
STATUTORY INSTRUMENTS

2006 No. 3386

FINANCIAL SERVICES AND MARKETS

The Financial Services and Markets Act 2000
(Recognition Requirements for Investment Exchanges
and Clearing Houses) (Amendment) Regulations 2006

Made - - - - *18th December 2006*
Laid before Parliament *18th December 2006*
Coming into force *1st November 2007*

THE FINANCIAL SERVICES AND MARKETS
ACT 2000 (RECOGNITION REQUIREMENTS FOR
INVESTMENT EXCHANGES AND CLEARING
HOUSES) (AMENDMENT) REGULATIONS 2006

1. Citation and commencement
 2. Amendment of the Recognition Requirements for Investment Exchanges and Clearing Houses Regulations
 3. In regulation 3(1), at the appropriate place, insert— “branch” in...
 4. In paragraph 1(2) of the Schedule for “may” substitute “must”....
 5. In paragraph 2 of the Schedule, after sub-paragraph (2), insert—...
 6. In paragraph 3(2) of the Schedule— (a) in paragraph (b)...
 7. In paragraph 4(2) of the Schedule— (a) in paragraph (a),...
 8. After paragraph 4 of the Schedule insert— Provision of pre-trade...
 9. After paragraph 7 of the Schedule insert— Admission of financial...
 10. In paragraph 8 of the Schedule for sub-paragraph (1) substitute—...
 11. After paragraph 9 of the Schedule insert— Operation of a...
 12. In paragraph 10(3) of the Schedule omit “by a recognised...
 13. After paragraph 21 of the Schedule insert— Access to central...
- Signature
Explanatory Note

Changes to legislation:

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Financial Services and Markets Act 2000 (Recognition Requirements for Investment Exchanges and Clearing Houses) (Amendment) Regulations 2006. Any changes that have already been made by the team appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to :

- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 5](#)
- reg. 1 coming into force by [S.I. 2006/3386 reg. 1](#)
- reg. 2 coming into force by [S.I. 2006/3386 reg. 1](#)
- reg. 3 coming into force by [S.I. 2006/3386 reg. 1](#)
- reg. 4 coming into force by [S.I. 2006/3386 reg. 1](#)
- reg. 5 coming into force by [S.I. 2006/3386 reg. 1](#)
- reg. 6 coming into force by [S.I. 2006/3386 reg. 1](#)
- reg. 7 coming into force by [S.I. 2006/3386 reg. 1](#)
- reg. 8 coming into force by [S.I. 2006/3386 reg. 1](#)
- reg. 9 coming into force by [S.I. 2006/3386 reg. 1](#)
- reg. 10 coming into force by [S.I. 2006/3386 reg. 1](#)
- reg. 11 coming into force by [S.I. 2006/3386 reg. 1](#)
- reg. 12 coming into force by [S.I. 2006/3386 reg. 1](#)
- reg. 13 coming into force by [S.I. 2006/3386 reg. 1](#)