

## [F1] SCHEDULE 10

### System of certification of entities in charge of maintenance in respect of Great Britain

#### Textual Amendments

- F1** Schs. 8-11 inserted (31.12.2020) by [The Rail Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/837\)](#), reg. 1, **Sch. para. 1** (as amended in Sch. 11 paras. 14, 16, 17 by S.I. 2019/1310, reg. 1(2)(c), **Sch. 1 paras. 2, 3, 4**); 2020 c. 1, Sch. 5 para. 1(1)

## PART 4

### Requirements and assessment criteria for organisations applying for a UK-issued ECM certificate or for a certificate in respect of maintenance functions outsourced by an entity in charge of maintenance

#### SECTION 3

##### *Requirements and assessment criteria for the fleet maintenance management function*

- 37.** The organisation must have a procedure to check the competence, availability and capability of the entity responsible for maintenance delivery before placing maintenance orders. This requires that the maintenance workshops are duly qualified to decide upon the requirements for technical competences in the maintenance delivery function.
- 38.** The organisation must have a procedure for the composition of the work package and for the issue and release of the maintenance order.
- 39.** The organisation must have a procedure to send freight wagons for maintenance in due time.
- 40.** The organisation must have a procedure to manage the removal of freight wagons from operation for maintenance or when defects have been identified.
- 41.** The organisation must have a procedure to define the necessary control measures applied to the maintenance delivered and the release to service of the freight wagons.
- 42.** The organisation must have a procedure to issue a notice to return to operation, taking into account the release to service documentation.
- 43.** When the competence management process is applied to the fleet maintenance management function, at least the return to operation must be taken into account.
- 44.** When the information process is applied to the fleet maintenance management function, at least the following elements need to be provided to the maintenance delivery function—
- applicable rules and technical specifications;
  - the maintenance plan for each freight wagon;
  - a list of spare parts, including a sufficiently detailed technical description of each part to allow like-for-like replacement with the same guarantees;
  - a list of materials, including a sufficiently detailed description of their use and the necessary health and safety information;
  - a dossier that defines the specifications for activities affecting safety and contains intervention and in-use restrictions for components;

**Changes to legislation:** *There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, Cross Heading: SECTION 3. (See end of Document for details)*

- (f) a list of components or systems subject to legal requirements and a list of these requirements, including brake reservoirs and tanks for the transport of dangerous goods;
- (g) all additional relevant information related to safety according to the risk assessment performed by the organisation.

**45.** When the information process is applied to the fleet maintenance management function, at least the return to operation, including restrictions on use relevant to users (railway undertakings and infrastructure managers), needs to be communicated to interested parties.

**46.** When the documentation process is applied to the fleet maintenance management function, at least the following elements need to be recorded—

- (a) maintenance orders;
- (b) return to operation, including restrictions on use relevant to railway undertakings and infrastructure managers.]

**Changes to legislation:**

There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, Cross Heading: SECTION 3.