to the 1995 Act. Section 15A was inserted by section 32 of the 1999 Act. Section 16(3) and (5) were amended by Schedule 5 to the 1995 Act. Section 16(5) was amended by Schedule 2 to the 1999 Act. Section 17(4A) was inserted by Schedule 5 to the 2000 Act. Section 17(5) and (6) was amended, and section 17(5) was repealed in part, by Schedules 5 and 9 to the 2000 Act. Section 17(7) was amended by Schedule 5 to the 1995 Act and was further amended by Schedule 1 to the Social Security Contributions (Transfer of Functions, etc.) Act 1999. Section 17(8) was inserted by section 284 of the Act. Section 17(1), (4), (4A), (6) and (7) are amended, and section 17(2)(c), (4B) and (9) are inserted, by [S.I. 2005/2050](#). Section 19(4)(a) was amended and in part repealed, and section 19(7) was repealed, by [S.I. 2001/3649](#). Section 19(5)(a) is amended by [S.I. 2005/2050](#). Section 20(1) was amended by Schedule 5 to the 2000 Act. Section 20(6) is amended by [S.I. 2005/2050](#). Section 21(1) was substituted by section 284(1) of the Act. Section 21(2) is amended by [S.I. 2005/2050](#). Section 23(1) and (5) were repealed by Schedules 5 and 7 to the 1995 Act. Section 23(3)(b) is amended [S.I. 2005/2050](#). Section 23(4) was amended by Schedule 5 to the 1995 Act.
- M21** Section 28(1)(b) was amended by Schedule 2 to the 1999 Act. Section 28(1A) was inserted by section 142 of the 1995 Act and substituted by Schedule 2 to the 1999 Act. Section 28(2) was amended by Schedule 5 to the 2000 Act. Section 28(3) was amended by section 142 of the 1995 Act, by Schedule 2 to the 1999 Act, by Schedule 5 to the 2000 Act and by section 284(5) of the Act, and is amended by [S.I.](#)

2005/2050. Section 28(4)(a) (which was amended by section 142 of the 1995 Act) and (b) are repealed, and section 28(4)(e) is inserted, by section 284(3) of the Act. Section 28(4)(c) was repealed in part by Schedule 13 to the Act. Section 28(4)(d) is substituted by Schedule 5 to the 2000 Act. Section 28(4A) and (4B) were inserted by Schedule 5 to the 2000 Act and are repealed by section 284 of, and Schedule 13 to, the Act. Section 28(4B) is amended by **S.I. 2005/2050**. Section 28(5) was amended by section 284 of the Act. Section 28(7) was repealed by Schedule 5 to the 1995 Act. Section 28(8) and (9) were inserted by sections 142 and 146 of the 1995 Act, and section 28(8) is amended, in the definition of “the termination date”, by **S.I. 2005/2050**, and is repealed in part by sections 284 of, and Schedule 13 to, the Act. Section 28A was inserted by section 143 of the 1995 Act. Section 28A(1), (2) and (5)(b) are amended by **S.I. 2005/2050**. Section 29(1)(a) and (aa) were substituted for section 29(1)(a) as originally enacted by section 144 of the 1995 Act. Section 29(1)(a) is amended, and section 29(1)(aa)(ii) is in part repealed, by section 284 of, and Schedule 13 to, the Act. Section 29(1)(b) was amended by Schedule 5 to the 1995 Act and is amended by **S.I. 2005/2050**. Section 29(3)(b)(iii) and section 29(4) were amended by section 144 of the 1995 Act. Section 29(3)(b) and section 29(4) were amended by **S.I. 2001/3649** and **S.I. 2005/2050**. Section 30(1) was amended by Schedule 1 to the Social Security Contributions (Transfer of Functions, etc.) Act 1999. Section 31(1) was repealed, section 31(3)(a) was amended, and section 31(5) was inserted, by Schedules 5 and 7 to the 1995 Act. Section 31(3) was also amended by Schedule 1 to the Social Security Contributions (Transfer of Functions, etc.) Act 1999. Section 32A was inserted by section 146(1) of the 1995 Act. Section 32A(2)(a) was amended and section 32A(2)(a)(i) was in part repealed by **S.I. 2001/3649**.

- M22** Section 37 was substituted by Schedule 5 to the 1995 Act. Section 37(4) is amended by **S.I. 2005/2050**.
- M23** Section 45B was inserted by section 139 of the 1995 Act. Section 45B(2) was amended by section 1 of, and Schedule 1 to, the Social Security Contributions (Transfer of Functions, etc.) Act 1999.
- M24** Section 50(1), (1A), (2), (3) and (4) were amended by Schedule 1 to the Social Security Contributions (Transfer of Functions, etc.) Act 1999. Section 50(1)(a)(i) and (ii) were amended by Schedule 5 to the 1995 Act. Section 50(1)(a)(iii) was inserted, and section 50(1)(b) was amended, by Schedule 12 to the 1999 Act. Section 50(7) was repealed by Schedules 5 and 7 to the 1995 Act. Section 51(1)(a) was amended by Schedule 5 to the 1995 Act.
- M25** Section 52(2)(a) and (b) and (2A) were substituted for section 52(1)(a) and (b) as originally enacted, and section 52(3)(a) and (b) were substituted, by Schedule 5 to the 1995 Act. Section 52(2A)(c) was inserted, and section 52(3)(b) was amended, by Schedule 12 to the 1999 Act. Section 52(4) to (6) were repealed by Schedules 5 and 7 to the 1995 Act. Section 53(1), (1A) and (1B) were substituted for section 53(1) as originally enacted, and section 53(3) was substituted, by Schedule 5 to the 1995 Act. Section 53(1C) is inserted by Schedule 12 to the Act. Section 53(2), (4) and (5) were repealed by Schedules 5 and 7 to the 1995 Act. Section 53(1) and (3) were amended by Schedule 1 to the Social Security Contributions (Transfer of Functions, etc.) Act 1999. Section 54(1), (1A), (1B) and (2) were substituted for section 54(1) and (2) as originally enacted by Schedule 5 to the 1995 Act. Section 54(1) and (2) were amended by Schedule 1 to the Social Security Contributions (Transfer of Functions, etc.) Act 1999. Section 54(3) was repealed by Schedules 5 and 7 to the 1995 Act.
- M26** Section 55(1) and (3) to (6) were repealed by Schedules 5 and 7 to the 1995 Act. Section 55(2) and (2A) were substituted for section 55(2) as originally enacted by section 141(1) of the 1995 Act. Section 55(2) was amended, and section 55(2B) and (2C) were inserted by Schedule 2 to the 1999 Act. Section 55(2A) was in part repealed by Schedules 2 and 13 to the 1999 Act. Section 55(2A)(c) is amended by **S.I. 2005/2050**. Section 56(1) and (3) were repealed, and section 56(2) was in part repealed, by Schedules 5 and 7 to the 1995 Act. Section 56(2) was amended by Schedule 1 to the **Social Security Contributions (Transfer of Functions, etc.) Act 1999 (c. 2)**. Section 56(4) is amended, and section 56(7) and (8) are inserted, by Schedule 12 to the Act. Section 56(5) was repealed by Schedule 9 to the 2000 Act. Section 56(6) was substituted by Schedule 5 to the 1995 Act. Section 57(4) was amended by Schedule 1 to the Social Security Contributions (Transfer of Functions, etc.) Act 1999. Section 58(1) to (3), (5) and (6) were repealed by Schedules 5 and 7 to the 1995 Act. Section 58(4) and (4A) were substituted for section 58(4) as originally enacted by Schedule 5 to the 2000 Act. Section 61(1), (8) and (9) were amended by Schedule 5 to the 1995 Act. Section 61(2) was amended by Schedule 5 to the 2000 Act. Section 61(9A) is inserted by Schedule 12 to the Act. Section 62(1) was amended by Schedule 5 to the

Status: Point in time view as at 06/04/2009.

Changes to legislation: There are currently no known outstanding effects for the The Occupational Pension Schemes (Cross-border Activities) Regulations 2005. (See end of Document for details)

1995 Act. Section 62(2) was repealed by Schedules 5 and 7 to the 1995 Act. Section 63(1), (3), (3)(b) and (5) were amended by Schedule 1 to the Social Security Contributions (Transfer of Functions, etc.) Act 1999. Section 63(1)(a), (1)(c), (2), (3) in part, (3)(a) and (4) were repealed by Schedules 5 and 7 to the 1995 Act. Section 63(3)(b) was amended by Schedule 5 to the 1995 Act.

M27 Sections 87 to 90 are amended by S.I. 2005/2050.

M28 Section 93A was inserted by section 153 of the 1995 Act and section 93A(1A) was inserted by Schedule 12 to the 1999 Act.

M29 Section 109(2) was amended by section 55(a) of the 1995 Act, and section 109(2)(b) is amended by [S.I. 2005/2050](#). Section 109(3A) was inserted by section 55(b) of the 1995 Act. Section 110(1) was amended by section 53(4)(b) of the 1995 Act, and section 110(1)(b) is amended by [S.I. 2005/2050](#). Section 110(2) to (4) were repealed by section 53(4)(a) of the 1995 Act.

M30 Section 129(1) was amended by Schedules 3 and 7 to the 1995 Act, and by section 84(1) of, and Schedule 12 to, the 1999 Act. It is further amended by Schedule 12 to the Act. Section 129(2) was amended by Schedule 3 to the 1995 Act, was further amended by Schedule 12 to the 1999 Act and is repealed in part by Schedule 13 to the Act. Section 129(3)(a) was repealed by Schedules 3 and 7 to the 1995 Act. Section 129(3)(b) is amended by [S.I. 2005/2053](#), and is repealed by Schedule 13 to the Act. Paragraph (b) of section 130 is amended by Schedule 12 to the Act. Paragraph (b) of section 131 is repealed in part by Schedule 13 to the Act. Section 132 was repealed in part by Schedules 3 and 7 to the 1995 Act, and is further repealed in part by Schedule 13 to the Act.

M31 Section 159(4A) and (4B) were inserted by Schedule 3 to the 1999 Act. Section 159(5) was repealed by Schedule 13 to the 1999 Act. Section 159(6) was amended by section 14(2) of the 1999 Act.

M32 Section 40(1), (2) and (3) is amended by section 280(2), (3) and (5) of the Act. Section 40(2A) and (2B) are inserted by section 280(4) of the Act.

Status:

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Changes to legislation:

There are currently no known outstanding effects for the The Occupational Pension Schemes (Cross-border Activities) Regulations 2005.